





ANNUAL PERFORMANCE PLAN 2019 - 2020



FOREWORD BY THE MINISTER

The National Development Plan recognises that where people live and work matters. It is in this context that the department in collaboration with other sector departments, spheres of government, private sector and civil society are partnering in the delivery of human settlements with a view of scaling up delivery while ensuring that there are long term investments needed to generate jobs and economic returns that support sustainable urban growth

In the coming year, our focus will be in ensuring that the goal of achieving the spatially and socio-economically integrated settlements, communities and neighbourhoods is realised. This will be realised through the accelerated implementation of catalytic projects which are vehicles for spatial restructuring. In our endeavour to

transform the residential property market; we commit to ensuring that there is a dedicated focus targeting low-moderate income households' participation in the residential property market. We will expand access to housing finance for end-users, bridge finance for contractors and developers whilst also ensuring access to well-located land; infrastructure and services

Gender equality and empowerment remain central to human settlements In order to meet the challenges and opportunities facing women and youth, we will ensure their full participation in the implementation of our programmes

While we note the remarkable

progress made over the past years, we remain conscious of the challenges and the limitations we have to contend

with in achieving our goal of service delivery in the human settlements context. The 2019/20 Annual Performance Plan articulates our acknowledgment that we cannot discharge our responsibilities towards the citizens of South Africa in the same manner as we have done previously. As such, strategies and programmes articulated in this plan aim to reinforce the goal to create social and economic livelihoods through decent housing that allows citizens to build family and community life in a holistic and inclusive sense.



LN SISULU, MP
MINISTER OF HUMAN SETTLEMENTS,
WATER AND SANITATION

OFFICIAL SIGN OFF

It is hereby certified that the departmental Annual Performance Plan 2019/2020 and for the outer years:

Was reviewed by the Management of the Department for Human Settlements under the guidance of the Honourable Minister LN Sisulu

Takes into account all the relevant policies, legislation, the medium term strategic framework as well Treasury Regulation 6 2 1 and other mandates for which the Department of Human Settlements is responsible for

Accurately reflect the strategic outcome oriented goals and performance targets which the Department of Human Settlements will endeavour to achieve given the resources made available in the budget for the MTEF

SINDISIWE NGXONGO Signature:

Chief of Operations

FUNANI MATLATSI Signature:

Chief Financial Officer

MBULELO TSHANGANA Signature: Mangani/

Director-General

LINDIWE SISULU Signature:

Executive Authority



2019 - 2020

TABLE OF CONTENTS

PART A: STRATEGIC OVERVIEW	
1 Updated Situational Analysis 1 1 Performance Environment	
1 2 Organisational Environment	
2 Revision of Legislative and other mandates	1
3 Overview of the 2019/20 Budget & Mtsf Estimates	11
31 Expenditure Estimates	10
3 2 Relating expenditure trends to strategic outcome oriented goals	1
PART B: PROGRAMME AND SUB PROGRAMMES PLAN	19
4 Programme and Sub programme plans	1
4 1 Programme 1: Administration	1
4 2 Programme 2: Human Settlements Policy and strategy	2.
4 3 Programme 3: Programme Monitoring and Delivery Support	30
4 4 Programme 4: Housing Development Finance	3'
4 5 Reconciling performance targets with the Budget and MTEF 2019/22	4
PART C: LINKS TO OTHER PLANS	5
5 Links to the long term infrastructure and other capital plans	5
6 Conditional grants	5
7 Public Entities	5
8 Public Private Partnerships	51
ANNEXURE D	57
Vision	5
Mission	5
Values	5
Strategic Outcome oriented goals	5
ANNEXURE E: TECHNICAL INDICATOR DESCRIPTION	59
ANNEXURE F: ANNEXURE TO 2015/2020 DEPARTMENTAL STRATEGIC PLAN	10:

ACRONYMS

BAS: Basic Accounting System PSETA: Public Service Sector Education and Training Authority
MP: Housing Development Agency

BNG: Breaking New Grounds RHLF: Rural Housing Loan Fund

MTEF: Medium Term Expenditure Framework HLA: Housing Land Availability
CCT: City of Cape Town RMC: Risk Management Committee

MTSF: Medium Term Strategic Framework HR: Human Resource

CD: Chief Director SITA: State Information Technology Agency

NDP: National Development Plan HS: Human Settlements
CIO: Chief Investment Officer SLA: Service Level Agreement

NEDLAC: National Economic Development and Labour Council HSDG: Human Settlements Development Grant CRU: ShrA: Social Housing Regulatory Authority

NHBRC: National Home Builders Registration Council HSS: Housing Subsidy System

CSOS: Community Schemes Ombud Service SIPs: Strategic Integrated Projects

NHFC: National Housing Finance Corporation ICT: Information and Communications Technology

DDG: Deputy Director-General TRC: Truth and Reconciliation Commission

NUSP:National Upgrade support ProgrammeIGR:Inter-Governmental RelationsDFI:Development Finance InstitutionSOP:Standard Operating ProcedureNURCHA:National Urban Reconstruction and Housing AgencyIMS:Information Management System

DHS: Department of Human Settlements UISP: Upgrading of Informal Settlements Programme

OPSC: Office of the Public Service Commission IT: Information Technology

DoHS: Department of Human Settlements USDG: Urban Settlements Development Grant

PERSAL: Personal and Salary System MEC: Member of the Executive Council

DPSA: Department of Public Service and Administration MOU: Memorandum of Understanding

PFMA: Department of Public Service and Administration MOU: Memorandum of Understanding PFMA: Public Finance Management Act

PICC: Presidential Infrastructure Coordination Commission

ENE: Estimated National Expenditure

PHP: People's Housing Process

EAAB:

FLISP: Finance Linked Individual Subsidy Programme
PSC- BC: Public Service Coordinating Bargaining Council
FOSAD: Forum of South African Directors-General

Estate Agency Affairs Board

PART A: STRATEGIC OVERVIEW

PART A: STRATEGIC OVERVIEW

1. Updated Situational Analysis

The Department of Human Settlements is committed to facilitating and supporting the creation of sustainable and integrated human settlements across the country. This commitment is in response to the NDP proposal and is outlined in the Medium Term. Strategic Framework 2014 – 2019 which includes the promotion of social inclusion, economic growth, ensuring that beneficiaries obtain security of tenure and spatial restructuring.

Though government delivered more than 45 million housing opportunities since the dawn of democracy, the demand for housing closer to economic opportunities continue to be the main problems facing the country The demand for housing has been influenced by the increase in urbanization which has unintendedly resulted to an increase to informal dwellings putting pressure in the country's existing infrastructure Statistics South Africa estimates that by 2020, there will be about 3 6 million new household formations with more than 2 million falling within the income category of less than R 3 500 per month will contribute to an increasing demand for housing Currently the demand for adequate housing is estimated at about 2 2 million households, which includes households in informal settlements and backyard shacks Of these, about 1 7 million are registered in the Department of Human Settlements' Housing Needs Database

In order to deal with the high demand for housing that varies per income category, government in collaboration require a different approach taking into consideration the existing economic climate and the need for shelter With only 30% of South Africans that are able to purchase a house of more than R 500 000, and the shrinking tax base that has widened the national expenditure, the new approach must encompass a number of sustainability factors that will address a wide range of challenges within the housing and human settlements environment The department will in the coming years focus in implementing the following strategies:

- Assist the poor and low moderate income households to access numerous housing opportunities through the Human Settlements development bank
- Coordinate development and ensure development occurs in areas where there is bulk infrastructure
- Implement capacity development initiatives
- Coordinate the implementation of the catalytic programme
- Coordinate the development planning process and ensure that settlements are developed in townships that are proclaimed
- Ring-fence funding for the title deeds restoration programme

The proposed strategies will be implemented through the collaborative agreements and arrangements with all spheres and sector departments thus enabling the transformation of settlements developed and achieving the social cohesion as envisioned in the New Urban Agenda To achieve and realise the overall MTSF-NDP-New Urban Agenda goals and objectives,

the department will focus at:

- a) Improving sector planning and delivery capabilities,
- b) Coordinating and ensuring that the upgrading of informal settlements programme is accelerated,
- Ensuring that all beneficiaries are provided with security of tenure over the medium-long term
- d) Ensuring that the establishment of the Human Settlements development bank is completed
- e) The establishment of Human Settlements Ombudsman to provide the citizens the ability to hold their government to account for its decisions

The rent defaulters on public and private rental is continuing to put pressure on the limited resources and the Department together with Social Housing Regulatory Authority (SHRA) is currently developing a comprehensive rental strategy and policy that will respond to the growing demand and issues of default in the rental market However, the department realises that this require a government wide

intervention since there has been a default policy response of a rental relief subsidy for the poorest of the poor that has been implemented so that the poorest of the poor fall into a social safety net and are able to access basic services. The above has also been impacted by government's inadequate response to dealing with emergency housing needs given that the Courts continue to enjoin national, provincial to local authorities in addressing overcrowding, health and safety issues in inner city buildings

Through the support of human settlements entities and the work of the Centre for Affordable Housing in Africa, the Department will continue to analyse the transactions in the housing and the residential property market so as to ensure that it increases its contribution to economic growth This will require more capacity and the piloting of transactional support services in various provinces

The Department will continue to ensure that it reviews the development cost implement new models including the higher density designs with an aim of ensuring efficient use of space and providing a sufficient response to the needs of the poor

1.1 Performance Environment

The Department of Human
Settlements (DHS) is currently implementing its 2015-2020 Strategic Plan
The department within the framework of the NDP, the 2015-2020 Strategic
Plan is structured around three strategic outcome-oriented goals that include:

- Enhanced efficiency and effectiveness of the department
- Integrated and responsive human settlements sector planning and policy environment
- Increased delivery of adequate housing in quality living environments

The efforts of the DHS various programmes and the Department's funding (including grants transferred to provinces and metros) are now directed towards the achievement of the above strategic outcome-oriented goals For each of these goals, DHS defined a number of strategic objectives linked to the five year targets

While the department has set goals that will contribute to the realization of sustainable human settlements, the achievement of these goals is dependent on external forces that include:

- Availability of clear funding mechanism for infrastructure including bulk that unlocks some of the development challenges
- Coordinated planning from local government, provinces along the identified priority precincts that have major influence on spatial restructuring
- Efficient utilization of human settlements grants by provinces and municipalities which also is a key lever to the success of human settlements programmes and the achievement of national targets
- Availability of planning and delivery capacity

Without these, performance in the human settlements the sector will continue to experience the same challenges thus not be able to deal with the high demand for housing that has resulted to overcrowding, inadequate dwellings, deplorable urban environ-

ment, degrading public infrastructure and outright homelessness Unlike the human rights-based framework of transitional justice that underpinned the Truth and Reconciliation Commission (TRC), issues such as sanitation, housing and public education can only be addressed by long-term state interventions at a systemic level Conventional transitional justice mechanisms such as commissions, courts and tribunals are seldom able to adequately address these structural problems and inequalities

Over the Medium Term Expenditure
Framework period the Sector has
been subjected to serious budget cuts
This has largely been due to precarious
situation of the fiscus as the economy
has not been performing well This has
meant that the sector has to find ways
to do more with less

In recent years there have been more and more instances of irregular expenditure and therefore there is a need to train/capacitate staff members and ensure that there is consequent management

During the 2019/20 financial year the Department will embark on a process of developing the 2019/24 Medium Term Strategic Framework (MTSF) and the 2020/25 Strategic plan

Due to provision of free tertiary education to the deserving and needy students the sector lost a total over 10 8 billion in the Medium Term Expenditure Framework (MTEF) period The said government reprioritisation is as follows 3 059 billion for 2018/19, 3 759 billion for 2019/20 and 3 997 billion in the 2020/21 financial year

The table below sets out housing delivery through the national housing programme since 2014

Year	Serviced Sites Completed	Houses/Units Completed	Total Housing Opportunities
2013/2014	48,193	105,936	154,129
2014/2015	49,345	94,566	143,911
2015/2016	52,349	99,904	152,253
2016/2017	56,886	89,186	146,072
2017/2018	50,309	86,006	136,315
TOTAL	257,082	475,598	732,680

1.2 Organisational Environment

The Department's main strategic goal is to deliver adequate housing in quality living environments. Through the implementation of the 2014-2019 MTSF, the departmental strategic approach is focused at increasing housing opportunities and improved settlement functionality, efficiencies and resilience. As indicated earlier the Human Settlements sector is in its final year of implementing the 2014/19 MTSF and will be engaging all the role-players in developing the 2019/24 MTSF.

Though there has not been a significant change in the organisation, the department is intending to conclude the review of the organizational structure which takes into account the delivery needs and the budget con-

straints the department is faced with. The reviewed structure will enable the department to function better through regions. This will enhance the support offered to provinces and municipalities.

In terms of the BNG strategy, the department has to "consolidate the legislative framework governing aspects relating to corruption by drafting Department's specific strategies such as Risk and Fraud management"

Although much work has been done since the drafting of the BNG strategy, there have been instances of fraud experienced in the Department There is therefore a need to constantly review the effectiveness of the current

controls and where necessary enhance the current measures

Critical SMS posts and posts on levels 2 -12 were prioritized as critical and filled on 3 year contract basis while a more permanent solution is still sort

Under programme 3 the following will be prioritise during the 2019/20 financial year; within the context of providing adequate housing to the poor, the upgrading of informal settlements, the provision of various forms of Rental Housing and the provision of housing in terms of other programmes captured in the Housing Code remains a priority of Government These programmes are to be implemented by the various provinces and municipalities

The Department will continue to provide the necessary support to provinces and municipalities for the implementation of the programmes while it will support the Social Housing Regulatory Authority (SHRA) in the implementation of the Social Housing programme The National Upgrading Support Programme (NUSP) which was developed as an instrument to support provinces and municipalities to implement informal settlement upgrading will continue as a support programme with a view to conduct feasibility assessments for informal settlements, develop upgrading plans for targeted informal settlements and building the capacity of officials and communities in the field of in situ upgrading

A total of 1 500 feasibility assessments and approximately 850 informal settlement upgrading plans have been conducted and developed since the introduction of the NUSP and it is expected that these figures will increase to approximately 2 100 and 1 500 respectively by the end of the 2018/19 financial year

However, provinces and municipalities have not consistently implemented in situ upgrading plans and the Department's focus will have to include the provision of support in the compilation of annual plans of provinces and metropolitan municipalities to ensure the implementation of informal settlement upgrading projects. In this regard it is intended to establish a dedicated percentage of the HSDG and the USDG which must be applied for informal settlement upgrading.

The capacity of the Chief Directorate Programme Implementation and Facilitation remains a challenge and some vacant posts are not funded Two individuals have been appointed on contract to assist the Department in the implementation of the NUSP and it is expected that the planned outputs will be achieved There is a concern regarding the ability of some provinces and municipalities to implement in situ informal settlement upgrading and the Capacity Building Programme

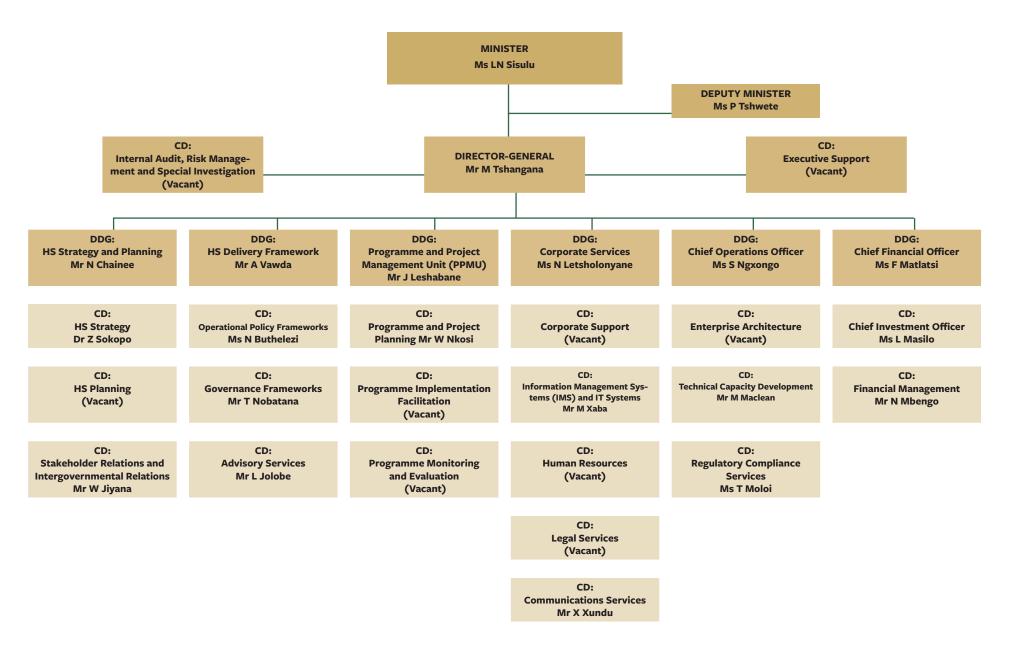
Business Continuity Management (BCM)

The department takes cognisance of the importance of business continuity during unplanned disruptions Business Continuity Forum has therefore been recently established with Deputy Director General: Corporate Services appointed as the chairperson The forum will oversee the development and implementation of Business Continuity Management, ensuring

development of relevant disaster prevention and recovery strategies to mitigate against disruptive incidents Such strategies include Business Impact Assessment to inform Business Continuity Strategy; and the development of Business Continuity Plan for implementation as outlined in the 2019/20 Enterprise Wide Strategic Risk Register

