



School of Architecture and Planning  
University of the Witwatersrand

**Human Settlements, Housing Theory, Concepts and Policy**

**Short course**

(equivalent to the MBE Housing course Housing Theory, Concepts and Policy - ARPL7004)  
1<sup>st</sup> semester 2015

*This course can be used for credits towards the following degrees:*

MBE (Housing); Elective for Masters in Urban Studies, MSc Development Planning

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Venue: to be confirmed.

**1. INTRODUCTION**

**1.1 The topic:**

This course presents various ways of explaining, unpacking and tackling the inadequacies of housing in a developing world context. In doing so, it introduces students to the core aspects of housing from a critical perspective. Different theoretical paradigms have informed different approaches to analysing the housing problem. These in turn are linked to different intervention approaches. This course seeks primarily to highlight the links between theories, concepts of analysis, policies and intervention approaches, also highlighting the importance and the pitfalls of policy evaluation. It therefore equips students to engage critically in the debate on policy and practice in South Africa and elsewhere.

The course draws on international literature, allowing a comparison between South Africa and other countries in Africa, Latin America and Asia, which offer a good contrast in housing policy and debates. This allows us to explore how different theoretical approaches have shaped concepts of analysis and housing policy discourses. In the other courses in the MBE (Housing) degree this is applied to regulating, financing and implementation of housing interventions. Throughout, we will explore local and international case studies in search for real examples.

The topics covered across the 4 parts of this course are strongly interrelated. While the sessions follow on one another in a clear logic, there will be continuous reference back to previous lectures. It is therefore in your interest that you do not miss any of the lecture sessions. Do note that attendance is compulsory and anyone not attending more than 20% of the contact time will not be permitted to write the exam.

**1.2. Aims and objectives:**

The course introduces students to the local and international literature on core aspects of housing. The students are expected to engage critically with existing discourses on housing policy, both local and international. Students are expected to be able to draw on contrasting policies from other countries, while being able to reflect on the political, economic and social conditions that allowed these to be developed in their particular context. The course assists students to develop their own position on aspects of housing policy, relating this to ethical as well as socio-political questions. The course encourages a commitment to addressing the housing crisis. On a more immediate level, it expects a high level of commitment to the course. On the practical side, the course seeks to enhance group work and communication skills, both in writing and in discussion.

Expected outcomes:

<b>Category</b>	<b>Outcome</b>	<b>Evidence</b>
Knowledge, Comprehension and Application	<ul style="list-style-type: none"> <li>- Ability to understand and cite the theories, concepts and ideas put forward by key authors.</li> <li>- Ability to apply theories and concepts to housing inadequacies in a particular situation.</li> <li>- Knowledge of the housing policies in South Africa and as promoted by various international institutions, and of comparative policy in various countries.</li> <li>- Understanding of the key arguments in the literature across various countries.</li> </ul>	Your verbal participation in class and group discussions; your written submissions and exam assignment.
Analysis, Synthesis and Evaluation	<ul style="list-style-type: none"> <li>- Ability to link a particular policy and practice with theoretical frameworks and analytical concepts.</li> <li>- Ability to judge the appropriateness of a particular policy for a given context.</li> <li>- Ability to develop and argue for your own position in relation to policy (with reference to theories and concepts).</li> <li>- Ability to develop policy suggestions and argue for these.</li> </ul>	Your verbal participation in discussions; your written submission and exam assignment.
Commitment and Professionalism	<ul style="list-style-type: none"> <li>- A concerned commitment, professional attitude and interest in the real world.</li> </ul>	Your punctual attendance, participation, timely submissions and seriousness of engagement with the assignments.
Skills	<ul style="list-style-type: none"> <li>- Ability to write and communicate academically and professionally.</li> <li>- Ability to work in a diverse group.</li> </ul>	Your verbal participation, presentations and written submissions. Your performance in group tasks.

### **1.3 Structure and content of the course:**

The course is structured into two blocks. The first block introduces various debates, theories and concepts relevant to housing policy. The second block deals directly with policy, building on the insights from the first block.

### **1.4 Study and lecture times:**

The course requires 200 hours of study time of the student. Of this, 24 hours make up formal contact time during the two teaching blocks. The exact start and end time of each teaching block will be negotiated with the class to accommodate the needs of those commuting within Gauteng.

### **1.5 Teaching approach:**

The course is structured into four sections, covering ten topics in total (5 in Block One, 5 in Block Two). Each section has a reading list, with the most important readings listed first (also provided in the course pack), and relevant additional readings following. Much of the additional literature listed is available to you online through the e-journal portal on the library website. Wherever possible (particularly in the second block), classes are based on the discussion of this literature (please read in advance). This is also the literature you will be reviewing in your assignments. You are required to prepare a reading assignment of at least two readings per topic, but are encouraged to read beyond this minimum.

The reading assignments are intended as preparation for the essay and the exam-equivalent assignment. They will be marked and returned, to ensure that the students' understanding of the readings and approach to academic writing is adequate.

For the second teaching block, you will also be asked to commit to certain readings in advance of the block, and for these readings you'll be asked to make in input into the class discussion. These readings will be assigned towards the end of the first teaching block. This means that teaching in the second teaching block will be more interactive than in the first block.

## **2. COURSE REQUIREMENTS**

### **2.1 Attendance:**

The evidence we require for satisfactory participation is:

- Physical presence and active participation in all classes, group tasks, fieldtrips and evening seminars (those missing more than 20% of this contact time may not write the exam). Please note that your exam questions will draw on topics, cases and discussion in the lectures and fieldtrip;
- Evidence of preparatory reading;
- Timely submission of, and adequate performance in, all essays/assignments (see Relevant University Rules under 2.6 below)

A register will be passed around both at lectures and seminars, in order to monitor your attendance. Apologies for not attending must be well argued and submitted in writing to the convenor before the time. A medical certificate must be submitted if a session is missed on grounds of ill health.

The School runs a Faces of the Cities seminar programme on Tuesdays 4-6pm with mostly external speakers covering urban, housing and planning topics. With the exception of those travelling to their teaching blocks from beyond Gauteng, we expect that you attend these seminars, as they enrich your understanding of topical debates and urban research in general.

## **2.2 Assignments:**

### Reading Assignments

You will submit a total of 5 reading assignments, each assignment (with the exception of E) covering more than one topic, as set out below:

Assignment A: Intro to theory, and theoretical debates in housing:

- Topic 1
- Topic 2.1
- Topic 2.2

Assignment B: Analytical concepts:

- Topic 3.1
- Topic 3.2

Assignment C: Policy concepts and shifts:

- Topic 4.1
- Topic 4.2

Assignment D: SA and other policies:

- Topic 4.3
- Topic 4.4

Assignment E: Policy evaluation:

- Topic 4.5

Each reading assignment will be based on two readings per topic. Feedback on your reading assignments will assist you in improving your approach and your understanding of the material. The reading assignments will help your skills in reviewing literature, will assist your analysis and interrogation of the literature, and provide a first step towards writing your essays.