The department however lacks the BCM expertise and is still consulting with other departments which successfully implemented BCM to learn already tried and tested methods before committing to measurable targets in the departmental Annual Performance Plan (APP)

DEPARTMENTAL ORGANISATIONAL STRUCTURE



2019 - 2020

2. Revision of Legislative and other mandates

Review of the Housing Code and the Housing Act

The Presidential Proclamation in 2009 (General Notice No 1570 of 2009), established the Department of Human Settlements, and by so doing, it signalled a shift from housing being just a roof over people's heads, to providing sustainable and integrated human settlements where people can work, pray, play and have access to amenities required for their dayto-day living The mandate for the Department of Human Settlements also changed to ensure or facilitate the creation of sustainable human settlements and the improvement of the quality of household life And its core functions are to determine. finance, promote, communicate and monitor the implementation of housing and sanitation programmes, among others

With the change in vision, and in line with, among others, the Comprehensive Plan for the Development of Sustainable Human Settlements, 2004, the MTSF-NDP-New Urban Agenda,

and Vision 2030, etc , a need arose to for the review of the underlying policy programmes in the Housing Code and measures which are underpinned by the Housing White Paper, and legislated upon through the Housing Act, 1997

All of these policy and legislative instruments dealt with a different political and socio-economic environment, and thus their purpose was to, among others: stabilise the housing environment, mobilise housing credits and private savings, provide subsidy assistance to disadvantaged households to assist them to gain access to housing, support the people's housing process, rationalise institutional capacities in the housing sector, facilitate the speedy release and servicing of land, and to coordinate and integrate public sector investment and intervention on a multi-functional basis

In 2004, the Comprehensive Plan sought to, among others, accelerate housing delivery, improve the quality of housing products, and environments to ensure asset creation, ensure a single efficient formal housing market, and to restructure and

integrate human settlements Now that the Department stands on the brink of a new MTSF period, and having achieved so much in service to the people of this country and towards the progressive realisation of the right to housing enshrined in the Constitution, a need has arisen to review and align policies and programmes in the Housing Code informed by experiences arising from implementation, the new vision, and the goals set out in the NDP for 2030 Inevitably, the review of the Housing Code will necessarily result in the repeal of the Housing Act, 1997, in order to create more efficiencies, accountability, rights and responsibilities of the different spheres of government including the entities of the national department

Therefore, the review will not just seek to carry out procedural changes in these policy instruments such as a change in name, etc. What it will seek to achieve is to retain what works, close the short comings and gaps that have arisen after two decades of implementation, and most importantly, align the future vision of the Department with relevant, up-to-date policy and legislative instruments in order

to provide certainty, and to further eliminate the risks of unintended policy and legislative consequences

The mandate and core business of the Department of Human Settlements is underpinned by the Constitution and all other relevant legislation and policies applicable to the department In addressing the mandate for integrated sustainable human settlements, the review of policies particularly the development of the White Paper for Human Settlements and the revision of the Housing Act to Human Settlements Act will enhance the departments' efforts in the provision of adequate housing by:

- Providing a framework for the realisation of sustainable human settlements and improved quality of household life
- Providing a foundation for the establishment of a viable, socially and economically integrated communities that are located in areas allowing convenient access to economic opportunities as well as health, educational and social amenities

Policies

The mandate of the Department (DoHS) is set out in the Housing Act Section 2 of the Housing Act compels all three spheres of government to give priority to the needs of the poor in respect of housing development In addition, all three spheres of government must ensure that housing development:

- a) Provides as wide a choice of housing and tenure options as is reasonably possible;
- b) Is economically, fiscally, socially and financially affordable and sustainable;
- c) Is based on integrated development planning; and
- d) Is administered in a transparent, accountable and equitable manner, and upholds the practice of good governance Section 2(1) (c)

The legislative mandate of the Department remains the same except for the inclusion of the following:

Estate Agency Affairs Act, 1976

Following a Presidential Proclamation, the Department took over the oversights function of the Estate Agency Affairs Act, 1976 from the Department of Trade and Industry during May 2012 The objectives of the Act are to:

- Establish the Estate Agency Affairs Board (EAAB) to regulate the conduct of estate agents;
- Establish the Estate Agents Fidelity Fund;
- Monitor trends within the real estate industry; and
- Render education and training to estate agents and consumers

Policy Mandates

Other specific constitutional, legislative and policy mandates of the Department including government policy frameworks are:

- Constitution of the Republic of South Africa
- Housing Act, 1997 (Act No 107 of 1997)
- Comprehensive Plan for the Creation of Sustainable Human Settlements (BNG)
- The Housing Consumer Protection Measures Act, 1998 (Act No 19 of 1998)

- The Housing Development Agency Act, 2008 (Act No 23 of 2008)
- Public Finance Management Act,
 1999 (Act No 1 of 1999-as amended by Act No 29)
- The Social Housing Act, 2008 (Act No 16 of 2008)
- The Division of Revenue Act, 2011 (Act No 6 of 2011)
- The Rental Housing Act, 1999 (Act No 50 of 1999)
- Home Loan and Mortgage
 Disclosure Act, 2000 (Act No 63 of 2000)
- Inclusionary Housing Bill
- Sectional Titles Schemes Management Act (Act 8 of 2011)
- Community Schemes Ombud
 Service Act (Act 9 of 2011)
- Intergovernmental Relations
 Framework (Act No 13 of 2005)
- National Development Plan (NDP)
- Spatial Planning and Land Use Management Act, 2013 (Act No 16 of 2013)

Relevant Court Rulings

There are court rulings affecting the operations of the human settlements sector, though they are not impacting directly to the national department but they affect delivery and the

achievement of targets These cases are but not limited to the following;

- Government of the republic of South Africa v Irene Grootboom & Others: CCT 11/00 (Right of access to adequate housing)
- Thubelitsha Homes, Minister of Housing and Minister of Local Government &Housing, Western Cape v Various Occupants
- HLA 8/3/2/109 2014 CASE NO 2011/19 The EMM had brought a joinder application in the Constitutional Court seeking to join the Department in the proceedings instituted by Bapsfontein Community
- The City of Cape Town and
 FirstRand Bank Limited: CCT 22/08
 (eviction of 20, 000 residents of
 Joe Slovo informal settlement)
 Bio-watch Trust v registrar
 Genetic resources & others: CCT
 80/08 (Promotion of access to
 information Act) Dingaan Hendrik
 Nyathi, MEC for the Department
 of Health, Gauteng, and Minister
 of Justice & Constitutional
 Development: CCT 19/07

Planned policy initiatives

The Department has embarked on a process of developing a White Paper on Human Settlements The White Paper will be a fundamental policy document that carves the development path and subsequently, the implementation of Integrated Human Settlements in South Africa This process will result in the review of a host of human settlement policy and legislation - the Housing Act 107 of 1997 and the 2009 National Housing Code

The Policy initiatives would provide the rationale for the establishment of Human Settlements Ombudsman (HSO) which would allow for the investigation and resolution of disputes relating to human settlements matters and the observance of the fundamental right of access to adequate housing, within the area of responsibility of the Department at National, Provincial and Local government level, including the Department's entities

Emergency Housing Programme, Constitutional Court Decisions and the Prevention of Illegal Eviction from and Unlawful Occupation of Land Act (PIE), 19 OF 1998

Recent Constitutional Court judgments on PIE Act have necessitated the review of the Emergency Housing Programme to provide for, among others, a policy framework that addresses the matter of evictions, the provision of temporary and alternative emergency accommodation for individuals and households that may be rendered homeless upon the

granting of an eviction court order.
The court emphasised the need
for local government to be at the
helm of such policy directive and
implementation thereof, as the state
cannot differentiate between public
sector and private sector driven court
applications

Consequently, the NDHS has

developed a draft programme:

URBAN/ INNER CITY EVICTION

MANAGEMENT ASSISTANCE

PROGRAMME to enable the three
tiers of government, in particular, local
government, to respond and manage
the outcomes of eviction proceedings
within their areas of jurisdiction
The thrust of the programme is for
government to provide temporary
relief and temporary accommodation
for households and individuals that

may be rendered homeless during an eviction court process in urban and inner-city areas

Further, the programme seeks to provide assistance in the form of grants to municipalities to enable them to respond and manage innercity evictions through the provision of temporary alternative (emergency) accommodation, the development of policies and strategies, the capacitation for addressing eviction matters, and to ensure constitutional and legal compliance

The draft programme will undergo a process of consultation through the first Policy Task Team meeting to be held in 2019 with key and relevant stakeholders

Accreditation

Noting the growing demand in respect of land and housing, the Department will continue to build capacity and provide support to provinces and municipalities through the programme of municipal accreditation and assignment. This implies that it will continue to partner with the Department of Cooperative Governance and Traditional Affairs and its entities in ensuring that the Integrated Urban Development Framework Implementation Plan which references more than 37 intermediate cities aligns with the programme of accreditation and assignment of housing and human settlements functions to selected local authorities

The accreditation of municipalities to administer housing programmes is legislated mandate of the national and provincial government in support of the developmental role that local government should play to ensure the

provision of integrated sustainable human settlements. The programme of accreditation of municipalities has been revised and currently needs to be viewed as a capacity building mechanism for government to enable municipalities to plan, implement and sustain housing and other human settlements programmes and projects.

The revised programme of accreditation has to be utilised as intergovernmental tool to deal with vertical and horizontal planning and budget alignment across spheres of government The revision brings to the fore some fundamental changes in terms of the approach of accreditation and assignment programme for example ; the separation of the accreditation framework and assignment framework, the conversion of Housing sector plan into the Municipal Human Settlements Sector Plan as well as its elevation as a central document towards accreditation, factoring in

timelines and appeals mechanism in the accreditation process and locating the responsibility of assignment municipalities to the MEC's

The drive towards the accreditation programme has a mixed response However, the number of Municipalities that are interested in accreditation has grown To date there are more than 30 municipalities that have an interest in being assessed for accreditation, mostly at level 1 and level 2 In support of these municipalities and the Provinces that have identified these municipalities, the national department has undertaken pre-accreditation interventions in the form of capacitation initiatives and pre- assessments in all of these municipalities This exercise was geared towards getting these municipalities ready for assessment and ultimately getting accredited to administer housing programmes.

3. OVERVIEW OF THE 2019/20 BUDGET & MTSF ESTIMATES

3.1 Expenditure Estimates

Programmes	Audited Outcome			Adjusted Appropriation	Medium Term Ex	Expenditure Estimates Indicative	
R`000	2015/16	2015/16 2016/17 2017/18 2018/19		2019/20	2020/21	2021/22	
Administration	411 074	420 897	457 665	439 750	464 667	495 177	523 851
Human Settlements Policy, Strategy and Planning	75 738	86 600	93 573	92 681	104 657	111 756	119 085
Human Settlements Delivery Support	120 796	151 742	217 302	246 005	258 746	327 136	346 475
Housing Development Finance	29 426 936	29 927 992	32 695 760	31 677 407	33 051 096	34 197 976	35 681 436
TOTAL	30 034 544	30 587 231	33 464 300	32 455 ⁸ 43	33 879 166	35 132 045	36 670 847

Economic Classification	Audited Outcome			Adjusted Appropriation	Medium Term Expe	nditure Estimate	s Indicative
R`000	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	2021/22
Current payments	603 669	657 797	777 354	776 404	839 846	946 767	1 003 013
Compensation of employees	310 151	325 288	383 257	376 945	405 680	436 107	464 454
Goods and services	293 514	332 497	394 097	399 459	434 166	510 660	538 559
Interest and rent on land	4	12	-	-	-	-	-
Transfers and subsidies	29 255 449	29 822 601	32 531 261	31 585 501	32 985 844	34 181 615	35 663 968
Provinces and municipalities	28 957 020	29 123 459	31 351 590	30 491 439	31 798 901	32 685 496	33 954 676
Departmental agencies and accounts	287 906	682 395	1 167 031	1 079 034	1 172 884	1 481 146	1 693 307
Higher education institutions	-	3 500	-	3 500	3 500	3 500	3 500
Foreign governments and international organisations	1 368	1 923	1 272	4 246	3 085	3 395	3 770
Public corporations and private enterprises	-	5 000	1 500	-	-	-	-
Non-profit institutions	-	-	-	-	-	-	-
Households	9 155	6 324	9 868	7 282	7 474	8 078	8 715
Payments for capital assets	14 108	6 611	5 685	13 781	3 423	3 607	3 807
Buildings and other fixed structures	236	-	-	-	-	-	-
Machinery and equipment	13 810	6 540	5 685	13 781	3 423	3 607	3 807
Software and other intangible assets	62	71	-	-	-	-	-
Payments for financial assets	161 318	100 222	150 000	80 157	50 000	-	-
Total	30 034 544	30 587 231	33 464 300	32 455 843	33 879 166	35 132 045	36 670 847

3.2 Relating expenditure trends to strategic outcome oriented goals

The Department of Human
Settlements is committed to the
NDP's vision of transforming
human settlements and the spatial
economy to create functionally
integrated, balanced and vibrant urban
settlements by 2030 Outcome 8
(sustainable human settlements and
improved quality of household life) of
government's 2014-2019 medium-term
strategic framework is aligned with
this vision and guides the work of the
department, as well as its commitment
to improving institutional capacity and

coordination across government Over the MTEF period, the department will focus on ensuring that poor households have access to adequate housing in better living environments, and creating a functional housing market as well as focus on the upgrading of informal settlements. It will invest in catalytic projects that deliver integrated communities; upgrade informal settlements; and provide affordable rental housing, outstanding title deeds to beneficiaries of state-subsidised housing and temporary shelter to

people affected by housing emergencies such as fires

The department has a total budget of R105 6 billion over the MTEF period, increasing at an average annual rate of 4 3 per cent, from R32 3 billion in 2018/19 to R36 6 billion in 2021/22 Despite these reductions, the department aims to deliver 1 5 million housing opportunities by 2019, as determined by government's 2014-2019 medium-term strategic framework

PART B: PROGRAMME & SUB PROGRAMME PLANS

2019 - 2020

PART B: PROGRAMME AND SUB PROGRAMMES PLAN

4. Programme and Sub programme plans

4.1 Programme 1: Administration

Purpose of Programme: Provide strategic leadership, management and support services to the department

4.1.1	PROGRAMME	1: STRATEGIC OBJ	ECTIVES ANN	IUAL TARGETS	FOR 2019/20 TO 202	1/22			
	tegic Objective h Level Output)	Strategic Plan Target	,	Audited/Actual Pe	erformance	Estimated Performance		Medium Term Targ	get
			2015/16 Baseline	2016/17 Baseline	2017/18 Baseline	2018/19 (Target)	2019/20 Target	2020/21 Target	2021/22 Target
1	To promote efficient and effective administrative processes	Unqualified audit opinion with no matters of emphasis	New Target	Unqualified audit report with matters of emphasis	Unqualified 2016/17 Audit Report with matter of emphasis on financial information and material findings on predetermined objectives	Unqualified audit opinion 2017/18 with no matters of emphasis	unqualified audit opinion 2018/19 with no matters of emphasis	unqualified audit opinion 2019/20 with no matters of emphasis	unqualified audit opinion 2020/21 with no matters of emphasis
2	Effective and efficient legal support	Comprehensive Human Settlement Legislation developed	New Target	New Target	Human Settlements Development Bill Human Settlements Bill Housing Consumer Protection Bill 2017 Property practitioners Bill 2016 PIE Amendment Act The Home Loan and Mortgage Disclosure Amendment Bill 2016	Human Settlement Legislation Developed	Human Settlement Legislation Developed	Regulations for Human Settlements legislation developed	Regulations for Human Settlements legislation developed
3	To provide ICT infrastructure that is reliable, secure and available	Integrated information and communication technology services provided	Provide integrated information management services	Provide integrated information and communication technology services	New strategic objective target	Provide integrated information and communication technology services	Provide integrated information and communication technology services	Provide integrated information and communication technology services	Provide integrated information and communication technology services

PROGRAMME 1: PROGRAMME PERFORMANCE INDICATORS AND MEDIUM-TERM TARGETS FOR 2019/20 TO 2021/22

Performance Indicator		Audi	ted/Actual Performand	e	Estimated Performance	Medium Term Targets								
		2015/16 Baseline	2016/17 Baseline	* *		2019/20 Target	2020/21 Target	2021/22 Target						
	UB PROGRAMME: ENTERPRISE ARCHITECTURE, FINANCIAL MANAGEMENT, INTERNAL AUDIT, SPECIAL INVESTIGATIONS, RISK MANAGEMENT, REGULATORY COMPLIANCE, UMAN RESOURCES MANAGEMENT AND OFFICE OF THE OMBUDSMAN Exactegic Objective: Promote efficient and effective administrative processes 1 Percentage compliance New Target 100 % compliance 1													
Strat	tegic Objective: Promote effi	cient and effective administrativ	e processes											
11	Percentage compliance with statutory tabling and prescripts	New Target	100 % compliance with statutory tabling and prescripts	100% compliance with statutory tabling and prescripts Quarterly performance reports (Preliminary and Actual) Annual Report 2016/17 tabled	100 % compliance with statutory tabling and prescripts	100 % compliance with statutory tabling and prescripts	100 % compliance with statutory tabling and prescripts	100 % compliance with statutory tabling and prescripts						
				Approved 2018/19 APP tabled Interim Financial Statements Estimate of National Expenditure (ENE)										
				Departmental Procurement plans										
12	Percentage implementation of the approved internal audit plan	The approved internal audit coverage plan has been implemented	73% of the annual audit plan was implemented	81% implementation of the approved internal audit plan	100% implementation of the approved internal audit plan	100% implementation of the approved internal audit plan	implementation of the approved internal audit plan	100% implementation of the approved internal audit plan						
13	Percentage Implementation of the approved risk plan management plan	New Target	88% implementation of the approved risk management plan	97% implementation of the approved risk management plan	100% implementation of the approved risk management plan	implementation of the approved risk management plan	100% implementation of the approved risk management plan	implementation of the approved risk management plan						