### *Structure for each reading assignment:*

- 1) Your name, date, and the Assignment number
- 2) As title, the names of the topics (as per this outline) which this assignment covers
- 3) Themes and key points

Provide a sub-heading 'Themes and key points'. In one page or one and a half pages, describe the themes and key points across the papers you have selected. Try to identify which themes are covered across the papers (i.e. themes that these papers have in common) and then add any additional key themes of the individual papers. Highlight significant points. The writing style needs to be that of a literature review (i.e. not bullet points or point-form). It needs to be a narrative that consciously reviews and compares the different papers. Take care to place direct quotes in inverted commas, with the page number (as per Harvard referencing conventions).

- 4) Commentary on the key points

Provide a new sub-heading 'Commentary on key points'. In a further half to one page, discuss and comment on the key points you have identified. Here we encourage you to

refer to other literature that expands, supports or contradicts the key points (i.e. deepening the 'literature review'). Use the Harvard referencing system to cite additional readings.

5) Relevant research question

Provide a sub-heading 'Relevant research question'. Formulate in one or two sentences a relevant research question (ending with '?') that leads out of the key points and commentary. Be sure to not simply ask a policy or practical question, but one that suggests what further research may be needed.

6) Under the sub-heading 'References', provide the full reference of the readings you selected for this assignment and other readings you cited in section 4 (Harvard referencing)

Please do not provide an 'introduction' and a 'conclusion' as this is not a full essay, merely a reading assignment.

**Length:** each reading assignment 2-3 pages single spaced; font: Calibri 11 point or similar.

			Submission – on SAKAI
Assignment A	Intro to theory, and theoretical debates in housing	Topic 1 Topic 2.1 Topic 2.2	<b>Sunday 1 Mar</b>
Assignment B	Analytical concepts	Topic 3.1 Topic 3.2	<b>Sunday 8 Mar</b>
Assignment C	Policy concepts and shifts	Topic 4.1 Topic 4.2	<b>Sunday 22 Mar</b>
Assignment D	SA and other policies	Topic 4.3 Topic 4.4	<b>Thursday 26 Mar</b>
Assignment E	Policy evaluation	Topic 4.5	<b>Sunday 29 Mar</b>

Essays:

There are two essays. The first essay is due at the beginning of the second teaching block. Feedback from the first essay will assist you in improving your techniques, approach and understanding for the second essay, which is due at the beginning of your next course. This second essay is a take-home exam and will be externally examined.

The essays must be clearly structured with subheadings, an introduction and conclusion. Use the handout from the academic writing and referencing seminar as a guide during the writing, and as a check-list before submitting.

*Essay 1: Topic*

Briefly set out your understanding of the broad theoretical positions on society (Topic 1), in order to locate the two opposing positions on self-help housing (represented by John Turner and Rod Burgess) that dominated the housing literature on the developing world from the late 1960s through to the 1980s (Topic 2.1). Highlight three aspects in which the positions of Turner and Burgess on state-aided self-help housing differ. With reference to the broader theoretical positions, explain the difficulty in closing the gap between the Turner and Burgess positions.

Show how at least one author has attempted to bridge this divide in more recent work (Topic 2.2), adding your own position on the usefulness of this work in addressing housing inadequacy.

Choose one concept from the literature listed under 'Frameworks and Themes: Part 1' (Topic 3.1) and explore if this may contribute to the aided self-help debate. Then choose a concept from the literature listed 'Frameworks and Themes: Part 2' (3.2) and show how this may contribute a different angle to the debate on aided self-help. Conclude the essay with your own, carefully argued position on aided self-help, referring back to positions you have reviewed in this essay.

- You may cite & reference the presentations from the class (particularly for Topic 1), in addition to your literature (these presentations will be made available on SAKAI).
- Give your essay an interesting and relevant title, no longer than 10 words.
- Structure the essay in at least 3 sections with relevant sub-headings, in addition to the 'introduction' and 'conclusion'.
- Please make sure that you cover topics 1 through to topic 3.2 in this essay, citing relevant literature from all these topics. While we expect you to read beyond this list, please focus on the prescribed reading for this essay.
- Length: 2 500 – 3 000 words, including the reference list (please make use of MS Word Word Count – found under 'Review' in your toolbar, or see bottom left of your display).
- Please do a grammar and spellcheck on MSWord, in addition to checking your references and citations, before submitting.
- Submission: **Sunday 15 Mar on SAKAI**

#### *Essay 2: Main assignment*

This is a second essay-assignment covering all aspects of the course. It will be submitted to external examination. You have one week, including two weekends to work on this exam-equivalent assignment.

Issued: **9.00am Friday 2 April**

Submission: **9am Monday 13 April on SAKAI**

#### The expected standard for your assignments

You are urged to **take submission dates and times seriously**. At the request of the majority of students, for the sake of fairness, the School has adopted a no-extensions policy (see Relevant University Rules under 2.6 below)..

Part time students are urged to arrange their work schedules around the course deadlines. If they anticipate extended problems due to work pressures, it is advised that they deregister from the course and instead take it in the following year.

You are also urged to **pay close attention to editing** of submissions. It is recommended that you let another person with good writing skills read your assignment before submission. The Wits Writing Centre offers an editing service (at a cost). However, you are also encouraged to develop your own editing skills. Marks subtracted for poor editing will increase as the course proceeds, as students are expected to improve on these skills from one submission to the next.

Before submitting the essay, please take time to **check that every reference that appears in the text is listed in the reference list** at the end of the essay. Also make sure that this list does not contain references that are not used in the text. Marks are also subtracted for incomplete or

inaccurate referencing. Note: all direct quotes must be in inverted commas and page numbers given.

Your submissions are automatically passed through a plagiarism check with submission on SAKAI (see Relevant University Rules under 2.6 below)

### **2.3 Breakdown of components adding up to the final mark:**

*Class participation: 10*

*Reading assignments: 40 (each assignment counts for 8 points)*

*Essay 1: 50*

Class mark subtotal: 100

Essay 2 (exam-equivalent assignment): 100

TOTAL: 200

### **2.4 The use of readings in essays and assignments:**

In your essays, you are expected to make use of the listed readings to the essay topic. As this is an introductory course in which we also sharpen your writing and referencing skills, we do not expect you to use references beyond the listed course material in your assignments and essays. You are required to reference accurately, according to the Harvard system (do not use footnotes). All reference to the ideas, statements and findings of other people (be they from written work, seminars or personal communication) must be referenced. You are discouraged from quoting long passages. If you are quoting directly, this must be in inverted commas. Wherever possible, you should use your own words, demonstrating that you are able to interpret and reflect on what other people have stated. All use of existing ideas and passages, whether quoted directly or not, must be fully referenced. Note that where text is directly quoted, or key ideas are referenced, a page number must be included in the reference.