4.1.2	Programme Perforn	nance Indicators and Ar	nnual Targets for 2	019/20 – 2021/22						
Perf	ormance Indicator	Audit	ted/Actual Performanc	e	Estimated	N	Medium Term Targets			
		2015/16 Baseline	2016/17 Baseline	2017/18 Baseline	Performance 2018/19	2019/20 Target	2020/21 Target	2021/22 Target		
14	Percentage execution of the approved Anti- Fraud and Corruption Implementation Plan	The approved anti-fraud and corruption implementation plan was implemented	The approved anti- fraud and corruption implementation plan was implemented	61% implementation of the anti-fraud and corruption plan was implemented	implementation of the approved anti-fraud and corruption implementation plan	100% execution of the approved anti-fraud and corruption implementation plan	100% execution of the approved anti-fraud and corruption implementation plan	100% execution of the approved anti-fraud and corruption implementation plan		
15	Percentage implementation of the Annual Human Resource Implementation Plan	New target	New Target	82% implementation of the HR Plan	100% of the annual Human Resource Plan implemented	100% implementation of the Annual Human Resource Implementation Plan	100% implementation of the Annual Human Resource Implementation Plan	100% implementation of the Annual Human Resource Implementation Plan		
16	Percentage of lodged disputes investigated within 90 days	New Target	New Target	New Target	100% of lodged disputes investigated within 90 days	100% of lodged disputes investigated within 90 days	100% of lodged disputes investigated within 90 days	100% of lodged disputes investigated within 90 days		

Performance Indicator			Audited/Actual Performanc	e	Estimated		Medium Term Targets	
		2015/16 Baseline	2016/17 Baseline	2017/18 Baseline	Performance 2018/19	2019/20 Target	2020/21 Target	2021/22 Target
SUB-	PROGRAMME: LEGAL SE	RVICES						
Strate	egic Objective: Effective and	efficient legal support						
21	Number of reports on the development of legislative framework as per approved Legislative Programme	New Target	4 Reports on the development of legislative framework as per approved legislative programme	A comprehensive human settlements legislation developed (as per APP) Human Settlements Development Bank Bill Human Settlements Bill Property Practitioners Bill PIE Amendment Bill Housing Consumer Protection Bill The Home Loan and Mortgage Disclosure Amendment Bill	A Comprehensive Human settlements legislation developed Human settlements Development Bank Bill Human settlements Bill Property Practitioners Bill PIE amendment Bill Housing Consumer Protection Bill The Home Loan and Mortgage Disclosure Amendment Bill	4 reports on the development of legislative framework as per approved Legislative Programme	4 reports on the development of legislative framework as per approved Legislative Programme	4 reports on the development of legislative framework as per approved Legislative Programme
	PROGRAMME: IMS and IT egic Objective: To provide IC		able, secure and available					
31	Percentage availability of the HSS Database Systems	New Target	New Target	96% of systems available to provinces	90% availability of HSS Database Systems to provinces	90% availability of HSS Database Systems	90% availability of HSS Database Systems	90% availability of HSS Database Systems
32	Percentage availability of secured ICT Services	New Target	90% availability of IT services	85% availability of IT Support Services	90% Availability of secured ICT services	90% Availability of secured ICT services	90% Availability of secured ICT services	90% Availability of secured ICT services

PROGRAMME 1: PROGRAMME PERFORMANCE INDICATORS AND QUARTERLY TARGETS FOR 2019/20

4.1.3	Quarterly Performance	Targets for	2019/20				
Perf	ormance Indicators	Reporting	Annual Targets 2019/20		Quarterly Ta	rgets	
		Period		1st	2nd	3rd	4th
	PROGRAMME: ENTERPRISE A ULATORY COMPLIANCE	RCHITECTUR	E, FINANCIAL MANAGEMENT,	INTERNAL AUDIT, SPECIAL	INVESTIGATIONS, RISK MA	NAGEMENT , HUMAN RE	SOURCES AND
111	Percentage compliance with statutory tabling and prescripts	Quarterly	100% compliance with statutory tabling and prescripts	100% compliance with statutory tabling and prescripts	100% compliance with statutory tabling and prescripts	100% compliance with statutory tabling and prescripts	100% compliance with statutory tabling and prescripts
112	Percentage implementation of the approved internal audit plan	Quarterly	100% implementation of the approved internal audit plan	10% implementation of the approved internal audit plan	45% implementation of the approved internal audit plan	70% implementation of the approved internal audit plan	100% implementation of the approved internal audit plan
113	Percentage Implementation of the approved risk management plan	Quarterly	100% implementation of the approved risk management plan	47% implementation of the approved risk management plan	65% implementation of the approved risk management plan	83% implementation of the approved risk management plan	100% implementation of the approved risk management plan
114	Percentage execution of the approved anti-fraud and corruption implementation plan	Quarterly	100% execution of the approved anti-fraud and corruption implementation plan	100% execution of the approved anti-fraud and corruption implementation plan	100% execution of the approved anti-fraud and corruption Implementation plan	100% execution of the approved anti-fraud and corruption implementation plan	100% execution of the approved anti-fraud and corruption implementation plan
115	Percentage implementation of the Annual Human Resource Implementation Plan	Quarterly	100% implementation of the Annual Human Resource implementation Plan	100% implementation of the Annual Human Resource implementation Plan	100% implementation of the Annual Human Resource implementation Plan	100% implementation of the Annual Human Resource implementation Plan	100% implementation of the Annual Human Resource implementation Plan
SUB-	-PROGRAMME: OMBUDSMAN	'					
116	Percentage of Lodged disputes investigated within 90 days	Quarterly	100% of lodged disputes investigated within 90 days	100% of lodged disputes investigated within 90 days	100% of lodged disputes investigated within 90 days	100% of lodged disputes investigated within 90 days	100% of lodged disputes investigated within 90 days
SUB-	-PROGRAMME: LEGAL SERVIC	ES					
211	Number of reports on the development of legislative framework as per approved Legislative Programme	Quarterly	4 reports on the development of legislative framework as per Legislative Programme	1 report on the development of legislative framework as per approved Legislative Programme	1 report on the development of legislative framework as per approved Legislative Programme	1 report on the development of legislative framework as per approved Legislative Programme	1 report on the development of legislative framework as per approved Legislative Programme

4.1.3	4.1.3 Quarterly Performance Targets for 2019/20													
Perf	ormance Indicators	Reporting	Annual Targets 2019/20	Quarterly Targets										
	Perio			1st	2nd	3rd	4th							
SUB-PROGRAMME: IMS & IT														
311	Percentage availability of the HSS Database Systems	Quarterly	90% availability of HSS Database Systems											
312	Percentage availability of secured ICT services	Quarterly	90% availability of secured ICT services	90% availability of secured ICT services	90% availability of secured ICT services	90% availability of secured ICT services	90% availability of secured ICT services							

4.2 Programme 2: Human Settlements Policy and strategy

Programme purpose: Manage the development of, and compliance with, human settlements sector delivery and intergovernmental relations frameworks, and oversee integrated human settlements strategic and planning services

Programme 2: Strategic Objectives and Medium Term Targets for 2019/20 to 2021/22

4.2.	Strategic Object	tive annual targ	ets for 2019/20 to	2021/22					
Strat	tegic Objective	Strategic Plan	Audi	ted/Actual Perforn	nance	Estimated	ı	Medium Term Targ	et
		Target (5 year target)	2015/16 Baseline	2016/17 Baseline	2017/18	Performance 2018/19 Target	2019/20 Target	2020/21 Target	2021/22 Target
1	To establish partnerships to support the delivery of Human Settlements sector priorities	Approved National Human Settlements development plan developed	Approved National Human Settlements Development Plan for Provinces (HSDG)	Approved National Human Settlements Development Plan for Provinces (HSDG) New Target	An approved National Human Settlements Development Plan for Provinces (HSDG) New Target	An approved National Human Settlements Development Plan for Provinces (HSDG) Intergovern-mental	National Human Settlements development plans developed Intergovern-	National Human Settlements development plans developed	National Human Settlements development plans developed
		participation of stakeholders in human settlements development				Structures maintained for the delivery of Human Settlements sector priorities	mental Structures maintained for the delivery of Human Settlements sector priorities	mental Structures maintained for the delivery of Human Settlements sector priorities	Structures maintained for the delivery of Human Settlements sector priorities
2	To develop evidence based policies and programmes	Policy framework for Human Settlements developed	Existing policies	Existing policies	Existing policies	Policy Framework for Human Settlements developed	Policy framework for Human Settlements developed	Policy framework for Human Settlements developed	Policy framework for Human Settlements developed

Programme 2: Human Settlements Policy, Strategy and Planning

Purpose of the programme: Manage the development of, and compliance with, human settlements sector delivery and intergovernmental relations frameworks, and oversee integrated human settlements strategic and planning services

PROGRAMME 2: STRATEGIC OBJECTIVES AND MEDIUM-TERM TARGETS FOR 2019/20 TO 2021/22

Perf	ormance Indicator	Au	dited/Actual Performa	ance	Estimated	Medium Term Targets			
		2015/16 Base line	2016/17 Baseline	2017/18 Baseline	Performance 2018/19 (Target)	2019/20 Target	2020/21 Target	2021/22 Target	
SUB	PROGRAMME: HUMAN	I SETTLEMENT PLAN	INING						
Strat	egic Objective: To establis	h partnerships to supp	ort the delivery of Huma	ın Settlements sector pr	iorities				
11	Approved National Human Settlements Development Plan (HSDG funded)	Approved National Human Settlements Development Plan for Provinces (HSDG)	Approved National Human Settlements Development Plan for Provinces (HSDG)	An approved National Human Settlements Development Plan for Provinces (HSDG)	An approved National Human Settlements Development Plan for Provinces (HSDG)	2020/21 National Human Settlements development plan developed (HSDG funded) by 31st March 2020	2021/22 National Human Settlements development plan developed (HSDG funded) by 31st March 2021	2022/23 National Human Settlements development plan developed (HSDG funded) by 31st March 2022	
12	Urban Settlement Development plans for Metropolitan municipalities developed (USDG funded)	New Target	New Target	New Target	Urban Settlement Development plan for Metropolitan municipalities developed (USDG funded)	2020/21 Urban Settlement Development plan for Metropolitan municipalities developed (USDG funded) by 31st March 2020	2021/22 Urban Settlement Development plan for Metropolitan municipalities developed (USDG funded) by 31st March 2020	2022/23 Urban Settlement Development plan for Metropolitan municipalities developed (USDG funded) by 31st March 2020	
SUB	-PROGRAMME: STAKEI	HOLDER RELATIONS	AND IGR						
Strat	egic Objective: To establis	h partnerships to supp	ort the delivery of Huma	n Settlements sector pr	iorities				
13	Number of intergovernmental forums coordinated for human settlements development	Inter-sectoral and inter-sphere collaboration agreements signed and implemented	Mobilisation and strengthening of stakeholder participation on Human settlements Development	25 intergovernmental fora coordinated in line with human settlements priorities	32 intergovernmental fora coordinated in line with human settlements priorities	32 intergovernmental forums coordinated for human settlements development	32 intergovernmental forums coordinated for human settlements development	32 intergovernments forums coordinated for human settlements development	

4.2	2 Programme Per	formance indica	tors and annual ta	rgets for 2019/20 -	- 2021/22			
Perf	ormance Indicator	Aı	udited/Actual Perform	ance	Estimated	ı	Medium Term Targets	
		2015/16 Base line	2016/17 Baseline	2017/18 Baseline	Performance 2018/19 (Target)	2019/20 Target	2020/21 Target	2021/22 Target
14	Number of stakeholder Partnerships mobilised towards Human Settlements Development	No baseline	Mobilised and strengthening of stakeholder participation in human settlements development	Partnerships mobilised towards Human Settlements Development	14 Stakeholder partnerships mobilised towards Human Settlements Development	14 Stakeholder partnerships mobilised towards Human Settlements Development	14 Stakeholder partnerships mobilised towards Human Settlements Development	14 Stakeholder partnerships mobilised towards Human Settlements Development
15	Number of international cooperation initiatives aligned to human settlements priorities supported	4 reports on inter-sectoral, inter-sphere collaboration agreement signed and implemented	Mobilised and strengthening of stakeholder participation on Human Settlements Development	12 initiatives on international cooperation aligned to Human Settlement priorities serviced	6 initiatives on international cooperation aligned to Human Settlement priorities serviced	6 international cooperation initiatives aligned to Human Settlement priorities supported	6 international cooperation initiatives aligned to Human Settlement priorities supported	6 international cooperation initiatives aligned to Human Settlement priorities supported
SUB	-PROGRAMME: HS STR	ATEGY		'	'	'		
Strat	egic Objective: To develor	o evidence based polic	ies and programmes					
21	2019-2024 MTSF Developed	New Target	New Target	New Target	New Target	2019-2024 Human Settlements MTSF Developed	No Target	No Target
SUB	-PROGRAMME: OPERA	TIONAL FRAMEWO	RKS					
Strat	egic Objective: To develor	o evidence based polic	ies and programmes					
22	Macro Policy frameworks for Human Settlements developed	Existing policies	Existing policies	Policy Framework for Human Settlements developed	Policy Framework for Human Settlements developed	Policy framework for Human Settlements developed	Policy framework for Human Settlements developed	Policy framework for Human Settlements developed
23	Human Settlements code developed	Housing Code	Draft Framework for the development of human settlement code	Programmes in Housing Code reviewed	Programmes in code reviewed	Programmes for human settlement code developed	Human Settlements Code developed	No Target

Perf	ormance Indicator	Audited/Actual Performance			Estimated	Medium Term Targets					
		2015/16 Base line	2016/17 Baseline	2017/18 Baseline	Performance 2018/19 (Target)	2019/20 Target	2020/21 Target	2021/22 Target			
SUB-PROGRAMME: GOVERNANCE FRAMEWORKS											
2 4	% of HSDG and USDG budget allocated to women owned entities monitored	New Target	New Target	Report on 10 42% of the HSDG construction budget and 8 09% of USDG allocated to women and youth contractors	30% of the HSDG and USDG construction budget allocated to designated groups as per the Regulation	30% of HSDG and USDG budget allocated to women owned entities monitored	30% of HSDG and USDG budget allocated to women owned entities monitored	30% of HSDG and USDG budget allocated to women owned entities monitored			
25	% of HSDG and USDG budget allocated to youth owned entities monitored	New Target	New Target	Report on 10 42% of the HSDG construction budget and 8 09% of USDG allocated to women andyouth contractors	10% of the HSDG and USDG construction budget allocated to designated groups as per the Regulation	10 % of HSDG and USDG budget allocated to youth owned entities monitored	10 % of HSDG and USDG budget allocated to youth owned entities monitored	10 % of HSDG and USDG budget allocated to youth owned entities monitored			

PROGRAMME 2: PROGRAMME PERFORMANCE INDICATORS AND QUARTERLY TARGETS FOR 2019/20

4.2.3	Performance indicators		<u> </u>				
Perfo	rmance Indicators	Reporting Period	Annual Targets 2019/20		Quarter	ly Targets	
				ıst	2nd	3rd	4th
SUB-I	PROGRAMME: HS PLANNING						
111	Approved National Human Settlements Development Plan (HSDG funded)	Biannual	2020/21 National Human Settlements development plan developed (HSDG funded) by 31st March 2020	No target	No target	Draft National Human Settlements Development Plan (HSDG funded)	2020/21 National Human Settlements Development Plan (HSDG funded)
112	Urban Settlement Development plans for Metropolitan municipalities developed (USDG funded)	Biannual	2020/21 Urban Settlement Development plan for Metropolitan municipalities developed (USDG funded) by 31st March 2020	Assessment report on the Final USDG plans of Metropolitan municipalities for 2018/19 developed	No Target	No Target	2020/21 Urban Settlement Development plan for Metropolitan municipalities developed (USDG funded)
SUB-I	PROGRAMME: STAKEHOLDER	RELATIONS AND IGR					
113	Number of intergovernmental forums coordinated for human settlements development	Quarterly	32 intergovernmental forums coordinated for human settlements priorities	8 intergovernmental forums coordinated for human settlements development	8 intergovernmental forums coordinated for human settlements development	8 intergovernmental forums coordinated for human settlements development	8 intergovernmental forums coordinated for human settlements development
114	Number of stakeholder partnerships mobilised towards Human Settlements development	quarterly	14 stakeholder partnerships mobilized towards human settlements development	3 stakeholder partnerships mobilized towards human settlements development	4 stakeholder partnerships mobilized towards human settlements development	4 stakeholder partnerships mobilized towards human settlements development	3 stakeholder partnerships mobilized towards human settlements development
115	Number of initiatives on international cooperation aligned to human settlement priorities supported	quarterly	6 initiatives on international cooperation aligned to Human Settlement priorities supported	6 initiatives on international cooperation aligned to Human Settlement priorities supported	6 initiatives on international cooperation aligned to Human Settlement priorities supported	6 initiatives on international cooperation aligned to Human Settlement priorities supported	6 initiatives on international cooperation aligned to Human Settlement priorities supported
SUB-I	PROGRAMME: HS STRATEGY						
211	2019-2024 MTSF Developed	Quarterly	2019-2024 Human Settlements MTSF Developed	Draft 2019- 2024 MTSF developed	Stakeholder consultation on the draft 2019-2024 MTSF	Final Draft for the 2019-2024 MTSF	2019-2024 Human Settlements MTSF Developed