The Harvard System of referencing is explained in the detailed handout of your academic writing session (introductory programme). Please use this as a referencing handbook throughout this course.

Plagiarism, i.e. the omission of references, or omission of inverted commas for direct quotes (giving the impression that the ideas, passages or phrasing are yours and not those of a known author) is a severe offence. Plagiarism will not be tolerated, and if recognised in your work, disciplinary proceedings will be instituted (see 2.6 below)

### **2.5 Accessing the literature:**

A course pack is provided for this course, in addition to a list of further relevant reading. You need to familiarise yourself with the university library system (hard copy and electronic) in order to access this additional literature. Please respect copyright legislation when making photocopies. Ask a librarian if you're uncertain about this. Please also do never write or draw lines in library copies, whether in pen or pencil. Remember that generations of students after you will need to use the same book or resource. The Library has strict rules which are applied when abuse of its resources are detected. Please make extensive use of your library (1<sup>st</sup> floor, John Moffat Building). It has relevant housing related resources well beyond what is listed for this course, and we try to keep up with purchasing the latest titles. The library is also a peaceful space, conducive to study. Your fees contribute to the upkeep of this valuable resource for your convenience.

## 2.6 Relevant University rules

### **SAKAI: on-line course platform with electronic submission system**

As explained in the SAKAI training session in your introductory programme, all students have to access their Wits e mail accounts. [studentnumber@students.wits.ac.za](mailto:studentnumber@students.wits.ac.za) Yahoo/ Gmail or any other non Wits accounts will not be accepted. It is your responsibility to access SAKAI through your WITS student account. There will be no excuses that you did not receive the information through SAKAI. If you do not understand SAKAI or have difficulty accessing the SAKAI website, do not understand Turnitin or how to access your Wits student account you are **urgently** required to visit <http://www.wits.ac.za/students/webct/3897/home.html>

### **Marking and academic staff turn-around time for feedback:**

As this course is intensive in relation to written assignments, students can expect a 2 week turn-around marking time.

### **Plagiarism**

Students are required to familiarise themselves with all plagiarism issues. We have provided you with inputs on plagiarism during your introduction programme - HOWEVER, if you missed the session you are responsible for investigating all aspects related to Plagiarism. Please refer to the E learning website that explains the software Turnitin – which will assist you in your submissions and will help to avoid the problems related to plagiarism. Note that copying a fellow students' work, submitting an assignment which you prepared for another course/programme/ university, or copying from submissions another student made for the same course in a previous year all are forms of plagiarism and the same rules apply.

“Turnitin is a proprietary internet-based plagiarism detection system developed by iParadigms, LLC. Turnitin provides a faster way of electronically checking documents and encourages correct citation of other people's material. Turnitin is mainly designed to make comparisons between texts contained within a document against standard paper repositories”. (<https://elearn.wits.ac.za/home/default/ELSI/services/>)

The programme will be set up on SAKAI for the course so that you can check for plagiarism yourself, correct it and resubmit until the time for final submission.

First time plagiarism offenders in the first quarter of the semester may be allowed to rewrite their assignment or marks will be deducted depending on the level of severity, but thereafter any plagiarized assignments will get 0%. Wits Policy on Plagiarism indicates that at post-graduate level, repeat offenders should be referred to the Legal Office, which can result in expulsion from the university. Plagiarism at post-graduate level is considered to be a serious matter, with severe consequences.

### **Late submission**

All work is to be submitted on the day stipulated in the brief or course outline. While the School of Architecture and Planning understands the demands on students, we will



assist students as far as possible and within the educational requirements of the course to plan their time based on personal, professional and non-academic demands. Our policy attempts to get the student to take control over their own lives. In terms of this we have the following guidelines in place:

- Should you need an extension you must consult with your lecturer and mutually agree on a future date for submission, based on a valid reason for the extension, *PRIOR TO THE DUE DATE*.
- Should your class request an extension your class representative must consult with your lecturer and jointly decide on a future date for submission, based on a valid reason for the extension, *PRIOR TO THE DUE DATE*.
- Should you have a crisis on the day of the submission – car broke down, printer problems, health crisis and so on – you are required to bring in evidence of your problems (if possible) and notify the School before hand-in time.

If you have not contacted us about your crisis or do not bring credible evidence and your hand-in is late on the day of submission, we have to subtract 10% from your mark, 50% if you submit the following day, and we are not permitted to give you any marks for a submission beyond that day, i.e. you will receive 0%. For those motivating for delayed submission, a reasonable extension will be given, e.g. one day of illness will result in one day's extension.

### **3. COURSE STRUCTURE**

#### **1) Introductory session (19 Feb)**

- Topic 1 – Theory that underpins the way we grapple with housing

#### **2) Theoretical debates on housing (19/20 Feb)**

- Topic 2.1 – The 'Turner / Burgess divide'
- Topic 2.2 – Beyond the 'Turner / Burgess divide'

#### **3) Frameworks and Concepts applied to Housing (19 Mar)**

- Topic 3.1. – Frameworks and themes: Part 1
- Topic 3.2. – Frameworks and themes: Part 2

#### **4) Housing Policies (19/20 Mar)**

- Topic 4.1 – Themes in housing policy internationally
- Topic 4.2 – Shifts in housing policy internationally and the role of the World Bank and UN-Habitat
- Topic 4.3 – South African housing policy shifts
- Topic 4.4 – Housing policy in other countries
- Topic 4.5 – Policy implementation, policy analysis, policy evaluation

## Course Programme

### 1<sup>st</sup> Block

	8.30-10.00 am	10.15-11.45 am	12.30-14.00 pm	14.15-15.30 pm
Thu 19 Feb	Topic 1: Intro to theory	Topic 1: Intro to theory	Topic 2.1: Turner-Burgess divide	Topic 2.1 continued
Fri 20 Feb	Topic 2.2: Beyond the Turner-Burgess divide	Topic 3.1: Frameworks and themes: Part 1	Topic 3.2: Frameworks and themes: Part 2	3.2 continued

- Assignment A                      submit **by Sun 1 Mar**
- Assignment B                      submit **by Sun 8 Mar**
- Essay 1                              submit **by Sun 15 Mar**
- Prepare reading for remaining course topics **by Thur 19 Mar**

### 2<sup>nd</sup> block

	8.30-10.00 am	10.15-11.45 am	12.30-14.00 pm	14.15-15.30 pm
Thu 19 March	Topic 4.1: Concepts in Housing Policy	Topic 4.2: Shifts in policy and the role of the World Bank and UN-Habitat	Pennyville and Braamfischerville and past Sol Plaatje informal settlement	
Fri 20 March	Topic 4.3: South African policy shifts	Topic 4.4: Housing policy in other countries	Topic 4.4: continued	Topic 4.5 Policy implementation, analysis and evaluation

- Assignment C                      Submit **by Sun 22 Mar**
- Assignment D                      Submit **by Thu 26 Mar**
- Assignment E                      Submit **by Sun 29 Mar**

2-13 April: Take-home exam-essay.