4.2.3	Performance indicators	and quarterly tar	gets for 2019/20					
Perfo	mance Indicators	Reporting Period	Annual Targets 2019/20	Quarterly Targets				
				1st	2nd	3rd	4th	
SUB-P	ROGRAMME: OPERATIONAL F	RAMEWORKS						
212	Macro Policy frameworks for human settlements developed	Biannual	Policy Framework for human settlements developed	Framework for the human settlement legislation developed	No Target	No Target	Policy Framework for the human settlement developed	
213	Human Settlements Code Developed	Biannual	Programmes for the Human Settlements code developed	Framework for housing Code programmes to be phased out	No Target	No Target	Programmes for the Human Settlements code developed	
SUB-P	ROGRAMME: GOVERNANCE F	RAMEWORKS						
214	% of HSDG and USDG budget allocated to women owned entities monitored	Quarterly	30% of HSDG and USDG budget allocated to women owned entities monitored	30% HSDG budget allocation to women owned entities monitored	No target	30% USDG budget allocation to women owned entities verified	30% HSDG and USDG budget allocation to women owned entities monitored	
215	% of HSDG and USDG budget allocated to youth owned entities monitored	Quarterly	10 % of HSDG and USDG budget allocated to youth owned entities monitored	10% HSDG budget allocation to youth owned entities monitored	No target	10% USDG budget allocation to youth owned entities monitored	10 % HSDG and USDG budget allocation to youth owned entities monitored	

4.3 Programme 3: Programme Monitoring and Delivery Support

Purpose of the programme is: To support the execution monitor and evaluate the implementation of human settlements programmes and projects

PROGRAMME 3: STRATEGIC OBJECTIVES AND MEDIUM-TERM TARGETS FOR 2019/20 TO 2021/22

4.3.1	4.3.1Strategic objective annual targets for 2019/20 – 2021/22											
Strate	egic Objective	Strategic Plan Target (5 year target)	Audited/Actual Performance			Estimated	Medium Term Target					
(High	level output)		2015/16 Base line	2016/17 Base line	2017/18 Base line	Performance 2018/19 (Target)	2019/20 Target	2020/21 Target	2021/22 Target			
1	Provide implementation support on the delivery of sustainable human settlements	Human Settlements sector supported on the implementation of human settlements programmes	193 836 housing opportunities in quality living environment delivered	175 979 housing opportunities in quality living environment delivered	278 521 housing opportunities in quality living environment delivered	9 Provinces supported on the implementation of human settlements programmes	Implementation Support provided to the sector for the delivery of 151 o89 sustainable Human Settlement opportunities	Implementation Support provided to the sector for the delivery of 151 089 sustainable Human Settlement opportunities	Implementation Support provided to the sector for the delivery of 151 089 sustainable Human Settlement opportunities			
2	Improved support and capacity for the human settlements sector	Capacity Building Programme Implemented	New Target	New Target	Human Settlements sector capacity audit conducted	9 Provincial departments supported in the implementation of Human Settlements apex Programmes	Capacity Building Programme Implemented	Capacity Building Programme Implemented	Capacity Building Programme Implemented			
3	Enhanced sector monitoring and evaluation	8 Evaluation studies completed	3 Evaluation study completed (UISP baseline, affordable housing and Asset)	1 Evaluation study conducted (Affordable Housing)	1 evaluation study (UISP three year impact) to commence	1 evaluation study (UISP three year impact) completed	3 Evaluation studies completed	2 Evaluation studies completed	2 Evaluation studies completed			

Programme 3: Programme Monitoring and Delivery support

Purpose of the programme: To support the execution monitor and evaluate the implementation of human settlements programmes and projects

PROGRAMME 3: STRATEGIC OBJECTIVES AND MEDIUM-TERM TARGETS FOR 2019/20 TO 2021/22

4.3.2	2 Programme per	rformance indic	ators and annual t	argets for 2019/20 – 2	2021/22						
Perfo	ormance Indicator	Audited/Actual Performance			Estimated	Medium Term Target					
		2015/16 Base line	• • • • • • • • • • • • • • • • • • • •		Performance 2018/19 (Target)	2019/20 Target	2020/21 Target	2021/22 Target			
SUB PROGRAMME: PROGRAMME AND PROJECT PLANNING											
Strate	egic Objective: Provide ir	nplementation suppo	ort on the delivery of sust	ainable human settlement							
11	Project Readiness Matrix implemented in a number of provinces	New Target	Guideline Framework for Testing Project Readiness implemented in 9 provinces	Guideline Framework for Testing Project Readiness implemented in 9 provinces	Project Readiness Matrix implemented in 9 provinces	Project Readiness Matrix implemented in 9 provinces	Project Readiness Matrix implemented in 9 provinces	Project Readiness Matrix implemented in 9 provinces			
12	Programme implementation support provided to number of government-led catalytic projects	13 planned catalytic projects that address spatial, social and economic integration	15 Catalytic projects implemented	48 project progress reports submitted Projects under various stages of implementation	Provide implementation support for the implementation of catalytic projects programme	Programme implementation support provided to 50 government-led catalytic projects	Programme implementation support provided to 50 government-led catalytic projects	Programme implementation support provided to 50 government-led catalytic projects			
13	Programme implementation support for the revitalization of distressed mining communities in a number of Local Municipalities provided	23 human settlement interventions in mining towns	11 human settlements interventions implemented in mining towns	22 mining towns supported on human settlements development interventions	Provide implementation support to the Revitalization of Distressed Mining Communities Program	Programme implementation support for the revitalization of distressed mining communities in 23 Local Municipalities provided	Programme implementation support for the revitalization of distressed mining communities in 23 Local Municipalities provided	Programme implementation support for the revitalization of distressed mining communities in 23 Local Municipalities provided			

4.3.2	2 Programme pei	formance indic	ators and annual t	argets for 2019/20 - :	2021/22			
Perfo	rmance Indicator		Audited/Actual Perfor	mance	Estimated	ı	Medium Term Target	
		2015/16 Base line	2016/17 Base line	2017/18 Base line	Performance 2018/19 (Target)	2019/20 Target	2020/21 Target	2021/22 Target
SUB-	PROGRAMME: PROGI	RAMME IMPLEMEN	TATION FACILITATION	l				
Strate	egic Objectives: Provide i	implementation supp	ort on the delivery of sus	tainable human settlement				
14	Number of informal settlements assessed (Feasibility studies conducted)	463 informal settlements assessed	283 Feasibilities on Informal Settlements conducted	61 informal settlements assessed (Feasibility Studies conducted	477 informal settlements assessed (Feasibility studies conducted)	200 informal settlements assessed (Feasibility studies conducted)	200 informal settlements assessed (Feasibility studies conducted)	156 Informal Settlements assessed (Feasibility Studies conducted)
15	Number of Informal Settlement Upgrading Plans developed	76 informal settlement upgrading plans developed	413 Informal Settlement Upgrading Plans developed	109 Informal settlement upgrading plans developed	500 Informal settlement upgrading plans developed plans	300 Informal settlement upgrading plans developed	554 Informal settlement upgrading plans developed	266 Informal Settlement Upgrading Plans developed
16	Provinces and metros supported for upgrading a number of households in informal settlements	52 349 households upgraded to phase 2	75 941 households upgraded to phase 2 HSDG (56 343) and USDG (19 058)	73 333 households in informal settlements upgraded	9 provinces and 8 metros supported on the implementation of the informal settlements upgrading programme	Support provided to provinces and metros for the upgrading of 68 739 households in informal settlements	Support provided to provinces and metros for the upgrading of 68 739 households in informal settlements	Support provided to provinces and metros for the upgrading of 68 739 households in informal settlements
17	Provinces and municipalities supported to provide a number of individual units for subsidy housing market	99 904 subsidy housing opportunities provided	90 692 subsidy housing opportunities provided	86131 individual units for subsidy housing submarket provided	9 provinces supported in implementing the subsidy housing programme	Provinces and municipalities supported to provide 74 450 individual units for subsidy housing market	Provinces and municipalities supported to provide 74 450 individual units for subsidy housing market	Provinces and municipalities supported to provide 74 450 individual units for subsidy housing market
18	Support provided to deliver a number of Private Affordable Rental Housing units	5 040 affordable rental opportunities delivered	3506 private affordable rental housing opportunities delivered	3 506 private affordable rental housing opportunities delivered	Provide support on the implementation of private affordable rental housing	Support provided on the delivery of 4 000 Private Affordable Rental Housing units	Support provided on the delivery of 4 000 Private Affordable Rental Housing units	Support provided on the delivery of 4 000 Private Affordable Rental Housing units

Perfo	ormance Indicator		Audited/Actual Perfor	mance	Estimated	N	ledium Term Target	
		2015/16 2016/17 Base line Base line		2017/18 Base line	Performance 2018/19 (Target)	2019/20 Target	2020/21 Target	2021/22 Target
19	Support provided on the implementation of Social Housing programme to deliver a number of units	3 595 of Social Housing units delivered	3535 Social Housing units delivered	3 535 social housing units delivered	Provide support on the implementation of Social Housing programme	Support provided on the implementation of Social Housing programme to deliver 3000 units	Support provided on the implementation of Social Housing programme to deliver 3000 units	Support provided on the implementation of Social Housing programme to deliver 3000 units
1 10	Support provided on the implementation of Community Residential Unit (CRU) Programme to deliver a number of units	2152 Community Residential Units (CRU) delivered	981 Community Residential Units (CRU) delivered	546 community residential units (CRU) delivered	Provide support on the delivery of Community Residential Units programme	Support provided on the implementation of Community Residential Unit (CRU) Programme to deliver 400 units	Support provided on the implementation of Community Residential Unit (CRU) Programme to deliver 400 units	Support provided on the implementation of Community Residential Unit (CRU) Programme to deliver 400 units
SUB-	PROGRAMME: HUMA	N SETTLEMENTS I	PLANNING					
Strate	egic Objective: Provide ir	nplementation suppo	ort on the delivery of sust	ainable human settlement				
1 11	Number of Provinces supported to deliver multiyear land assembly targets	3589 1 hectares of land were identified , released for human settlements development	6 250 385 ha of well- located land acquired	3329,446 Hectares of well-located land acquired and released for new developments 1574, 6851 Hectares of well-located land rezoned for new developments	Multiyear land assembly plan for human settlement development compiled	9 Provinces supported to deliver the multiyear land assembly targets	9 Provinces supported to deliver the multiyear land assembly targets	9 Provinces supported to deliver the multiyear land assembly targets
1 12	Support provided to Provincial Departments to register a number of pre and post 1994 title deeds	14266 title deeds eradicated	135878 title deeds backlog eradicated	81 929 pre-and post-1994 title deeds issued as reported by the provinces National department verification exercise totalled to 41 841	9 Provinces supported in the implementation of the Title Deeds restoration programme (Pre and Post 1994)	Support provided to Provincial Departments towards the registration of 50,000 pre and post 1994 title deeds	Support provided to Provincial Departments towards the registration of 50,000 pre and post 1994 title deeds	No Target

4.3.2	Programme per	rformance indic	ators and annual t	argets for 2019/20 – 2	2021/22			
Perfo	rmance Indicator		Audited/Actual Perfor	mance	Estimated	ı	Medium Term Target	
		2015/16 Base line	2016/17 Base line	2017/18 Base line	Performance 2018/19 (Target)	2019/20 Target	2020/21 Target	2021/22 Target
SUB-	PROGRAMME: Humai	n Settlements Plan	ning					
Strate	gic Objective: Provide ir	mplementation suppo	ort on the delivery of susta	ainable human settlement				
1 13	Provinces supported in the implementation of Title Deeds programme (from April 2014) to deliver a number of Title Deeds	Not planned and reported	60 740 Title deeds for new subsidy houses issued	81 929 pre-and post-1994 title deeds issued as reported by the provinces National department verification exercise totalled to 41 841	Provinces supported in the implementation of Title Deeds programme (from April 2014)	Provinces supported in the implementation of Title Deeds programme (from April 2014) to deliver 46 000 Title Deeds	Provinces supported in the implementation of Title Deeds programme (from April 2014) to deliver 46 000 Title Deeds	Provinces supported in the implementation of Title Deeds programme (from April 2014) to deliver 46 000 Title Deeds
SUB-	PROGRAMME:STAKE	HOLDERS MOBILIS	ATION AND IGR					
Strate	gic Objective: Provide ir	mplementation suppo	ort on the delivery of susta	ainable human settlement				
1 14	Provinces supported to deliver a number of housing opportunities to Military Veterans	New target	New target	480 Military Veterans houses delivered	Nine (9) Provinces supported in the delivery of 1000 housing opportunities to Military Veterans	Provinces supported in the delivery of 500 housing opportunities to Military Veterans	Provinces supported in the delivery of 500 housing opportunities to Military Veterans	Provinces supported in the delivery of 500 housing opportunities to Military Veterans
1 15	Number of provinces and metros supported in the implementation of PHP policy	5 Provinces were able to deliver a total of 12553 PHP units	Consolidation of the institutional capacity for PHP is in progress various Provinces have been supported in the implementation of PHP and the support has yielded 8498 PHP units	Supported eight (8) Provinces and six (6) Metros in the implementation of PHP Policy	7 Provinces and 6 Metros supported in the implementation of PHP Policy	9 Provinces and 8 Metros supported in implementing the PHP policy	9 Provinces and 8 Metros supported in implementing the PHP policy	9 Provinces and 8 Metros supported in implementing the PHP policy

Perfor	mance Indicator		Audited/Actual Perfor	mance	Estimated	N	Medium Term Target	
		2015/16 Base line	2016/17 Base line	2017/18 Base line	Performance 2018/19 (Target)	2019/20 Target	2020/21 Target	2021/22 Target
SUB-P	ROGRAMME: TECHI	NICAL CAPACITY DE	EVELOPMENT	l .	, , , ,		I .	
Strateg	gic Objective: Improvec	support and capacity	for the human settleme	nts sector				
22	Human Settlements Sector Capacity Development Strategy developed	New Target	New Target	New Target	New Target	2020/25 Human Settlements Sector Capacity Development Strategy developed by 31st March 2020	No Target	No Target
SUB -	PROGRAMME: MON	IITORING AND EVA	LUATION					
Strateg	gic Objective: Enhanced	d sector monitoring ar	nd evaluation					
31	Percentage of projects under implementation monitored and verified (HSDG and USDG)	75% of running projects monitored through physical site visits or analysis of other data sources	96 6% of projects under implementation monitored and verified (HSDG and USDG)	99% of projects under implementation monitored and verified (HSDG and USDG)	100% of projects under implementation monitored and verified (HSDG and USDG)	100% of projects under implementation monitored and verified (HSDG and USDG)	100% of projects under implementation monitored and verified (HSDG and USDG)	noo% of projects under implementation monitored and verified (HSDG and USDG)
32	Number of evaluation studies completed	1 Evaluation study completed (UISP baseline, affordable housing and asset)	1 Evaluation study conducted (Affordable Housing)	Terms of Reference approved by Bid Adjudication Committee for advertisement on 8 March 2018	The UISP three year impact not done completed	3 Evaluations studies completed UISP 3 year impact, Rapid Appraisal of Outcome 8, and The Impact of the Housing Chapters of the Integrated Development Plan (IDP)	2 Evaluation studies completed	2 Evaluation studies completed

PROGRAMME 3: PROGRAMME PERFORMANCE INDICATORS AND QUARTERLY TARGETS FOR 2019/20

4.3.3	Performance indicators	and quarte	erly targets for 2019/2	20			
Perfo	rmance Indicators	Reporting	Annual Targets		Quarterly	Targets	
		Period	2019/20	Q1	Q2	Q3	Q4
SUB-	PROGRAMME: PROGRAMME AN	ID PROJECT P	PLANNING				
111	Project Readiness Matrix implemented in a number of provinces	Quarterly	Project Readiness Matrix implemented in 9 provinces	Report on project readiness of projects in 9 provinces in line with approved provincial business plans	Report on project readiness of projects in 9 provinces in line with approved provincial business plans	Report on project readiness of projects in 9 provinces in line with approved provincial business plans	Report on project readiness of projects in 9 provinces in line with approved provincial business plans
112	Programme implementation support provided to number of government-led catalytic projects	Quarterly	Programme implementation support provided to 50 government-led catalytic projects	Programme implementation support provided to 50 government-led catalytic projects	Programme implementation support provided to 50 government-led catalytic projects	Programme implementation support provided to 50 government-led catalytic projects	Programme implementation support provided to 50 government-led catalytic projects
113	Programme implementation support for the revitalization of distressed mining communities in a number of Local Municipalities provided	Quarterly	Programme implementation support for the revitalization of distressed mining communities in 23 Local Municipalities provided	Programme implementation support for the revitalization of distressed mining communities in 23 Local Municipalities provided	Programme implementation support for the revitalization of distressed mining communities in 23 Local Municipalities provided	Programme implementation support for the revitalization of distressed mining communities in 23 Local Municipalities provided	Programme implementation support for the revitalization of distressed mining communities in 23 Local Municipalities provided
SUB-	PROGRAMME: PROGRAMME IM	PLEMENTATI	ON FACILITATION		'		
114	Number of Informal Settlements assessed (Feasibility Study conducted)	Quarterly	200 Informal Settlements assessed (Feasibility Studies conducted)	50 Informal Settlements assessed (Feasibility Studies conducted)	50 Informal Settlements assessed (Feasibility Studies conducted)	50 Informal Settlements assessed (Feasibility Studies conducted)	50 Informal Settlements assessed (Feasibility Studies conducted)
115	Number of Informal Settlement Upgrading Plans developed	Quarterly	300 Informal Settlement Upgrading Plans developed	50 Informal Settlement Upgrading Plans developed	100 Informal Settlement Upgrading Plans developed	100 Informal Settlement Upgrading Plans developed	50 Informal Settlement Upgrading Plans developed
116	Number of households in provinces and metros supported on the upgrading of informal settlements	Quarterly	Support provided to provinces and Metros for the upgrading of 68 739 households in informal settlements	Support provided to provinces and metros for the upgrading of 10 000 households in informal settlements	Support provided to provinces and metros for the upgrading of 19 580 households in informal settlements	Support provided to provinces and metros for the upgrading of 19 580 households in informal settlements	Support provided to provinces and metros for the upgrading of 19 579 households in informal settlements