## READINGS

### 1 – Theory that underpins the way we grapple with housing

Barnett, T., 1988. Feeling the effects of development. Chapter 1 in *Sociology and Development*, Routledge, London. (pages 3-14; 23-32)

Barnett, T., 1988. Development theory: the light of experience. Chapter 2 in *Sociology and Development*, Routledge, London. (pages 33-41)

Giddens, A., 1984. Elements of the theory of structuration. Chapter 1 in Giddens, A., *The Constitution of Society: Outline of the Theory of Structuration*. Polity Press, Cambridge.

#### **Additional reading (not in course pack)**

Beall, J. and Fox, S. 2009. *Cities and Development*, London: Routledge, Ch 1: Development in the first urban century (pp. 1-33)

Beall, J. and Fox, S. 2009. *Cities and Development*, London: Routledge, Ch 2: Urbanisation and development in historical perspective (pp.34-66)

Jenkins, P., Smith, H., Wang, Y.P. (2007). *Planning and Housing in the Rapidly Urbanising World*, Routledge. Ch 2: Development discourse (pp. 34-55)

Tait, J. (1997) Housing, subsistence and simple commodity production in theories of dependent urban reproduction. Chapter 2 (23-48) in Tait, J. (1997) *From Self-help Housing to Sustainable Settlement: Capitalist Development and Urban Planning in Lusaka, Zambia*. Ashgate, USA.

### 2 – Theoretical debates on Housing

#### **2.1. The Turner-Burgess divide**

Nientied, P. and van der Linden, J., 1988. Approaches to low income housing in the Third World. Chapter 9 (138-156) in Gugler, J. (ed.). *The Urbanisation of the Third World*. Oxford University Press, New York.

Turner, J., (1972) Housing as a verb. Chapter, 7 (148-175) in Turner, J. & Fichter, R., (eds) 1972. *Freedom to Build: Dweller Control of the Housing Process*. Macmillan, New York.

Turner, J., 1986. Future directions in housing policies. *Habitat International*, 10(3), 7-25.

Bromley, R., 2003. Peru: 1957-1977: How time and place influenced John Turner's ideas on housing policy. *Habitat International* 27, 271-292.

Burgess, R, 1982. Self-help housing advocacy: a curious form of radicalism. A critique of the work of John F.C. Turner. In Ward, P (ed.), *Self-Help Housing: A Critique*. Mansell, London.

Jenkins, P., Smith, H. and Wang, Y. (eds). 2007. Planning and Housing in the Rapidly Urbanising World. Routledge, London and New York. (Chapter 7: Housing in the period 1960-90, pp.153-177). **[Note: this reading is also useful as an intro to Topic 2.2]**

**Additional reading (not in course pack):**

Kerr, D. and Kwele, N., 2000. Capital accumulation and political reproduction of the urban housing problem in Botswana. *Urban Studies*, 37(8), 1313-1344.

**2.2. Theoretical approaches beyond the Turner-Burgess divide**

**The cultural variable**

Alsayyad, N., 1993. Squatting and culture. A comparative analysis of informal developments in Latin America and the Middle East. *Habitat International*, 17(1), 33-44.

**Consumption**

Clarke, D. and Bradford, M., 1998. Public and private consumption in the city. *Urban Studies*, 35(5-6), 865-888.

**Articulation theory**

Ward, P. and Macoloo, C., 1992. Articulation theory and self-help housing practice in the 1990s. *International Journal for Urban and Regional Research*, 16(1), 60-80.

**Additional reading (not in course pack)**

**Anarchism**

Ward, C., 1990. *Talking Houses. Ten Lectures by Colin Ward*. Freedom Press, London. (Chapter 7: Freedom and the built environment, pp.99-112).

**3 – Frameworks and Concepts Applied to Housing**

**3.1. Frameworks and themes applied to housing: Part 1**

**Urbanisation**

Pieterse, E., 2008. *City Futures: Confronting the Crisis of Urban Development*. Zed Books, London/UCT Press, Cape Town. (Chapter 2: Urbanisation trends and implications)

**Urban poverty**

Amis, P., 1995. Making sense of urban poverty. *Environment and Urbanisation*, 7(1), 145-157.

Beall, J. and Fox, S. 2009. *Cities and Development*, London: Routledge, Ch 4: Urban poverty and vulnerability (pp.103-137)

### **Gender & poverty**

Chant, S., 2013. Cities through a 'gender lens': a golden 'urban age' for women in the global South? *Environment and Urbanization*, 25(1), 1-21.

### **Livelihoods, survival, and vulnerability**

Chambers, R., 1995. Poverty and livelihoods: whose reality counts? *Environment and Urbanisation*, 7(1), 173-204.

Rigg, J, 2007. Structures and Agencies; lives living and livelihoods. Routledge, Abingdon. Ch 2: An everyday geography of the Global South.

#### **Additional reading: not in course pack:**

Wratten, E., 1995. Conceptualising urban poverty. *Environment and Urbanisation*, 7(1), 11-36.

Volbeda, S., 1989. Housing and survival strategies of women in metropolitan slum areas in Brazil. *Habitat International*, 13(3), 157-171.

Beall, J., 1993. The gender dimensions of urbanisation and urban poverty. Paper prepared for the Division of the Advancement of Women, United Nations Office at Vienna. Presented at the Seminar on Women in Urban Areas, Vienna, 8 -12 November, 1993.

Beall, J., Crankshaw, O. and Parnell, S., 2000. Local government, poverty reduction and inequality in Johannesburg. *Environment and Urbanisation*, 21(1), 107-122.

Khan, F., 2003. Housing Poverty and Micro-economy. Part 1 (77-84) in Khan, F. & Thring, P. (eds) *Housing Policy and Practice in Post-Apartheid South Africa*. Heinemann (PTY) Johannesburg.

Moghadam, V., 1998. Feminism and development. *Gender and History*, 10(3), 590-597

Moser, C., 1996. *Confronting Crisis. A Summary of Household Responses to Poverty and Vulnerability in Four Poor Urban Communities*. Environmentally Sustainable Development Studies and Monographs Series No. 7. The World Bank, Washington, D.C.

Moser, C., 1997. Urban social policy and poverty reduction. Chapter 4 (44-61) in Burgess, R., Carmona, M. and Kolstee, T. (eds.), *The Challenge of Sustainable Cities. Neoliberalism and Urban Strategies in Developing Countries*. Zed books, London.

Muthwa, S., 1994. Female household headship and household survival in Soweto. *Journal for Gender Studies*, 3(2), 165-175.

Ross, F. (2005). Model communities and respectable residents? Home and housing in a low-income residential estate in the Western Cape, South Africa. *Journal of Southern African Studies*. V31 Number 3 September p631-648.