4.3.3	Performance indicators	and quarte	rly targets for 2019/2	20			
Perfo	rmance Indicators	Reporting	Annual Targets		Quarterly	Targets	
		Period	2019/20	Q1	Q2	Q3	Q4
117	Provinces and municipalities supported to provide a number of individual units for subsidy housing market	Quarterly	Provinces and municipalities supported to provide 74 450 individual units for subsidy housing market	Provinces and municipalities supported to provide 18 612individual units for subsidy housing market	Provinces and municipalities supported to provide 18 612 individual units for subsidy housing market	Provinces and municipalities supported to provide18 612 individual units for subsidy housing market	Provinces and municipalities supported to provide 18 614 individual units for subsidy housing market
118	Support provided to deliver a number of Private Affordable Rental Housing units	Quarterly	Support provided to deliver 4000 Private Affordable Rental Housing units	Support provided to deliver 1000 Private Affordable Rental Housing units	Support provided to deliver 1000 Private Affordable Rental Housing units	Support provided to deliver 1000 Private Affordable Rental Housing units	Support provided to deliver 1000 Private Affordable Rental Housing units
119	Support provided on the implementation of Social Housing programme to deliver a number of units	Quarterly	Support provided on the implementation of Social Housing programme to deliver 3000 units	Support provided on the implementation of Social Housing programme to deliver 750 units	Support provided on the implementation of Social Housing programme to deliver 750 units	Support provided on the implementation of Social Housing programme to deliver 750 units	Support provided on the implementation of Social Housing programme to deliver 750 units
1110	Support provided on the implementation of Community Residential Unit (CRU) Programme to deliver a number of units	Quarterly	Support provided on the implementation of Community Residential Unit (CRU) Programme to deliver 400 of units	Support provided on the implementation of Community Residential Unit (CRU) Programme to deliver 100 units	Support provided on the implementation of Community Residential Unit (CRU) Programme to deliver 100 units	Support provided on the implementation of Community Residential Unit (CRU) Programme to deliver 100 units	Support provided on the implementation of Community Residential Unit (CRU) Programme to deliver 100 units
SUB-I	PROGRAMME: HS PLANNING	1				'	
1 1 11	Number of Provinces supported to deliver multiyear land assembly targets	Quarterly	9 Provinces supported to deliver the multiyear land assembly targets	Support provided to 9 provinces to deliver the multiyear land assembly targets	Support provided to 9 provinces to deliver the multiyear land assembly targets	Support provided to 9 provinces to deliver the multiyear land assembly targets	Support provided to 9 provinces to deliver the multiyear land assembly targets
1112	Support provided to Provincial Departments towards the registration of a number of pre and post 94 title deeds	Quarterly	Support provided to Provincial Departments towards the registration of 50 000 pre and post 94 title deeds	Support provided to Provincial Departments towards the registration of 7 000 pre and post 94 title deeds	Support provided to Provincial Departments towards the registration of 13 000 pre and post 94 title deeds	Support provided to Provincial Departments towards the registration 20 000 pre and post 94 title deeds	Support provided to Provincial Departments towards the registration 10 000 pre and post 94 title deeds
1113	Provinces supported in the implementation of Title Deeds programme (from April 2014) to deliver a number of Title Deeds	Quarterly	Provinces supported in the implementation of Title Deeds programme (from April 2014) to deliver 46 000 Title Deeds	Provinces supported in the implementation of Title Deeds programme (from April 2014) to deliver 10 000 Title Deeds	Provinces supported in the implementation of Title Deeds programme (from April 2014) to deliver 23 000 Title Deeds	Provinces supported in the implementation of Title Deeds programme (from April 2014) to deliver 36 000 Title Deeds	Provinces supported in the implementation of Title Deeds programme (from April 2014) to deliver 46 000 Title Deeds

4.3.3	Performance indicators	and quarte	erly targets for 2019/2	20			
Perfo	ormance Indicators	Reporting	Annual Targets		Quarterly	Targets	
		Period	2019/20	Q1	Q2	Q ₃	Q4
SUB-	PROGRAMME: IGR						
1114	Provinces supported to deliver a number of housing opportunities to Military Veterans	Quarterly	Supported in the delivery of 500 housing opportunities to Military Veterans	Supported in the delivery of 100 housing opportunities to Military Veterans	Supported in the delivery of 200 housing opportunities to Military Veterans	Supported in the delivery of 300 housing opportunities to Military Veterans	Supported in the delivery of 500 housing opportunities to Military Veterans
1115	Number of provinces and metros supported in the implementation of PHP policy	Quarterly	9 Provinces and Metros 8 supported in implementing the PHP policy	3 Provinces and 3 Metros supported in the implementation of PHP Policy	5 Provinces and 4 Metros supported in the implementation of PHP Policy	6 Provinces and 5 Metros supported in the implementation of PHP Policy	9 Provinces and 8 Metros supported in the implementation of PHP Policy
SUB-	PROGRAMME: TECHNICAL CAPA	ACITY DEVEL	OPMENT				
212	Human Settlements Sector capacity development Strategy developed	Quarterly	2020/25 Human Settlements Sector Capacity Development Strategy developed by 31st March 2020	1st draft Human Settlements Sector capacity development strategy developed	Human Settlements Sector Consulted on the 1st draft of Human Settlements Sector capacity development strategy	Draft Human Settlements Sector capacity development strategy presented at relevant strategic Departmental platforms	2020/25 Human Settlements Sector capacity development strategy developed
SUB-	PROGRAMME: MONITORING AN	ID EVALUATION	ON				
311	Percentage of projects under implementation monitored and verified (HSDG and USDG)	Quarterly	100% of projects under implementation monitored and verified (HSDG and USDG)	100% of projects under implementation monitored and verified (HSDG and USDG)	100% of projects under implementation monitored and verified (HSDG and USDG)	100% of projects under implementation monitored and verified (HSDG and USDG)	100% of projects under implementation monitored and verified (HSDG and USDG)
312	Number of evaluation studies completed	Quarterly	 3 Evaluations studies completed UISP 3 year impact, Rapid Appraisal of Outcome 8, and The Impact of the Housing Chapters of the Integrated Development Plan (IDP) 	A conceptual framework developed for: Rapid Appraisal of Outcome 8 The Impact of the Housing Chapters of the Integrated Development Plan (IDP)	A conceptual framework developed for: UISP 3 year impact Inception report on the evaluation study for: UISP 3 year impact Rapid Appraisal of Outcome 8 The Impact of the Housing Chapters of the Integrated Development Plan (IDP)	Literature review report on evaluation study for: UISP 3 year impact Rapid Appraisal of Outcome 8 The Impact of the Housing Chapters of the Integrated Development Plan (IDP)	Final report for: UISP 3 year impact Rapid Appraisal of Outcome 8 The Impact of the Housing Chapters of the Integrated Development Plan (IDP)

4.4 Programme 4: Housing Development Finance

Programme Purpose: Fund the delivery of housing and human settlements programmes, and manage all matters related to improving access to housing finance and developing partnerships with the financial sector

PROGRAMME 4: STRATEGIC OBJECTIVES AND MEDIUM-TERM TARGETS FOR 2019/20 TO 2021/22

4.4.1	4.4.1 Strategic objective annual targets for 2019/20– 2021/22												
Strate	gic Objective	Strategic Plan Target	Audit	ed/Actual Performa	ince	Estimated	Medium Term Target						
(High level output)		(5 year target)	2015/16 Baseline	2016/17 Baseline	2017/18 Baseline	Performance 2018/19 (Target)	2019/20 Target	2020/21 Target	2021/22 Target				
1	Efficient and effective utilization of human settlements grants	Approved Human Settlements Grant Framework	The grant framework was approved by December 2015	Approved Human Settlements Grants Framework	Approved Human Settlements Grants Framework	Approved Human Settlements Grants Framework	Approved Human Settlements Grants Framework	Approved Human Settlements Grants Framework	Approved Human Settlements Grants Framework				

4.4.2	4.4.2 Programme performance indicators and annual targets for 2019/20										
Perfor	mance Indicator	Audit	ed/Actual Performa	ance	Estimated	Me	dium Term Target	:S			
		2015/16 Baseline	2016/17 Baseline	2017/18 Baseline	Performance 2018/19 (Target)	Target 2019/20	Target 2020/21	Target 2021/22			
SUB F	PROGRAMME: CHIEF INVESTMENT OFFIC	Ε									
Strate	egic Objective: Efficient and effective utiliz	ation of human se	ttlements grants								
11	Number of HSDG quarterly performance assessments conducted	4 HSDG quarterly performance assessments conducted	4 HSDG quarterly performance assessments conducted	4 HSDG quarterly performance assessments conducted	4 HSDG quarterly performance assessments conducted	4 HSDG quarterly performance assessments conducted	4 HSDG quarterly performance assessments conducted	4 HSDG quarterly performance assessments conducted			
12	Number of Title Deeds Restoration Grant (TDRG) quarterly performance assessments conducted	New target	New target	New target	New target	4 TDRG quarterly performance assessments conducted	4 TDRG quarterly performance assessments conducted	4 TDRG quarterly performance assessments conducted			

Perfo	rmance Indicator	Audit	ed/Actual Performa	ance	Estimated	Me	dium Term Target	s
		2015/16 Baseline	2016/17 Baseline	2017/18 Baseline	Performance 2018/19 (Target)	Target 2019/20	Target 2020/21	Target 2021/22
13	Number of USDG quarterly performance assessments conducted	4 USDG quarterly performance report	4 USDG quarterly performance report	4 USDG quarterly performance report	4 USDG quarterly performance report	4 USDG quarterly performance assessments conducted	4 USDG quarterly performance assessments conducted	4 USDG quarterly performance assessments conducted
14	Number of assessments on the performance of Finance Linked Individual Subsidy (FLISP) conducted	2 253 FLISP subsidies allocated	2 660 subsidies allocated	2 995 subsidies allocated to approved beneficiaries	4 quarterly performance assessments of FLISP conducted	4 quarterly performance assessments of FLISP conducted	4 quarterly performance assessments of FLISP conducted	4 quarterly performance assessments of FLISP conducted
15	Number of assessments on the volume of loans granted by DFIs for the affordable housing market conducted	The department is monitoring the DFIs' contribution to housing finance	4 Quarterly assessments on the volume and number of loans granted by DFIs for the affordable housing market conducted	4 assessments on the volume of loans granted by DFIs for the affordable housing market conducted	4 Quarterly assessments on the volume of loans granted by DFIs for the affordable housing market conducted	4 Quarterly assessments on the volume of loans granted by DFIs for the Affordable housing market conducted	4 Quarterly assessments on the volume of loans granted by DFIs for the Affordable housing market conducted	4 Quarterly assessments on the volume of loans granted by DFIs for the Affordable housing market conducted
16	Number of assessments of employers' contribution towards Employer Assisted Housing conducted	2 reports on the employers' contribution towards Employer Assisted Housing	2 reports on the employers' contribution towards Employer Assisted Housing	2 assessments report on the employers' contribution towards Employer Assisted Housing	Bi-annual assessments of employers' contribution towards Employer Assisted Housing	Bi-annual assessments of employers' contribution towards Employer Assisted Housing conducted	Bi-annual assessments of employers' contribution towards Employer Assisted Housing conducted	Bi-annual assessments of employers' contribution towards Employer Assisted Housing conducted

2019 - 2020

Perfo	rmance Indicator	Audite	ed/Actual Performa	nce	Estimated	Me	dium Term Target	s
		2015/16 Baseline	2016/17 Baseline	2017/18 Baseline	Performance 2018/19 (Target)	Target 2019/20	Target 2020/21	Target 2021/22
17	Finance and funding model to better target the realisation of Human Settlements outcomes revised	Four performance reports on state finance products for the gap market	State finance products for affordable market revised	Annual Assessment of Current Finance Model on grants not conducted	No Target	Finance and funding model to better target the realisation of Human Settlements outcomes revised by end of 2019/20	No target	No target
18	Annual performance analysis of financial institutions on their lending patterns on home loans conducted	Annual report on the performance of financial institutions and their lending patterns on home loans produced	Annual report on the performance of financial institutions and their lending patterns	Annual performance analysis of financial institutions on their lending patterns on home loans conducted	Annual performance analysis of financial institutions on their lending patterns on home loans conducted	Annual performance analysis of financial institutions on their lending patterns on home loans conducted	Annual performance analysis of financial institutions on their lending patterns on home loans conducted	Annual performance analysis of financial institutions or their lending patterns on home loans conducted
19	Number of performance assessments on the Office of Disclosure	New Target	New Target	New Target	4 performance assessments on the Office of Disclosure	4 performance assessments on the Office of Disclosure	4 performance assessments on the Office of Disclosure	4 performance assessments on the Office of Disclosure

PROGRAMME: 4

	Quarterly Performance Targe gic Objective: Efficient and effective								
	mance Indicators	Reporting	Annual Targets 2019/20		Quarterly	Quarterly Targets			
		Period		Q1	Q2	Q3	Q4		
111	Number of HSDG quarterly performance assessments conducted	Quarterly	4 HSDG quarterly performance assessments conducted	1 HSDG quarterly performance assessments conducted	1 HSDG quarterly performance assessments conducted	1 HSDG quarterly performance assessments conducted	1 HSDG quarterly performance assessments conducted		
112	Number of Title Deeds Restoration Grant (TDRG) quarterly performance assessments conducted	Quarterly	4 TDRG quarterly performance assessments conducted	1 TDRG quarterly performance assessments conducted	1 TDRG quarterly performance assessments conducted	1 TDRG quarterly performance assessments conducted	1 TDRG quarterly performance assessments conducted		
113	Number of USDG quarterly performance assessments conducted	Quarterly	4 USDG quarterly performance assessments conducted	1 USDG quarterly performance assessments conducted	1 USDG quarterly performance assessments conducted	1 USDG quarterly performance assessments conducted	1 USDG quarterly performance assessments conducted		
114	Number of assessments on the performance of Finance Linked Individual Subsidy (FLISP) conducted	Quarterly	4 quarterly performance assessments of FLISP conducted	1 quarterly performance assessment of FLISP conducted	1 quarterly performance assessment of FLISP conducted	1 quarterly performance assessment of FLISP conducted	1 quarterly performance assessment of FLISP conducted		
115	Number of assessments on the volume of loans granted by DFIs for the affordable housing market conducted	Quarterly	4 quarterly assessments on the volume of loans granted by DFIs for the affordable housing market conducted	1 quarterly assessment on the volume of loans granted by DFIs for the affordable housing market conducted	1 quarterly assessment on the volume of loans granted by DFIs for the affordable housing market conducted	1 quarterly assessment on the volume of loans granted by DFIs for the affordable housing market conducted	1 quarterly assessment on the volume of loans granted by DFIs for the affordable housing market conducted		
116	Number of assessments of employers' contribution towards Employer Assisted Housing conducted	Bi-annual	Bi-annual assessments of employers' contribution towards Employer Assisted Housing conducted	No Target	1 assessments of employers' contribution towards Employer Assisted Housing conducted	No Target	1 assessments of employers' contribution towards Employer Assisted Housing conducted		

4.4.3 Quarterly Performance Targets for 2019/20

Strategic Objective: Efficient and effective utilization of Human Settlements Grant

Julace	ic objective. Efficient and effective	acinzacion or mar	nan Settlements Grant				
Perform	nance Indicators	Reporting	Annual Targets 2019/20		Quarterly	Targets	
		Period		Q1	Q2	Q3	Q4
117	Finance and funding model to better target the realisation of Human Settlements outcomes revised	Bi-annual	Finance and funding model to better target the realisation of Human Settlements outcomes revised by end of 2019/20	No Target	Report on the status of the current finance and funding model	No Target	Finance and funding model to better target the realisation of Human Settlements outcomes revised
118	Annual performance analysis of financial institutions on their lending patterns on home loans conducted	Annual	Annual performance analysis of financial institutions on their lending patterns on home loans conducted	No Target	Annual performance analysis of financial institutions on their lending patterns on home loans conducted	No Target	No Target
119	Number of performance assessments on the Office of Disclosure	Quarterly	4 performance assessments on the Office of Disclosure	1 performance assessment on the Office of Disclosure	1 performance assessment on the Office of Disclosure	1 performance assessment on the Office of Disclosure	1 performance assessment on the Office of Disclosure

4.5 Reconciling performance targets with the Budget and MTEF 2019/22

Programme 1: Administration	rogramme 1: Administration										
Sub Programmes	Α	udited outcome		Adjusted Appropriation	n Medium Term Expenditure estimates Indic						
R`000	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	2021/22				
Ministry	78 568	75 621	63 488	58 172	55 643	58 602	61 735				
Departmental Management	56 575	63 882	101 479	87 319	88 991	96 718	101 503				
Corporate Services	188 384	189 215	196 055	197 654	211 707	224 697	238 427				
Property Management	42 039	47 093	41 097	41 097	43 332	45 830	48 351				
Financial Management	45 508	45 086	55 546	55 508	64 994	69 330	73 835				
Total	411 074	420 897	457 665	439 750	464 667	495 177	523 851				

Economic Classification	Au	dited outcome		Adjusted Appropriation	Medium Term Ex	penditure estima	tes Indicative
R`000	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	2021/22
Current payments	398 858	414 030	454 137	431 816	462 515	492 906	521 456
Compensation of employees	186 765	194 399	224 770	223 779	239 655	257 932	273 604
Goods and services	212 089	219 631	229 367	208 037	222 860	234 974	247 852
Interest and rent on land	4	-	-	-	-	-	-
Transfers and subsidies	1 038	1 521	46	213	53	56	59
Provinces and municipalities	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-
Higher education institutions	-	-	-	-	-	-	-
Foreign governments and international organisations	-	-	-	-	-	-	-
Public corporations and private enterprises	-	-	-	-	-	-	-
Non-profit institutions	-	-	-	-	-	-	-
Households	1 038	1 521	46	213	53	56	59
Payments for capital assets	10 928	5 189	3 482	7 610	2 099	2 215	2 336
Buildings and other fixed structures	236	-	-	-	-	-	-
Machinery and equipment	10 630	5 132	3 482	7 610	2 099	2 215	2 336
Software and other intangible assets	62	57	-	-	-	-	-
Payments for financial assets	250	157	-	111	-	-	-
Total	411 074	420 897	457 665	439 750	464 667	495 177	523 851

This programme allocation grows from R442 6 million in 2018/19 to R523 8 million in 2021/22, an average increase of 5 8% over MTEF. The programme biggest cost drivers apart from compensation of employees, are funds provided for Internal Audit activities and special investigations, computer services and travel and subsistence. The programme mainly provides strategic leadership to the sector and Department as well as support to the Department.