Spiegel, A., Watson, V. and Wilkinson, P., 1996. Devaluing diversity? National housing policy and African household dynamics in Cape Town. *Urban Forum*, 7(1), 1-30.

### **3.2. Frameworks and themes applied to housing: Part 2**

#### **Informality**

Huchzermeyer, M., 2011. *Cities with 'Slums': From Informal Settlement Eradication to a Right to the City in Africa*. University of Cape Town Press, Cape Town. (Chapter 3: Informal settlements in the discourse on informality)

#### **Citizenship, civil society**

Friedman, J. 1998. The new political economy of planning: The rise of civil society. Chapter 3 (19-35), in Friedman J. and Douglass, M. (eds.), *Cities for Citizens: Planning and the Rise of Civil Society in a Global Age*. John Wiley & Sons, Chichester.

Ballard, R., Habib, A., Valodia, I. and Zuern, E., 2006. Introduction: from anti-apartheid to post-apartheid social movements. In Ballard, R., Habib, A. and Valoodia, S. (eds), *Voices of Protest: Social Movements in Post-apartheid South Africa*. UKZN Press, Scottsville.

#### **Right to the City**

Huchzermeyer, M. (2014) Humanism, creativity and rights: invoking Henri Lefebvre's right to the city in the tension presented by informal settlements in South Africa today. *Transformation*. 85, 64-89.

#### **Marginality**

Perlman, J. 2003. The myth of marginality revisited: the case of favelas in Rio de Janeiro 1969-2003. Author's manuscript, 24 March. Available online at <http://siteresources.worldbank.org/INTURBANDEVELOPMENT/Resources/336387-1269364699096/6892630-1269364758309/perlman.pdf>

[**Note:** this is a core reading, but please access it online as it does not fit in the course pack]

The same reading is published as:

Perlman, J. (2005). The myth of marginality revisited. The case of favelas in Rio de Janeiro, 1969-2003. Chapter 1 in Lisa M. Hanley, Blair A. Ruble, and Joseph S. Tulchin (eds.). *Becoming Global And The New Poverty Of Cities*. Comparative Urban Studies Project.

#### **Additional reading (not in course pack):**

##### **Autonomy**

Souza, M. L., 2000. Urban development on the basis of autonomy: a politico-philosophical and ethical framework for urban planning and management. *Ethics, Place and Environment*, 3(2), 187-201.

##### **Commercialisation/commodification**

Amis, P., 1984. Squatters or tenants: the commercialisation of unauthorised housing in Nairobi. *World Development*, 12(1), 87-96.

Marcuse, P. and Ahteng, E. (1986) Towards decommodification of Housing, Chapter in Bratt, R., Hartman, C., & Meyerson, A. (1986) *Critical Perspectives on Housing*. Temple University Press, Philadelphia.

### **Civil Society**

Gilbert, A., 1994. Urban Protest. Chapter 7 (127-154) in Gilbert, A., *The Latin American City*. Latin American Bureau, London.

Mayekiso, M., 1996. Campaigns for community-controlled development (1990-1993). Chapter 10 (169-189) in Mayekiso, M., *Township Politics: Civic Struggles for a New South Africa*. Monthly Review Press, New York.

Bolnick, J., 1996. *uTshani buyakhuluma* (the grass speaks): People's Dialogue and the South African Homeless People's Federation (1994-96). *Environment and Urbanisation*, 18(2), 153-170.

### **Citizenship:**

Parnell, S., 2008. Urban Governance in the South: the Politics of Rights and Development. In Cox, K., Low, M. and Robinson, J. (eds), *The Sage Handbook of Political Geography*. Pp.595-608, Sage Publications, London.

### **Right to the city**

Harvey 2008. The right to the city. *New Left Review*, 53, 23-40.

Purcell, M., 2014. Possible Worlds: Henri Lefebvre and the Right to the City. *Journal of Urban Affairs*, 36(1), 141-154.

### **Social justice**

Smith, D., 2002. Social justice and the South Africa city Chapter 4 in Eade, J. & Mele, C. (eds) (2002) *Understanding The City: Contemporary and Future Perspectives*. Blackwell Publishing, U.K.

Young, I. (1999) City Life and Difference. Chapter 8 (226 – 248) in Young, I. (1999) *Justice and the Politics of Difference*. Princeton University Press, USA.

## **4 – Housing Policy**

### **4.1. Themes in housing policy internationally**

#### **Introducing policy**

Herson, L. and Bolland, J., 1990. *The Urban Web: Politics, Policy and Theory*. Nelson-Hall, Chicago. (Chapter 10: The styles and stages of urban policy, pp.215-226)

#### **Participation**

Hickey, S. and Mohan, G., 2004. Towards participation as transformation: critical themes and challenges. In Hickey, S. and Mohan, G. (eds), *Participation: from Tyranny to Transformation?* Zed Books, London and New York. pp.3-24

#### **Partnerships**

Payne, G., 1999. *Making Common Ground: Public-Private Partnerships in Land for Housing*. Intermediate Technology publications, London. (Chapter 1: Introduction, pp. 1-16)

#### **Enablement**

Pugh, C., 1994. The idea of enablement in housing sector development. The political economy of housing for developing countries. *Cities*, 11(6), 357-371.

#### **Equity and efficiency**

Stone, D., 2003. *The Policy Paradox: The Art of Policy Decision-making*. W.W. Norton & Co., New York.

Chapter 2: Equity (pp.39-60)

Chapter 3: Efficiency (pp.61-85)

#### **Sustainability**

Choguill, C., 2007. The search for policies to support sustainable housing. *Habitat International*, 31(1), 143-149.

#### **Tenure and asset creation**

Gilbert, A., 2012. De Soto's mystery of capital: reflections on the book's public impact. *International Development Planning Review*, 34 (3), v-xvii.

#### **Additional reading (not in course pack):**

Imparato, I & Ruster, J. (2003). *Slum Upgrading and Participation*. The World Bank, Washington. (Chapter 1: Facets of Participation, pp.15-42)

Souza, M. L. (2003) Alternative urban planning and management in Brazil: instructive examples for other countries in the South? Chapter 12 (190-206) in Harrison, P., Huchzermeyer, M. & Mayekiso, M. (2003) *Confronting Fragmentation: Housing and Urban Development In a Democratising Society*. UCT Press, Cape Town.

Napier, M., Berrisford, S., Kihato, C., McGaffin, R. and Royston, L., 2013. *Trading Places: Accessing Land in African Cities*. African Minds, Cape Town. Available online at [http://www.urbanlandmark.org/downloads/Ulm\\_book\\_2013s.pdf](http://www.urbanlandmark.org/downloads/Ulm_book_2013s.pdf)



## **4.2. Global housing policy shifts and the role of World Bank & UN-Habitat**

Jenkins, P., Smith, H., Wang, Y. (eds), 2007. *Planning and Housing in the Rapidly Urbanising World*. Routledge, London and New York. (Chapter 8: Post 1990 issues in planning and housing, pp178-203)

Huchzermeyer, M., 2012. World Bank. Draft entry for the *Encyclopedia of Housing*, pp.783-787. Sage, London.