PROGRAMME 2: Human Settlements Policy, Strategy	PROGRAMME 2: Human Settlements Policy, Strategy and Planning										
Sub-Programme	Audited outcome			Adjusted Appropriation	Indicative allocation						
R`000	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	2021/22				
Management for Policy, Strategy and Planning	7 420	7 561	7 730	8 083	8 407	8 870	9 361				
Human Settlements Policy Frameworks	29 177	27 949	32 143	33 387	38 686	41 588	44 422				
Human Settlements Strategy and Planning	39 141	51 090	53 700	51 211	57 564	61 298	65 302				
Total	75 73 ⁸	86 600	93 573	92 681	104 657	111 756	119 085				

Economic Classification	Αι	ıdited outcome		Adjusted Appropriation	Medium Term Expenditure estimates Indicative		
R`000	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	2021/22
Current payments	72 882	79 016	89 908	87 124	101 170	107 939	114 870
Compensation of employees	49 805	53 422	63 892	61 526	69 198	74 203	79 420
Goods and services	23 077	25 591	26 016	25 598	31 972	33 736	35 450
Interest and rent on land	-	3	-	-	-	-	-
Transfers and subsidies	1 609	7 028	2 772	4 316	3 085	3 395	3 770
Provinces and municipalities	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-
Higher education institutions	-	-	-	-	-	-	-
Foreign governments and international organisations	1 368	1 923	1 272	4 246	3 085	3 395	3 770
Public corporations and private enterprises	-	5 000	1 500	-	-	-	-
Non-profit institutions	-	-	-	-	-	-	-
Households	241	105	-	70	-	-	-
Payments for capital assets	1 221	546	893	1 238	402	422	445
Buildings and other fixed structures	-	-	-	-	-	-	-
Machinery and equipment	1 221	546	893	1 238	402	422	445
Software and other intangible assets	-	-	-	-	-	-	-
Payments for financial assets	26	10	-	3	-	-	-
Total	75 738	86 600	93 573	92 681	104 657	111 756	119 085

This programme allocation grows from R89 7 million in 2018/19 to R119 million in 2021/22, an average nominal reduction of 9 9%

The programme biggest cost drivers are compensation of employees and travel and subsistence as well as funding provided for the policy development

Programme 3: Programme Delivery Support							
Sub Programmes	Audited outcome		Adjusted Appropriation	Medium Term Expenditure estimates Indicativ		ates Indicative	
R`ooo	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	2021/22
Management for Programme Delivery Support	7 533	6 837	9 403	9 031	8 869	9 407	9 976
Programme Management Unit	70 587	99 926	159 731	189 016	202 236	267 321	283 170
Chief of Operation	42 676	44 979	48 168	47 958	47 641	50 408	53 329
Total	120 796	151 742	217 302	246 005	258 746	327 136	346 475

Economic Classification	A	udited outcome		Adjusted Appropriation	Medium Term Expenditure estimates Indicative		
R`000	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	2021/22
Current payments	111 806	142 702	206 399	230 782	246 932	314 673	333 325
Compensation of employees	57 991	59 933	74 700	71 950	75 152	80 694	86 478
Goods and services	53 815	82 760	131 699	158 832	171 780	233 979	246 847
Interest and rent on land	-	9	-	-	-	-	-
Transfers and subsidies	7 865	8 195	9 822	10 476	10 974	11 578	12 215
Provinces and municipalities	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-
Higher education institutions	-	3 500	-	3 500	3 500	3 500	3 500
Foreign governments and international organisations	-	-	-	-	-	-	-
Public corporations and private enterprises	-	-	-	-	-	-	-
Non-profit institutions	-	-	-	-	-	-	-
Households	7 865	4 695	9 822	6 976	7 474	8 078	8 715
Payments for capital assets	1 083	790	1 081	4 704	840	885	935
Buildings and other fixed structures	-	-	-	-	-	-	-
Machinery and equipment	1 083	776	1 081	4 704	840	885	935
Software and other intangible assets	-	14	-	-	-	-	-
Payments for financial assets	42	55	-	43	-	-	-
Total	120 796	151 742	217 302	246 005	258 746	327 136	346 475

This programme allocation grows from R246 million in 2018/19 to R346 4 million in 2021/22, an average nominal growth of 12 1% The growth can be attributed to funding provided for operational support for the Housing Emergency Grants and the Title Deeds Restoration Grant over the MTEF. The programme biggest cost drivers are compensation of employees, consultants and travel and subsistence

PROGRAMME 4: HOUSING DEVELOPMENT FINANCE							
Sub Programmes	A	udited outcome		Adjusted Appropriation	Medium Term Expenditure estimates Indic		ites Indicative
R`ooo	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	2021/22
Management for Housing Development Finance	1 036	2 137	4 082	4 453	4 590	4 804	5 030
Chief Investment Officer	19 974	20 001	23 057	22 481	24 721	26 530	28 423
Human Settlements Development Grant	18 302 675	18 283 991	19 969 343	18 266 647	18 779 815	15 936 617	15 397 240
Contributions	448 906	782 395	1 317 031	1 159 034	1 222 884	1 481 146	1 693 307
Urban Settlements Development Grant	10 554 345	10 839 468	11 382 247	11 306 137	12 045 386	9 716 794	9 373 053
Municipal Human Settlements Capacity Grant	100 000	-	-	-	-	-	-
Title Deeds Restoration Grant			-	518 655	547 700	577 823	-
Emergency Housing Grants			-	400 000	426 000	453 691	478 644
Informal Settlements Upgrading Partnership Grants					-	6 000 571	8 705 739
Total	29 426 936	29 927 992	32 695 760	31 677 407	33 051 096	34 197 976	35 681 436

Economic Classification	Aı	udited outcome		Adjusted Appropriation	Medium Term Ex	penditure estima	tes Indicative
R`000	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	2021/22
Current payments	20 123	22 049	26 910	26 682	29 229	31 249	33 362
Compensation of employees	15 590	17 534	19 895	19 690	21 675	23 278	24 952
Goods and services	4 533	4 515	7 015	6 992	7 554	7 971	8 410
Interest and rent on land	-	-	-	-	-	-	-
Transfers and subsidies	29 244 937	29 805 857	32 518 621	31 570 496	32 971 785	34 166 642	35 647 983
Provinces and municipalities	28 957 020	29 123 459	31 351 590	30 491 439	31 798 901	32 685 496	33 954 676
Departmental agencies and accounts	287 906	682 395	1 167 031	1 079 034	1 172 884	1 481 146	1 693 307
Higher education institutions	-	-	-	-	-	-	-
Foreign governments and international organisations	-	-	-	-	-	-	-
Public corporations and private enterprises	-	-	-	-	-	-	-
Non-profit institutions	-	-	-	-	-	-	-
Households	11	3	-	23	-	-	-
Payments for capital assets	876	86	229	229	82	85	91
Buildings and other fixed structures	-	-	-	-	-	-	-
Machinery and equipment	876	86	229	229	82	85	91
Software and other intangible assets	-	-	-	-	-	-	-
Payments for financial assets	161 000	100 000	150 000	80 000	50 000	-	-
Total	29 426 936	29 927 992	32 695 760	31 677 407	33 051 096	34 197 976	35 681 436

This programme allocation consists mostly of conditional grants and transfer to Departmental Institutions. The allocation grows from R₃1 6 billion in 2018/19 to R₃5 6 billion in 2021/22, an average nominal growth of 4 2%. The Human Settlements Development Grant, which is a capital transfers grant to provinces allocation decrease from R₁8 1 billion in 2018/19 to R₁5 3 billion in 2021/22 an average nominal decrease of -5 4%. The Urban Settlements Development Grant, which is a capital transfers grant metropolitan municipalities decrease from R₁1 3 billion in 2018/19 to R₂3 billion in 2021/22 an average nominal decrease of -6 1%. The decrease of the HSDG and USDG can be attributed to the introduction of the Informal Settlements Upgrading Partnership grants from 2020/21 financial year for Provinces and Municipalities. The R₂3 billion was reallocated from the HSDG and from the USDG.

PART C: LINKS TO OTHER PLANS

PART C: LINKS TO OTHER PLANS

5. Links to the long term infrastructure and other capital plans

The department contributes to the Strategic Infrastructure Projects that are part of the Presidential Infrastructure Coordinating Commission (PICC) The work of the Department is covered in SIPs 1-7, and SIP 18 as well The departmental interventions within SIP's deal with a number of priority projects in mining towns and rapidly growing areas. In implementing these plans the department is partnering with municipalities, provinces and mining houses. The department has ring-fenced funding for the mining towns and the key focus is the provision of adequate housing for communities around the mining towns, this includes the mine workers.

Coordinating the installation of bulk services is a complex matter that requires comprehensive planning and cooperation between national, provincial and local government. Even though the department has revised its planning frameworks, coordinating the installation of bulk needs to be

improved to achieve higher rates of delivery. The accreditation of metropolitan municipalities has been seen as a shift for accounting for delivery and the process will in the long term enable municipalities to deliver mega projects.

The department's contribution to the infrastructure plans will integrate the economic, social and environmental sectors to ensure sustainable stimulation of the economy. The ability to deliver on these is dependent on partnerships with private sector and civil society.

The Department fully supports and commits to the job creation drive of government. The development and implementation of clear plans on the effective coordination and implementation of National Youth Programme implemented through NHBRC and EAAB contribute to plans of building capacity and diversifying skills amongst youth.

6. Conditional grants

Human Settlements Development Grant

The conditional grant enables the Department to provide effective oversight and ensure compliance with the Housing Code. The Grant reflects the conditional grant allocation that is transferred to the provinces for Human Settlements Development. Funding is provided on the basis of housing needs, the number of households earning less than R3500 per month and the population per province. The grant will continue over the reporting period .

Urban Settlements Development Grant

The is a supplementary capital infrastructure grant with conditions, objects and distribution criteria including infrastructure backlogs aimed at improving outcomes of the application of the equitable share. The Grant reflects the conditional grant

to municipalities for infrastructure and human settlements development, to support the upgrading of informal settlements in metropolitan municipalities. The grant will continue over the reporting period

Title Deeds Restoration Grant

The conditional grant provide funding for the eradication of the title deeds registration backlog and the professional fees associated with it, including beneficiary verification

Emergency Housing Grants

The grant provide funding to municipal and provincial administrations for provision of temporary shelter assistance to households affected by disasters

Informal Settlements Upgrading Partnership grants

The grants provide funding to municipal and provincial administrations for informal settlements upgrading

7. Public Entities

The Department of Human Settlements has eight public entities reporting to it:



Estate Agency Affairs Board (EAAB)



National Home Builders Registration Council (NHBRC)



National Housing Finance Corporation (NHFC)



Rural Housing Loan Fund (RHLF)



National Urban Reconstruction and Housing Agency (NURCHA)



The Housing Development Agency (HDA)



Social Housing Regulatory Authority (SHRA)



Community Schemes Ombud Service (CSOS)

HUMAN SETTLEMENT ENTITIES

Summarized below are the mandate and key strategic objectives of each of these entities

 Table 13: Human Settlement Entities

Name of Public Entity	Mandate	Output	Current Budget	Date of next Evaluation
National Housing Finance Corporation (NHFC)	Mobilise funding into human settlements space in partnership with broad range of institutions It also provides wholesale finance and acts as a fund and risk manager	 395 housing opportunities facilitated through disbursements 313 rental housing opportunities facilitated through disbursements 82 affordable housing opportunities facilitated through disbursements 2 609 housing opportunities facilitated through leveraged funds R566 million leveraged from the private sector 	-	 1st quarter review: August 2019 2nd quarter review: November 2019 3rd quarter review: February 2020 4th quarter review: May 2020
Estate Agency Affairs Board (EAAB)	Regulate, maintain and promote the standard of conduct of estate agents; issue fidelity fund certificates to qualifying applicants; prescribe the standard of education and training of estate agents; investigate complaints lodged against estate agents, manage the Estate Agents Fidelity Fund	 10% reduction in non-compliant registered estate agents 100% of cases investigated, completed and enforced disciplinary against the number reported 100% claims processed against claims received 150 FIC inspections performed Developed an illegal trading combating program 55% of Estate Agents registered for CPD 4 publications produced annually 18 000 000 radio consumers reached through radio awareness campaigns 600 000 consumers reached through community radio media campaigns 700 000 consumers reached through print media campaign 80% of compliant estate agents operating in affordable housing markets 2000 youth recruited on the one leaner one state agent programme Fidelity Fund Net Asset Value 1 25% per annum 10% increase in Estate Agencies operating in the affordable housing market (30% over MTSF period) Bi annual information pack on industry trends and analysis, that provides: 1 annual report on trends in the affordable property market (yearend) Distribution of sales in the affordable housing market 1 trends analysis reports indicating 20% increase in transactions of properties worth less than R500 000 Transaction support requirements and programmes in the affordable housing market 		 1st quarter review: August 2019 2nd quarter review: November 2019 3rd quarter review: February 2020 4th quarter review: May 2020

Name of Public Entity	Mandate	Output	Current Budget	Date of next Evaluation
Home Builders Registration Council (NHBRC)	Provide housing consumers with warranty protection against defects in new homes, and to provide protection against any failure of builders to comply with their obligations in terms of the Housing Consumer Protection Measures Act, 1998 (Act 95 of 1998)	 50 130 non-subsidy homes by private sector enrolled 150 382 subsidy homes enrolled 507 home enrolments approved 50 130 non-subsidy enrolled homes inspected 75 191 subsidy homes enrolled inspected 885 new homebuilder registrations approved 12 903 renewals of homebuilder registrations 2 400 homebuilders trained 2 000 youth trained 500 home inspectors trained 695 Artisans trained 1 980 women trained 458 Military Veterans trained 	-	 1st quarter review: August 2019 2nd quarter review: November 2019 3rd quarter review: February 2020 4th quarter review: May 2020
Housing Development Agency: (HDA)	Facilitate the release of land and landed properties for human settlements development	 3 000 hectares of well-located land released for human settlement development (targeting poor and middle income households) 5 land parcels facilitated for rezoning Provide 5 informal settlements with implementation support Provide technical support to 22 projects in mining towns 50 Catalytic projects supported 9 provinces provided with capacity support as per MTOPs and business plans Implementation support provided to programmes and projects providing 15 182 housing units Implementation support provided to programmes and projects providing 19 082 serviced sites 	R234,955,000 (operational grant)	 1st quarter review: August 2019 2nd quarter review: November 2019 3rd quarter review: February 2020 4th quarter review: May 2020
National Urban Reconstruction and Housing Agency: (NURCHA)	NURCHA's mandate is to ensure the availability of bridging finance to small, medium and established contractors building low and moderate-income housing and related communities facilities and infrastructure	 1 792 affordable houses built and sites serviced with NURCHA bridging finance 9 200 subsidy houses built and sites serviced with NURCHA bridging finance 20 Contractors trained through the Contractor Finance and Development Programme 	-	 1st quarter review: August 2019 2nd quarter review: November 2019 3rd quarter review: February 2020 4th quarter review: May 2020
Rural Housing Loan Fund: (RHLF)	The RHLF is a development finance institution, established in August 1996 as an association not for gain The company is mandated to empower low income households in rural areas to access housing credit	 44 513 housing loans disbursed 60% of loans provided to people earning R3,500 or less per month R210 612 000 disbursed to retail intermediaries 50 Rural Housing Vouchers issued (Subject to MinMec approval) 20 Rural Housing Voucher houses completed (Subject to MinMec approval) 30% loans issued to women by 2019 	-	 1st quarter review: August 2019 2nd quarter review: November 2019 3rd quarter review: February 2020 4th quarter review: May 2020

Name of Public Entity	Mandate	Output	Current Budget	Date of next Evaluation
Social Housing Regulatory Authority: (SHRA)	Regulate and support the social housing sector in order to accelerate the delivery of sustainable and financially viable social housing projects It is responsible for the disbursement of institutional investment and capital grants to social housing institution	 9,000 Units approved for Consolidated Capital Grant award 3 600 Social housing units delivered 38 288 units under regulation 4 CRU projects with management Agreement concluded with an accredited SHI or ODA 82 5% of qualifying SHI's that received an Institutional Investment Grant (improved their level of accreditation) 77 5% of qualifying SHI's that received an Institutional Investment Grant (recommended to the TEC for capital grant award) 95% expenditure of the RCG (Now called the Consolidated Capital Grant) 95% expenditure of the Institutional Investment grant 3 155 social housing located in an inner city accredited 7 000 social housing units completed (reached practical completion) 5 000 social housing units delivered (tenanted) 20% of annual Consolidated Capital Grant allocations awarded to other delivery agents 60% of annual Consolidated Capital Grant allocations awarded to black majority owned organisations with the project construction value chain 17 New social housing institutions accredited 55% accredited SHI's and other Delivery Agents with black majority owned 50 Social housing institutions re-accredited (To be confirmed) 	R825,443,000 (R738 414 000 consolidated capital grant; R21,259,000 institutional investment grant; R55,201,000 operational grant and R10,560,000 regulations)	 1st quarter review: August 2019 2nd quarter review: November 2019 3rd quarter review: February 2020 4th quarter review: May 2020
Community Schemes Ombud Service (CSOS)	To provide a dispute resolution service for community schemes, monitor and control the quality of all sectional title schemes governance documentation and to take custody of, preserve and provide public access to scheme governance documentation	 30 000 schemes registered 80% disputes received resolved 2 000 governance documentation quality assured 2 000 certificates issued for community schemes governance documentation 4 training and education sessions for schemes executives 	R32,847,000 (operational grant)	 1st quarter review: August 2019 2nd quarter review: November 2019 3rd quarter review: February 2020 4th quarter review: May 2020

2019 - 2020

8. Public Private Partnerships

The department is partnering with the Banking Association South Africa in intervening in the housing markets through the implementation of the housing programmes catering for various households. The department also has formed strategic partnership with the Development Bank of Southern Africa in the implementation of infrastructure projects that contribute to the achievement of the human settlements mandate.