Huchzermeyer, M., 2013. 'Slum' upgrading or 'slum' eradication? The mixed message of the MDGs. In Langford, M., Sumner, A. and Yamin, A. (eds). *The Millennium Development Goals and Human Rights: Past, Present and Future*. pp.295-315. Cambridge University Press.

Proposals for Sustainable Development Goals:

<https://sustainabledevelopment.un.org/sdgsproposal> (please access these online, not provided in the course pack)

### **Additional reading (not in course pack):**

Stren, R., 1990. Urban housing in Africa: the changing role of government policy. Chapter 1 (35-54) in Amis, P. and Lloyd, P. (eds.), *Housing Africa's Urban Poor*. Manchester University Press, Manchester.

Buckley, R. and Kalarickal, J., 2005. Housing policy in developing countries: Conjectures and refutations. *The World Bank Research Observer*, 20(2), 233-257.

Pugh, C., 2001. The theory and practice of housing sector development for developing countries, 1950-1999. *Housing Studies*, 16(4), 399-423.

Pugh, C., 1995. The role of the World Bank in housing. Chapter 2 (34-92) in Aldrich, B. and Sandhu, R. (eds.), *Housing the Urban Poor: Policy and Practice in Developing Countries*. Zed books, London.

Baken, R. and van der Linden, J., 1993. 'Getting the incentives right': banking on the formal private sector. A critique of current World Bank thinking on low-income housing delivery in third world cities. *Third World Planning Review*, 15(1), 1-22.

You, N. & Waceke, A. (2003) Attaining the Millennium Development Goals (MDG) through good urban governance. Paper presented in the conference on Urbanisation and Housing Challenges: Cities in Africa, from 6<sup>th</sup> to 8<sup>th</sup> October 2003, Johannesburg.

Erguden, S. (2001) Low-cost Housing: Policies and Constraints in Developing Countries. Paper presented at the International Conference on Spatial Information for Sustainable Development, Nairobi, Kenya, 25th October by Acting Chief, Housing Policy Section, UN-Habitat (<http://www.fig.net/figtree/pub/proceedings/nairobi/erguden-CMTS1-1.pdf>.)

### **UN Summits**

UNCED, 1992. Agenda 21. *The United Nations Conference on Environment and Development*. Available online at <http://www.igc.apc.org/habitat/agenda21/index.html>

UNCHS (Habitat), 1996. *Habitat Agenda and Istanbul Declaration*. United Nations, New York. Available online at [http://ww2.unhabitat.org/declarations/habitat\\_agenda.asp](http://ww2.unhabitat.org/declarations/habitat_agenda.asp)

UNCHS (Habitat), 2001. *Istanbul + 5*. General Assembly, Special Session for an Overall Review and Appraisal of the Implementation of the Habitat Agenda. New York, 6-8 June. Available online at <http://www.un.org/ga/Istanbul+5/maccreditation.pdf>

UN-Summit on Sustainable Development 2002, Johannesburg – see various documents: <http://www.johannesburgsummit.org/index.html>

### **Commentary on UN Summits**

Leaf, M., 1997. The many agendas of Habitat II. *Cities*, 14(1), v-vii.

Wakely, P., 1996. Building on the success of Habitat II. *Third World Planning Review*, 18(3), iii-vii.

### **Millennium Development Goals – MDGs – Target 11**

Garau, P., Sclar, E. and Carolini, G., 2005. *A Home in the City: Achieving the Millennium Development Goals*. UN Millennium Project Task Force on Improving the Lives of Slum Dwellers. Earthscan, London.

Huchzermeyer, M. (2011). *Cities with 'Slums': From Informal Settlement Eradication to a Right to the City in Africa*. University of Cape Town Press, Cape Town.

Proposed Sustainable Development Goals

<https://sustainabledevelopment.un.org/sdgsproposal>

### **Fieldtrip reading (please access these core readings online as far as possible):**

#### **Pennyville:**

City of Joburg, 2008. Pennyville finally becomes a home. City of Joburg News, 12 September. Available online at

[http://www.joburg.org.za/index.php?option=com\\_content&id=2956:pennyville-officially-launched&Itemid=204](http://www.joburg.org.za/index.php?option=com_content&id=2956:pennyville-officially-launched&Itemid=204)

Urban LandMark, 2011. Urban LandMark Land Release Assessment Tool: Pennyville Case Study Report. Prepared by PDG, commissioned by Urban LandMark, Pretoria. Available online at [http://www.urbanlandmark.org.za/downloads/lram\\_pennyville\\_cs\\_2011.pdf](http://www.urbanlandmark.org.za/downloads/lram_pennyville_cs_2011.pdf)

Landman, K. (2010). A home close to opportunities in South Africa: Top down vision or bottom up demand? *Town and Regional Planning*, 56, 8-17.

Baloyi, N (2014) Sustainable State Housing Programmes: The Case of Pennyville. Unpublished BSc URP (Hons) research report, University of the Witwatersrand. [hard copy available in the library]

**Braamfischerville:**

De Klerk, A., 2011. 'I'm tired of living like this': The Gauteng housing department is to demolish at least 115 RDP houses less than five years after the houses were handed to their beneficiaries. *Mail and Guardian*, 15 April. Available online at <http://mg.co.za/article/2011-04-14-im-tired-of-living-like-this>

Moolla, R., Kotzé, N. & Block, E. K. (2011) Housing satisfaction and quality of life in RDP houses in Braamfischerville, Soweto: A South African case study, *Urbani Izziv* 22(1), 138-143. (download this paper through the e-journal portal of the library <http://wits.worldcat.org/openurlresolver/search>)

**Sol Plaatje:**

Wilson, S., 2003. Relocation and Access to Schools in Sol Plaatje. Research Report. Law and Transformation Programme, Centre for Applied Legal Studies, University of the Witwatersrand, Johannesburg. Available online at: <http://pmg-assets.s3-website-eu-west-1.amazonaws.com/docs/2003/appendices/030610wits.pdf>

Donnelly, L., 2007. Sol Plaatje gets a facelift. *Mail and Guardian*, 4 September. Available online at <http://mg.co.za/article/2007-09-04-sol-plaatje-gets-a-facelift>

City of Joburg, 2007. Times are changing at Sol Plaatje. City of Joburg News, 11 October. [http://www.joburg.org.za/index.php?option=com\\_content&view=article&id=1741:times-are-changing-at-sol-plaatje&catid=198&Itemid=198](http://www.joburg.org.za/index.php?option=com_content&view=article&id=1741:times-are-changing-at-sol-plaatje&catid=198&Itemid=198)

Charlton, S. and Silverman, M. 2004. Why I'd rather live in a shack. *Mail & Guardian*, 5 November. Available online at <http://www.afrika.no/Detailed/6565.html>

**4.3. South African post-apartheid housing policy and shifts**

Charlton, S. and Kihato, C., 2006. Reaching the poor? An analysis of the influences on the evolution of South Africa's housing programme. In Pillay, U., Tomlinson, R, du Toit, J (eds), *Democracy and Delivery: Urban Policy in South Africa*. HSRC Press, Cape Town.

Huchzermeyer, M. and Karam, A. (forthcoming). *South African housing policy over two decades: 1994-2014*. In Kepe, T., Levin, M. and von Liers, B. (eds), *Twenty Years of Freedom*. UCT Press, Cape Town.

**Other readings: not in course pack**

**History**

Bond, P., 2000. *Elite Transition: From Apartheid to Neo-liberalism in South Africa*. University of Natal Press, Pietermaritzburg, and Pluto Press, London. (Chapter 4: The housing question. pp.122-151).

Huchzermeyer, M., 2001. Housing for the poor? Negotiated housing policy in South Africa. *Habitat International*, 25(3), 303-331.

Napier, M. (2003) Supporting the People's Housing Process. Chapter 10 (321-362) in Khan, F. & Thring, P. (eds) *Housing Policy and Practice in Post-Apartheid South Africa*. Heinemann (PTY) Johannesburg.

Baumann, T. (2003). Housing Policy and Poverty in South Africa. Chapter, 2 (85-114) in Khan, F. & Thring, P. (eds) *Housing Policy and Practice in Post-Apartheid South Africa*. Heinemann (PTY) Johannesburg.

Wilkinson, P., 1998. Housing policy in South Africa. *Habitat International*, 22(3), 215-229.

Bond, P. and Tait, A., 1997. The failure of housing policy in post-apartheid South Africa. *Urban Forum*, 8(1), 19-41.

Tomlinson, M., 1998. South Africa's new housing policy: an assessment of the first two years, 1994-1996. *International Journal of Urban and Regional Research*, 22(1), 137-146.

Parnell, S. and Hart, D., 1999. Self-help housing as a flexible instrument of state control in 20<sup>th</sup>-century South Africa. *Housing Studies*, 14(3), 367-386.

#### **Different angles on SA housing policy and delivery**

Jenkins, P., 1999. Difficulties encountered in community involvement in delivery under the new South African housing policy. *Habitat International*, 24(4), 431-446.

Laloo, K., 1999. Arenas of contested citizenship: housing policy in South Africa. *Habitat International*, 23(1), 35-47.

Lemanski, C. (2009). Augmented Informality: South Africa's Backyard Dwellings As A By-Product Of Formal Housing Policies. *Habitat International* .33.4, 472-84

Lemanski, C., 2011. Moving up the ladder or stuck on the bottom rung? Homeownership as a solution to poverty in urban South Africa. *International Journal of Urban and Regional Research*, 35(1), 57-77.

Rust, K., Zack, T. and Napier, M., 2009. How a focus on asset performance might help 'Breaking New Ground' contribute towards poverty reduction and overcome the two-economies divide. *Town and Regional Planning*, 54, 51-61.

Charlton, S., 2014. Public housing in Johannesburg. Chapter 9 in Harrison, P., Gotz, G., Todes, A. and Wray, C. (eds), *Changing Space, Changing City: Johannesburg after Apartheid*. Pp. 176-193. Wits University Press, Johannesburg.

Ross, F., 2005. Model communities and respectable residents? Home and housing in a low-income residential estate in the Western Cape, South Africa. *Journal of Southern African Studies*, 31(3), 631-648.

Banks, L., 2011. Home Spaces, Street Styles. *Contesting Power and Identity in a South African City*. Pluto Press, New York and Wits University Press, Johannesburg.

#### **Comparison with World Bank policy**

Jones G. and Datta, K., 2000. Enabling markets to work? Housing policy in the 'New' South Africa. *International Planning Studies*, 5(3), 393-416.

#### **Policy documents**

Department of Housing, 1994. White Paper on Housing. A New Housing Policy and Strategy for South Africa. *Government Gazette*, 354(16178), 23 December

Department of Housing, 2004. *"Breaking New Ground" – A Comprehensive Plan for the Sustainable Development of Human Settlements*. Department of Housing, Pretoria.

Department of Housing, 2009 with updates. *National Housing Code*. Department of Housing, Pretoria. <http://www.dhs.gov.za/content/national-housing-code-2009>

Pottie, D. 2003. Housing the nation: The politics of low-cost housing policy in South Africa since 1994. *Politeia*, 22(1), 119-143.

#### **Social Housing**

Social Housing Regulatory Authority – resources:

<http://www.shra.org.za/resource-centre/shf-archives/publications-a-documents>

#### **4.4 Housing policies in other countries**

[Note: Each student decides on a country and receives the literature copies for that country]

Charlton, S; Meth, P; Salcedo, R; Ibarra, G; Morales, E and Buire, C (forthcoming) State-sponsored mass housing programmes: outcomes for households. Experiences from Chile, South Africa, Mexico, and Angola. Authors' draft manuscript.

##### **Kenya:**

Hendriks, B., 2014. Housing and the urban poor in Kenya: opportunities for increased partnerships and innovative practices. Chapter 24 in Bredenoord, J., van Lindert, P. and Smets, P. (eds), *Affordable Housing in the Global South: Seeking Sustainable Solutions*. Pp. **Insert page numbers**, Earthscan/Routledge, London.

Huchzermeyer, M., 2011. Tenement Cities: From 19<sup>th</sup> Century Berlin to 21<sup>st</sup> Century Nairobi. Chapter 7: Defiance of the modern plan: the creation of Nairobi's tenement districts. Pp.157-189.

##### **Mexico:**

Bredenoord, J. and Cabrera, L., 2014. Affordable housing solutions for low-income groups in Mexico and urban housing challenges of today. Chapter 15 in Bredenoord, J., van Lindert, P. and Smets, P. (eds), *Affordable Housing in the Global South: Seeking Sustainable Solutions*. Pp. **Insert page numbers**, Earthscan/Routledge, London.

Ferguson, B., 2014. Housing public-private partnerships in Latin America: lessons from Mexico and elsewhere. *Private Sector and Development*, 19, 21-24.

**Chile:**

Richards, B., 1995. Poverty and housing in Chile: the development of a Neo-liberal welfare state. *Habitat International*, 19(4), 515-527.

Salcedo, R. (2010). The Last Slum: Moving from Illegal Settlements to Subsidized Home Ownership in Chile. *Urban Affairs Review*, 46 (1) 90-118.

**India:**

Anand, N. and Rademacher, A. (2011). Housing in the urban age: inequality and aspiration in Mumbai. *Antipode*. 43(5), 1748-1772.