Name PPP	Purpose	Outputs	Current Value of Agreement	Date when agreement expires
A Social Contract for the Development of Sustainable Human Settlements: Building Partnerships for the accelerated delivery	The Social Contract for Sustainable Human Settlements is a statement of intent to work together for a common goal, whilst appreciating and understanding the relationship between the stakeholders. It provides a framework for partnerships and targeted resource mobilization, and articulates stakeholders' commitment to develop answers collectively for a shared future.	Increased property markets for the different beneficiary needs	In principle the private sector, through catalytic projects would contribute about R210 billion towards the Human Settlements Development	The actual Social Contract would be reviewed after 5 years from the date of commencement, 2014, and it would be up to such review whether it should be renewed
	As a result of this contract, which is a framework for specific partnerships, individual private sector developers/financial institutions would enter into direct agreements with the department through the HDA			

ANNEXURE D

Vision

A nation housed in sustainable Human Settlements

Mission

To facilitate the creation of Sustainable Human Settlements and Improved Quality of Household Life

Values

The core values guiding and regulating the department are based on the Constitution of the Republic of South Africa and supporting legislation and includes:

- Accountability
- Fairness and Equity
- Choice, Quality and Affordability
- Sustainability
- Innovation
- Batho Pele Principles

Medium Term Strategic Framework Sub-Outcomes

- Providing adequate housing and improved quality living environments
- Transforming the residential property market
- Enhancing institutional capabilities for effective coordination of spatial investment decisions

Strategic Outcome Oriented Goals

The strategic goals of the department have since been revised and are responsive to the long term goals and objectives of the Human Settlements Strategic Agenda The revised Strategic goals and Objectives of the Department are as follows:

DEPARTMENTAL BUDGET PROGRAMMES

Prog	ramme	Purpose	Strategic Goal (High level impact)	Strategic Objectives (High Level Output)
1	Administration	Provide strategic leadership and management support services to the department	Enhanced efficiency and effectiveness of the department	 Promote efficient and effective administrative processes Effective and efficient legal support Efficient and effective information technology systems
2	Policy, Strategy and Planning	Manage the development of policy and related frameworks and compliance with human settlements sector delivery	Integrated and responsive human settlements sector planning and policy environment	 To develop evidence based policies and programmes To establish partnerships to support the delivery of Human Settlements sector priorities
3	Programme Monitoring and Delivery Support	 The purpose of this programme is to support the execution, monitor and evaluate the implementation of human settlements programmes and projects Manage the building of capacity and skills in the sector and provide oversight of public entities 	Increased delivery of adequate housing in quality living environments	 Provide implementation support on the delivery of sustainable human settlements Enhanced sector monitoring and evaluation Improved support and capacity for the human settlements sector
4	Housing Development Finance	Fund the delivery of housing and human settlements programmes, and manage all matters related to improving access to housing finance and developing partnerships with the financial sector		Efficient and effective utilization of human settlements grants

ANNEXURE E: TECHNICAL INDICATOR DESCRIPTION

PROGRAMME 1: ADMINISTRATION

STRATEGIC OBJECTIVE INDICATOR

Objective indicator Title	Unqualified audit opinion with no matters of emphasis
Short definition	The indicator measures processes, systems and controls implemented by the department to ensure that an opinion with no matters of emphasis is achieved
Purpose/importance	To ensure compliance with good corporate governance principles, prescripts and regulations and achieve a good audit outcome
Source/collection of data	Annual financial statements, report on predetermined objectives, documented business processes / SOP's , annual audit plan, and risk management plan
Method of calculation	Adherence to legislative frameworks, systems and controls put in place and implemented
Data limitations	Non adherence to legislative frameworks, systems, controls not implemented properly
Type of indicator	Process
Calculation type	Non-cumulative
Reporting cycle	Annually
New indicator	No No
Desired performance	Unqualified audit opinion with no matter of emphasis
Indicator responsibility	CFO COO All branch heads (Adherence to PFMA requirements including processing and payment of valid invoices within 30 days, ensuring that the reported non-financial performance information is accurate, reliable and aligned to the planned targets, ensuring that branch plans are responsive to priorities and smart)
Portfolio of Evidence	Unqualified report by the Auditor General

Objective Indicator Title	Number of reports on the development of legislative framework as per approved Legislative Programme
Short definition	The indicator envisages that the bills listed below as appearing in the approved Legislative Programme will be at various stages of drafting as per schedule in the programme: PIE Amendment Bill 2018 Human Settlements Bill Housing Consumer Protection Bill The Home Loan and Mortgage Disclosure Amendment Bill (HLAMDA) Human Settlements Development Bank Bill Property practitioners Bill 2018
Purpose / importance	To develop legislative framework that will regulate Human Settlements sector
Source / collection of data	 The Constitution of the Republic of South Africa Human Settlements Policies Office of the State Law Advisors Other relevant pieces of legislation Entities of the department NEDLAC Technical Working Group Relevant clusters Public hearings/ Submissions/ Comments
Method of calculation	One report per quarter will record all stages achieved in the development of the legislation as per approved Legislative Programme The approved Legislative Programme will be the basis of the performance and performance management will be measured against what is planned in the approved Legislative Programme
Data limitations	No limitations are foreseen in obtaining the required data
Type of indicator	Compliance with the Constitution of the Republic of South Africa and the style and format of legislative drafting
Calculation type	Cumulative
Reporting cycle	Quarterly
New indicator	Yes
Desired performance	Development of the legislative framework to regulate the humane settlement sector
Indicator responsibility	Chief Directorate: Legal Services
Portfolio of evidence	Reports on the development of legislative framework as per approved Legislative Programme

PROGRAMME PERFORMANCE INDICATORS

Indicator Title	Percentage compliance with statutory tabling and prescripts
Short definition	The indicator measures the level of compliance by the Department and the entities to key corporate governance requirements as outlined in legislation and other corporate governance guidelines/regulations (PFMA, Treasury Regulations and Guidelines)
	Coordination of the Department's appearance before Committees of Parliament and facilitation of the process of policy formulation, implementation and monitoring through governance structures
Purpose/importance	To monitor and ensure compliance with good corporate governance requirements/practices, enhance accountability and stakeholder/public confidence that include: Public Sector Governance regulations and guidelines (PFMA, DPSA, OPSC, guide to procedure in the National Assembly and Treasury Regulations and guidelines including Treasury Regulation 8 2 3, PFMA, Treasury Regulations, Framework for Strategic Plans and Annual Performance Plans and Guidelines for performance reporting) To ensure that the Department accounts to Parliament and adhere to Cabinet and Cluster schedule in the process of policy formulation, implementation and monitoring
Source/collection of data	Previous annual reports Interim and annual financial statements Systems generated financial reports (i e Logis, Persal and BAS) Departmental performance reports Report on status of compliance Parliament, Cabinet and Management Structures Internally and other departments, Annual Reports of Human Settlements Entities Annual Performance Plans of Human Settlements Entities The Department, provinces, municipalities, HS entities, government departments and other stakeholders
Method of calculation	The standard requirements for compliance requires the department to comply 100% with relevant compliance frameworks as reflected under the purpose/importance of this indicator
Data limitations	Non-compliance with relevant key governance prescripts and Inaccurate, unreliable and incomplete performance information Information not readily available for reporting from the Departmental officials, provinces, municipalities, HS entities, sister departments and other stakeholders
Type of indicator	Efficiency and effectiveness
Calculation type	Non-cumulative
Reporting cycle	Quarterly and Annually
New indicator	No No
Desired performance	100% Compliance with relevant key governance prescripts and requirements and improved departmental overall performance including adherence to schedules of Parliament, Cabinet, Cluster and governance structures

Indicator Title	Percentage compliance with statutory tabling and prescripts
Indicator responsibility	CD: Enterprise Architecture CD: Regulatory Compliance CD: Financial Management CD: Executive Support
Portfolio of Evidence	 First draft Annual Performance Plan and Strategic Plan (Quarter 2) Second Draft Annual Performance Plan and revised Strategic Plan (Quarter 3) Final draft of Annual Performance Plan and revised Strategic Plan (Quarter 4) Quarterly performance reports Annual Performance Report (Quarter 1) Preparation and Submission of the interim financial statement (Quarters 2,3 & 4) Annual financial statements (Quarter 1) Quarterly Report on Valid invoices paid within 30 days Submit the Medium Term Expenditure Framework (MTEF) requirement submission and submit the Estimate of National Expenditure (ENE) (Quarter 2) Monthly early warning system report Departmental Procurement Plan (Quarter 4) A schedule indicating the received and paid invoices Entities Final Strategic Plans and Annual Performance Plans (Quarter 4) Entities Annual Reports (Quarter 2) Compliance Register (Quarter 1) Report on status of compliance (Quarter 2 onwards) Quarterly reports on the decisions of Parliament and Cabinet Quarterly reports on support provided to governance structures and records of decisions taken Quarterly report on adherence to Parliament, Cabinet, Cluster and governance structures Quarterly reports on administrative and logistical support provided to the Office of the Director-General

Indicator Title	Percentage implementation of approved internal audit plan
Short definition	Implementation of the internal audit plan, which is an annual plan with audits to be conducted in a particular financial year and activities included in the internal audit plan
Purpose/importance	To provide assurance on the adequacy and effectiveness of the departmental controls, risk management and governance process
Source/collection of data	Information sourced from business units, external audits reports and provincial department through engagement agreement
Method of calculation	Total audit completed versus planned
Data limitations	Lack of co-operation, delay in submission of information by stakeholders and delayed management comments
Type of indicator	Process
Calculation type	Cumulative
Reporting cycle	Annually and Quarterly
New indicator	No No
Desired performance	100% audit completed as per approved audit plan which will results in reasonable assurance to management on the adequacy and effectiveness of the system internal controls, risk management and governance processes
Indicator responsibility	Director: Internal Audit
Portfolio of Evidence	 Approved Three year rolling plan and One year operational audit plan Status/progress Report on the implementation Internal Audit Plan Internal Audit Reports issued

Indicator Title	Percentage implementation of the approved risk management plan
Short definition	Risk management implementation plan is aligned to risk management strategy and unpacks specific risk management activities that will be implemented for the particular year including responsible persons, resources required and targets dates
Purpose/importance	The risk management plan is the measure as to how risk management strategy has been implemented
Source/collection of data	Risk management policy, risk management strategy, Risk Management Committee Charter, risk implementation plan will be used as the source to facilitate completion of risk registers, monitoring and reporting to RMC Data will be collected from branches as updated in the risk register. These risk register will be communicated with Risk Owners and presented at Risk Management Committee (RMC) for final adoption and approval by the Accounting Officer. The status report will be presented to RMC for monitoring and reporting purposes on quarterly basis
Method of calculation	% Total number of activities implemented/ total number of planned activities for the period X 100
Data limitations	Incomplete, inaccurate, lack of timeous information and lack of co-operation from internal stakeholders (i e risk register submitted by the branches but not endorsed by the DDGs, none or late submission of information by branches Submission of incomplete or unreliable information e g Risk register with no action plans that are not in line with smart principle, actions plans not addressing root causes in the risk register and due dates not realistic and non-submission of status report on risk monitoring

Indicator Title	Percentage implementation of the approved risk management plan
Type of indicator	Process
Calculation type	Cumulative
Reporting cycle	Annually and Quarterly
New indicator	No No
Desired performance	Risk management embedded into strategic planning process and day to day activities of the department and considered during decision making processes
Indicator responsibility	Director: Risk Management
Portfolio of Evidence	Approved Strategic Risk Register Approved RMC Charter Approved risk implementation plan Risk Monitoring tool Status report to RMC

Indicator Title	Percentage execution of approved anti-fraud and corruption implementation plan
Short definition	Execution of the anti-fraud and corruption implementation plan, which comprises of all the components of the anti-fraud and corruption strategy, further include the measurable targets implemented annually
Purpose/importance	To combat fraud and corruption within the Sector
Source/collection of data	Information sourced from business units, Law Enforcement Agencies and the provincial department through engagement agreement
Method of calculation	Total activities implemented versus planned
Data limitations	Lack of co-operation and delay in submission of information by stakeholders
Type of indicator	Process
Calculation type	Non-Cumulative
Reporting cycle	Annually and Quarterly
New indicator	No
Desired performance	100% execution of the approved anti-fraud and corruption implementation plan
Indicator responsibility	Director: Special Investigations
Portfolio of Evidence	 Approved anti-fraud and corruption implementation plan Status/progress Report on the implementation of the anti-fraud and corruption plan

Indicator Title	Percentage implementation of the Annual Human Resource Implementation Plan
Short definition	To ensure a capacitated and diverse Departmental workforce in compliance with HR prescripts for a conducive working culture, climate and a productive employment through: 1. A mandate aligned Departmental Organisational Structure; 2. Recruitment and retention of high calibre human resources; 3. Compliant and consistent implementation of Employee Conditions of Service; 4. Objective based Human Resources Performance Management and Development; 5. Implementation of relevant Organisational Transformation Programmes; 6. Objective employee relations interventions; 7. Adherence to all HR statutory obligations quarterly and annually
Purpose/importance	 HR Implementation Plan Progress reports Prescribed HR Compliance reports (PERSAL, Vulindlela HR systems, HRD databases, PSCBC) Minutes of meetings, e g Skills Development Committee meetings, departmental Employment Equity Committee meeting, Job Evaluation Panel meeting and Departmental Bargaining Council meeting
Source/collection of data	 100% adherence to the approved: HRD Implementation Plan and HRD Monitoring Tool; Workplace Skills Plan; Quarterly Training and Development and Expenditure reports to PSETA; EPMDS PERSAL Reports; and Minutes of meetings and Attendance Registers of the Skills Development Committee, Internship and Mentor meetings Employment Equity (EE) Plan and Report Employee Health and Wellness (EHW) Integrated Reports Minutes of EE and EHW Meetings and attendance registers
Method of calculation	Total number of activities implemented in the HR Plan / total number of planned activities x 100
Data limitations	Output Server downtime: inaccessibility of PERSAL and Vulindlela
Type of indicator	Input
Calculation type	Non - Cumulative
Reporting cycle	Quarterly
New indicator	No No
Desired performance	100% Implementation of the approved HR Plan targets and full compliance with HR regulatory requirements
Indicator Responsibility	Chief Director: Human Resources Management
Portfolio of Evidence	Quarterly reports on % of adherence to HR Regulatory Requirements as per the approved HR Plan

Indicator Title	Percentage of lodged disputes investigated within 90 days
Short definition	To provide dispute resolution services within the Human Settlements Sector and the observance of the fundamental right of access to adequate housing, within the area of responsibility of the Department at National, Provincial and Local level, including the Departmental entities
Purpose/importance	To enhance service delivery and good governance mechanisms To create a suitable framework for executive oversight To improve and maximize efficiency, effectiveness of governance operations To increase public confidence in government To improve openness and transparency
Source/collection of data	Quarterly status reports
Method of calculation	Total number of investigated disputes (within 90 days)/ Total number of disputes lodged X 100
Data limitations	Incomplete, inaccurate and or lack of timeous information and lack of cooperation from role players
Type of indicator	output
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	Yes
Desired performance	All disputes lodged investigated within 90 days
Indicator responsibility	Ombudsman
Portfolio of Evidence	Quarterly status reports on disputes investigated