*Sengupta, U., 2014. New frontiers and challenges for affordable housing provision in India. Chapter 9 in Bredenoord, J., van Lindert, P. and Smets, P. (eds), Affordable Housing in the Global South: Seeking Sustainable Solutions. Pp. Insert page numbers, Earthscan/Routledge, London.*

**Angola:**

Gastrow, C., 2014. *Negotiated Settlements: Housing and the Aesthetics of Citizenship in Luanda, Angola*. PhD Dissertation, Department of Anthropology, University of Chicago. Extract from Chapter One: 'Governance is Something which Evolved: Housing, Construction, and the State in Luanda, Angola'.

Croese, S., 2010. 1 Million Houses? Angola's National Reconstruction and Chinese and Brazilian Engagement. Study carried out with a grant from FAHAMU under the 'Comparative African Perspectives on China and other emerging powers in Africa' project and in collaboration with Development Workshop Angola, Luanda.

**Additional reading (not in course pack):**

**Brazil**

Pasternak, S. and D'Ottaviano, C., 2014. Half a century of self-help in Brazil. Chapter 16 in Bredenoord, J., van Lindert, P. and Smets, P. (eds), *Affordable Housing in the Global South: Seeking Sustainable Solutions*. Pp. 242-255. Earthscan/Routledge, London.

Huchzermeyer, M., 2002. Informal settlements: production and intervention in twentieth century Brazil and South Africa. *Latin American Perspectives*, 29(1), 83-105.

Rolnick, R. & Cymbalista, R., 2003. self-Managed Housing Construction: The Experience of Sao Paulo. Chapter 17 in Harrison, P., Huchzermeyer, M. & Mayekiso, M. (2003) *Confronting Fragmentation: Housing and Urban Development In a Democratising Society*. Pp.278-293, UCT Press, Cape Town.

Denaldi, R., 1997. Viable self-management: the FUNACOM Housing Programme of the São Paulo Municipality. *Habitat International*, 21(2), 213-227.

Denaldi, R., Bagnariolli, I and Klink, J., 1997. Constructing an alternative housing policy at the local level. *Open House International*, 22(3), 43-50.

Kowarick, L. and Ant, C., 1994. One hundred years of overcrowding. Slum tenements in the city. Chapter 2 (60-76), in Kowarick, L. (ed.), *Social Struggles and the City: The Case of São Paulo*. Monthly Review Press, New York.

**Cuba, Jamaica, Nicaragua:**

Mathey, K. (1997) Self-help Housing Strategies in Cuba: An Alternative to Conventional Wisdom? Chapter, 9 (164-187) in Potter, R.B. & Conway, D. (1997) *Self-help Housing, the Poor and the State in the Caribbean*. University of Tennessee Press, USA.

Klak, T., 1997. Obstacles to low income housing assistance in the capitalist periphery: the case of Jamaica. Chapter 6 (102 – 119) in Potter, R. & Conway, D. (eds), 1997 *Self-help Housing, the Poor and the State in the Caribbean*. University of Tennessee Press, West Indies.

Mathéy, K., 1990. Nicaragua. Chapter (71-95) in Mathéy, K. (ed.), 1990. *Housing Policies in the Socialist Third World*. Profil Verlag, München.

**Germany/Berlin:**

Levine, M., 2004. Government policy, the local state, and gentrification: the case of Prenzlauer Berg (Berlin), Germany. *Journal of Urban Affairs*, 26(1), 98-108.

**US:**

Hays, R., 1995. *The Federal Government and Urban Housing: Ideology and Change in Public Policy*. State University of New York Press, New York. (Chapter 1: Power, ideology, and public policy, pp1-57)

**4.5 Policy implementation, policy analysis, policy evaluation**

Nakamura, R. and Smallwood, F., 1980. *The Politics of Implementation*. St Martin's Press, New York. (Chapter 5: Policy Evaluation (Environment III))

Dye, T., 1978. *Understanding Public Policy*. Prentice-Hall, Londond. (Chapter 15: Policy evaluation: finding out what happens after a law is passed, pp.352-375)

**Policy analysis**

Malpass, P. & Murie, A. (1999) Introduction: Analysing Housing Policy. Chapter 1 (1-14) in Malpass, P. & Murie, A. (1999) *Housing Policy and Practice*. Palgrave. U.K.

Tomlinson, M. 2011 Managing the risk in housing delivery: local government in South Africa. *Habitat International*, 35, 419-425.

Charlton, S., 2013. State Ambitions and People's Practices: An Exploration of RDP Housing in Johannesburg. PhD thesis, Town and Regional Planning, University of Sheffield, Sheffield.  
Chapter 2: Studying state and people interactions with a housing benefit – **in particular pages pp. 68-89**

**Additional reading (not in course pack)**

Rein, M. and Schön, D., 1993. Reframing policy discourse. Chapter (145-166) in Fischer, F. and Forester, J. (eds.), *The Argumentative Turn in Policy Analysis and Planning*. UCL Press, London.

Pithouse, R., 2009. Progressive policy without progressive politics: lessons from the failure to implement 'Breaking New Ground'. *Town and Regional Planning*, 54, 1-14.

Fischer, F., 1993. Policy discourse and the politics of Washington Think Tanks. Chapter (21-42) in Fischer, F. and Forester, J. (eds.), *The Argumentative Turn in Policy Analysis and Planning*. UCL Press, London.

Angel, S., 2002. Housing policy reform in global perspective. *Land Lines*, April, 8-11.

Pottie, D., 2003. Challenges to local government in low-income housing delivery. Chapter 13 in Khan, F. and Thring, P. (eds), *Housing Policy and Practice in Post-apartheid South Africa*. Heinemann, Sandown.

Stone, D. 2002. *Policy Paradox: The Art of Political Decision Making*. Revised Edition. WW Norton, London.

Anderson, J. *Public Policymaking. Fifth Edition*. Houghton Mifflin, Boston.

Financial and Fiscal Commission, 2013. *Exploring alternative finance and policy options for effective and sustainable delivery of housing in South Africa*. Financial and Fiscal Commission, Midrand. Available online (please enter title into Google)

Vorster, J. H. & Tolken, J. E., 2009. *Western Cape Occupancy Study*. Commissioned by the Provincial Government of the Western Cape, Department of Local Government and Housing, Cape Town. Available online at [http://www.westerncape.gov.za/Text/2012/8/hs\\_wc\\_occupancy\\_study\\_2008.pdf](http://www.westerncape.gov.za/Text/2012/8/hs_wc_occupancy_study_2008.pdf)

**Important library resources:**

Architecture Library has the new *Encyclopedia of Housing* (Sage) HD7287ENC (Architecture reference).

Wits Library also subscribes to the *International Encyclopedia of Housing and Home*. This is online (accessed via e-Wits Catalogue), also very recent and up to date. <http://0-lib.myilibrary.com.innopac.wits.ac.za/Open.aspx?id=362599>