Indicator Title	% Availability of the HSS Database Systems
Short definition	Availability of HSS Database Systems housed at SITA Centurion to enable provincial departments to process information related to the housing subsidy process
Purpose / importance	Housing Act 107 of 1997 (Part 6: 1 and 2b) requires the DG to establish Information Systems
Source / collection of data	System reports on the availability of HSS Database Systems housed at SITA Centurion
Method of calculation	% Availability of HSS Database Systems
Data limitations	None
Type of indicator	Output
Calculation type	Non – Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	90% Availability of HSS Database Systems housed at SITA Centurion
Indicator responsibility	Chief Directorate: IMS & ICT
Portfolio of evidence	System reports on the availability of HSS Database Systems housed at SITA Centurion per month per quarter

Indicator Title	Percentage availability of Secured ICT services
Short definition	Provide 90% availability of secured Information Technology services in line with the SITA SLA Secured refers to maintaining the confidentiality, integrity and availability of information
Purpose / importance	The indicator measures the effectiveness and efficiency of information technology services through the provision of on-going and maintenance of secured information technology services. If the services are not secured and available that could lead to loss of business operation
Source / collection of data	SITA monthly service reports and system generated reports from the helpdesk system (Footprint) and user surveys
Method of calculation	% availability of secured ICT services
Data limitations	Unavailability of LAN and WAN Failure in Data Centre environmental controls could lead to unavailability of systems
Type of indicator	Output
Calculation type	Cumulative
Reporting cycle	Quarterly
New indicator	Yes
Desired performance	100% information technology infrastructure, systems and services provided and maintained
Indicator responsibility	Chief Director IMS & IT
Portfolio of evidence	Quarterly reports on percentage of availability of secured ICT services and SITA service reports System generated reports from the helpdesk system(Footprint) and user surveys

PROGRAMME 2: HUMAN SETTLEMENTS POLICY AND STRATEGY

STRATEGIC OBJECTIVE INDICATORS

Objective Indicator title	Approved National Human Settlements Development Plans
Short definition	An Annual Business Plan to establish integrated sustainable human settlements in which households have access to social and economic amenities, funded through the Human Settlements Development Grant (HSDG) and Annual Plans to promote integrated sustainable human settlements and improved quality of household life in the metropolitan municipalities through the Urban Settlements Development Grant
Purpose/importance	To ensure that planned projects are in line with Human Settlements Priorities (MTSF) and result in the creation of Sustainable and Integrated human settlements. While for USDG it is meant to support the national human settlements development programmes and priorities, focusing on poor households in metropolitan areas
Source/collection of data	Provincial and Metros Annual Business Plans
Method of calculation	Analysis and verification of the Provincial and Metros Business Plans submitted in terms of the relevant frameworks
Data limitations	Incomplete, inaccurate information from the provincial and Metros business plans
Type of indicator	Output indicator
Calculation type	Non-Cumulative
Reporting cycle	Annual
New indicator	No
Desired performance	Human Settlements Development plan addressing MTSF priorities and annual Urban Settlements Development plans that support national human settlements development programmes
Indicator responsibility	CD Human Settlements Planning
Portfolio of Evidence	Report on the approved National Human Settlements Development Plans

Objective Indicator title	Number of Intergovernmental Structures maintained for the delivery of Human Settlements sector priorities
Short definition	All Structures that the Department coordinate in delivery human settlements including formal stakeholder structures, international cooperatives and intergovernmental forums are operational in line with the founding documents to contribute to Human Settlements Development
Purpose/importance	To assist in synergising the contributions of all role-players and stakeholders in the human settlements space
Source/collection of data	Stakeholder forums, International Developmental Organisations and Report of the intergovernmental structures
Method of calculation	Participations of all Social Contract partners and HS developmental organisations contributing towards human settlement development
Data limitations	Poor or lack of participation by the role-players and stakeholders in the sector (uncoordinated efforts)
Type of indicator	Impact
Calculation type	Cumulative
Reporting cycle	Annual
New indicator	Yes
Desired performance	Synergised contribution by role-players in the Human Settlements sector towards human settlement development
Indicator responsibility	Chief Director Stakeholder Relations and IGR
Portfolio of Evidence	A report on Intergovernmental Structures maintained for the delivery of Human Settlements sector priorities

Objective Indicator title	Policy framework for Human Settlements developed
Short definition	The development of a policy framework for establishing the Human Settlements policy frameworks
Purpose/importance	The policy framework will serve as enabling policy foundation for drafting a legislative, regulatory, policy, norms, standards and guidelines framework for Human Settlements focusing on different areas each year ie Human settlement development bank, Consumer protection, property practitioners, prevention of illegal evictions and all other national policy position regulating Human Settlements space
Source/collection of data	Existing research of evaluation reports that articulate different functionaries in the Human settlements space and consult with stakeholders in the Human Settlement space
Method of calculation	Reports, evaluations, discussion documents and revised policies
Data limitations	Management understanding and supporting the policy proposal and availability of stakeholders
Type of indicator	Output
Calculation type	Cumulative
Reporting cycle	Annual
New indicator	No
Desired performance	Policy framework for Human Settlements developed
Indicator responsibility	DDG: Human Settlements Delivery frameworks
Portfolio of Evidence	A Policy framework for Human Settlements focusing on the focus area for a particular period

PROGRAMME PERFORMANCE INDICATORS TECHNICAL INDICATOR DESCRIPTION

Indicator title	Approved National Human Settlements Development Plans (HSDG funded)
Short definition	An Annual Business Plan to establish integrated sustainable human settlements in which households have access to social and economic amenities, funded through the Human Settlements Development Grant (HSDG)
Purpose/importance	To ensure that planned projects are in line with Human Settlements Priorities (MTSF) and result in the creation of Sustainable and Integrated human settlements
Source/collection of data	Provincial and Metros Housing Subsidy System
Method of calculation	Analysis and verification of the Provincial Plans submitted in terms of the relevant frameworks
Data limitations	Incomplete, inaccurate information from the provincial business plans
Type of indicator	Output indicator
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Human Settlements Development plan addressing MTSF priorities that support national human settlements development programmes
Indicator responsibility	CD Human Settlements Planning
Portfolio of Evidence	Report on the approved National Human Settlements Development Plans

Indicator title	Urban Settlements Development Grant plans for Metropolitan municipalities developed (USDG funded)
Short definition	Annual Plans to promote integrated sustainable human settlements and improved quality of household life in the metropolitan municipalities through the Urban Settlements Development Grant
Purpose/importance	The USDG supplements the capital revenues of metropolitan municipalities in order to implement infrastructure projects that promote equitable, integrated, productive, inclusive, and sustainable urban development
	The USDG also provides funding to facilitate a programmatic, inclusive, and municipality-wide approach to the upgrading of informal settlements
	The USDG Plan in this regard demonstrates the expenditure of the grant
Source/collection of data	Metropolitan Municipalities development plans (e g Built Environment Performance Plans (BEPPs), IDPs, SDBIPs)
Method of calculation	Evaluate the USDG Plan based on the requirements of the USDG framework 2019
Data limitations	Cities submit Plans late at times
Type of indicator	Output indicator
Calculation type	Non-Cumulative Non-Cumulative
Reporting cycle	Biannual
New indicator	No
Desired performance	Annual Urban Settlements Development plans that support national human settlements development programmes
Indicator responsibility	CD Human Settlements Planning
Portfolio of Evidence	Urban Settlements Development Plans

Indicator title	Number of intergovernmental forums coordinated for human settlements development
Short definition	Intergovernmental forums are critical for the delivery of human settlements as stakeholders across government collaborate in planning and implementing programmes relating to human settlements. The indicator measures forums coordinated to support human settlements development where agreements will be reached and translated into plans by different department that contribute to human settlements delivery. This is done through signed agreements, business plans, strategic plans and annual plans aligned to human settlements priorities as well as memorandum of agreements signed by various departments as commitment to human settlements delivery.
	The Directorate Stakeholder and Intergovernmental is responsible for the coordination of established forums, participating in external sector forums as per invitation from other sector Departments Further, the unit establish new task teams to negotiate the terms of reference for the development of agreements as per request from management, coordinate and establish task teams for the parliamentary oversight visits as per request by Parliament and produce reports jointly with the Executive Office and Accounting Office for reporting at Parliament by management
	Work mentioned above may derive from either intergovernmental vertical and horizontal engagements on key deliverables in line with the Departments priorities. This may be done through signed agreements, business plans, formalised forums agreed upon and terms of reference developed.
	The unit has targeted to coordinate and participate in thirty two (32) forums per annum and eight (8) per quarter
	FORUMS:
	Quarterly (4) Intergovernmental performance and review sessions and Quarterly (4) Entities oversight meetings Participate and collaborate with the Departments of Public Works, Enterprise, Cooperative Governance and Traditional Affairs, Rural Development and Land Reform as well as Transport on the Joint Coordinating Committee for the identification, release and development of public owned land Participate and collaborate with the Department of Cooperatives Governance and Traditional Affairs on the Back to Basics Committee forum
	Participate and collaborate with the Department of Cooperative Governance and Traditional Affairs and Department of Public Works on the utilisation of the Expanded Public Works Programmes and Community Workers Programme in human settlements projects forum Participate and collaborate with Department of Science and Technology, National Home Builders Registration Council and Department of Environmental Affairs on the usage of Alternative Technology and Climate Change Flagship Projects forums Coordinate the Departments participation in the Fosad Clusters jointly with the Executive Officer and the Accounting Officer's offices which are: Social Protection, Community and Human development Cluster; Economic Sectors, Employment and Infrastructure Development Cluster Governance and Administration Cluster
	Coordinate oversight visits as requested by the Parliamentary Committees jointly with the Executive and Accounting Officer's offices as per request by Parliament
	Establishment of task teams for the develop of terms of reference that are to assist the legal unit in the formulation of agreements, either Memorandum of Agreements, Collaboration Agreements as well as Memorandum of Understanding. The request to develop the terms of reference emanates from the request by management in line with emerging priority needs of the Department.

Indicator title	Number of intergovernmental forums coordinated for human settlements development
Purpose/importance	The indicator seeks to ensure that delivery plans by different departments and spheres are coordinated to achieve human settlements outcomes and improve service delivery. In line with the Departments Medium Term Strategic Framework and the Strategic Plan, the unit ensures that the critical intergovernmental forums are coordinated such as the forum that monitors the implementation of the MTSF targets through the Intergovernmental and multi-sector planning and budgeting forum, the coordination of the parliamentary oversight visits. The unit further ensures that the Department participate in the formalised intergovernmental structures such as Fosad Clusters and critical forums to promote and strengthen collaborations through aligned business plans and implementations plans with sector departments to achieve the MTSF targets
Source/collection of data	Signed agreements by different sector Departments, Spheres of Government, Plans that demonstrate coordination of delivery
Method of calculation	Sum of Plans developed and agreements signed for collaboration
Data limitations	Poor or lack of participation by the role-players and stakeholders in the sector (uncoordinated efforts)
Type of indicator	Impact
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	No No
Desired performance	Synergised contribution by role-players in the Human Settlements sector towards human settlement development
Indicator responsibility	Chief Director: Stakeholder Relations and IGR
Portfolio of Evidence	A report on Intergovernmental Structures maintained for the delivery of Human Settlements sector priorities including Intergovernmental and multi-sector planning and budgeting forums Entities oversight meetings

Indicator title	Number of stakeholder Partnerships mobilized towards Human Settlements Development
Short definition	The Directorate mobilises partnerships towards Human Settlements development through the Govan Mbeki Awards (GMA), Rapid Responses to stakeholder's petitions, implementation of Ministerial outreach initiatives, Structured stakeholder forums as well as sponsorship
	The partnerships include activities implemented together with the social contract partners and stakeholders in human settlements developments including the Govan Mbeki Awards, Coordination of Department responses to stakeholders' petitions, Ministerial outreach initiatives, structured stakeholder forums and sponsorships
	In this context coordination refers to formal convening of stakeholders through meetings, forums, ceremonies in the development of human settlements
	The social contract signatories and stakeholders refers to the National, Provincial and Local spheres of government, private sector, civil society organizations, professional bodies and Practitioners as well as research institutes
	The Department coordination activities includes and not limited to:
	 Acknowledging excellent participation and contribution towards Human Settlement development through Govan Mbeki Awards (GMA) - Report on implementation of the National Govan Mbeki Awards Framework to support the Provincial Awards and deliver the National Awards Coordinate rapid inter-spherical responses to stakeholders petitions - Ministerial outreach initiatives Reports on stakeholder/community engagements and Ministerial Outreach initiatives - Minutes, registers, attendance registers etc Coordination of structured stakeholder forums to contribute towards human settlements policy and legislative development - Reports on all engagements with stakeholders, attendance registers and invitations Secure sponsorship from social contract signatories
Purpose/importance	Promotes role clarity, accountability and responsibility as well as monitoring and reporting of mobilized partners
Source/collection of data	Stakeholder forums, stakeholders and community engagements
Method of calculation	Sum of all partnerships that the Department implements together with social contract and stakeholders in human settlement development
Data limitations	Lack of participation Incomplete information and reports from social contract signatories
Type of indicator	Impact
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Partnerships mobilised from Social Contract signatories towards human settlement development
Indicator responsibility	Chief Director: Stakeholder Relations and IGR
Portfolio of Evidence	A report on number of partnerships mobilised from Social Contract signatories towards human settlement development reflecting: • Participation and contribution towards Human Settlement development through Govan Mbeki Awards (GMA) • Coordination of rapid inter-spherical responses to stakeholders petitions • Coordination of structured stakeholder forums to contribute towards human settlements policy and legislative development • Secured sponsorship from social contract signatories

Indicator title	Number of international cooperation initiatives aligned to human settlements priorities supported
Short definition	The Department of Human Settlements undertakes six types of cooperation initiatives with international partners, organisations, and/or international multilateral agencies These international cooperation initiatives are:
	1. Knowledge exchange through attending or arranging conferences, workshops or visits
	2. International cooperation agreements, cooperation arrangements, or work plans
	 3. Contributions to the human settlements dimension of South Africa's relationships with other countries in accordance with South Africa's foreign policy 4. Contributions to the human settlements dimension of South Africa's engagement in African regional cooperation and the African Union (for example the Department of Human Settlements' involvement in the African Union Specialised Technical Committee on public service local government decentralisation and urban development)
	5. Contributions to the human settlements dimension of the South Africa's engagement with the United Nations (for example UN-Habitat, UN HRC, etc.)6. Stakeholder engagement on key international human settlements dialogues and commitments (for example the implementation of the New Urban Agenda)
	These six overarching international cooperation initiatives are supported through preparations for international conferences, incoming and outgoing study visits, knowledge exchanges, international meetings, interdepartmental meetings, stakeholder engagement sessions, or the furthering of international technical support projects
	These initiatives seek to ensure the Department benefits from exposure to international good practice, policy developments, knowledge exchange and technical co-operation. The Department also seeks to influence international discussions on good practice as these discussions yield resolutions that South Africa will be expected to implement
	The work is undertaken through the development of an annual international programme of existing international cooperation initiatives, and exploring new opportunities for collaboration. Reports on the implementation of these international cooperation initiatives are knowledge products and contribute to the Department's exposure to international developments in the field of human settlements and in the field of urban development
	Furthermore, the Department seeks to support the human settlements sector actors in implementing the international commitments and undertakings made, through co-ordinated stakeholder engagement sessions, at which stakeholders express their preferred position and/or make proposals on how these commitments could be implemented
Purpose/importance	To ensure the Department actively contributes to international discussions and commitments on human settlements norms and good practice, and supports the human settlements sector in implementing the international commitments and undertakings
Source/collection of data	Reports on international cooperation initiatives
Method of calculation	An annual programme of international cooperation initiatives is developed. The Department aims for 80% of the international programme to be implemented, with some re-planning undertaken within the year. Reports on international cooperation initiatives are compiled and signed off by the Director, or Chief Director or the DDG, and in many cases also by the DG and Minister.

Indicator title	Number of international cooperation initiatives aligned to human settlements priorities supported
Data limitations	The international environment is highly variable and not all planned initiatives can be implemented
Type of indicator	Output
Calculation type	Non-cumulative Non-cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	The six international cooperation initiatives are supported through following an annual programme as far as is possible, and also supporting some initiatives that arise unplanned, as long as they are strategically in line with the 6 broad types of international cooperation initiatives
Indicator responsibility	Chief Director Stakeholder Coordination and IGR
Portfolio of Evidence	Reports on international cooperation initiatives/ projects/ visits/ meetings/ stakeholder engagements

Indicator title	2019-2024 MTSF developed
Short definition	Development of the Human Settlements MTSF in line with NDP priorities
Purpose/importance	The MTSF provides guidance on the implementation of human settlements priorities over a five year horizon and serves as a guide on what the sector measures as progress towards the realization of the NDP vision
Source/collection of data	Research material, Evaluation material , NDP
Method of calculation	Qualitative
Data limitations	Credibility of information and sources
Type of indicator	Process –output
Calculation type	Qualitative
Reporting cycle	Quarterly
New indicator	Yes
Desired performance	2019-2014 MTSF developed
Indicator responsibility	CD:HS Strategy
Portfolio of Evidence	Draft MTSF 2019-2024, Draft Implementation Framework 2019-2024, Draft Monitoring and Evaluation Plan 2019-2024 (as planned for in quarters)

Indicator title	Macro Policy frameworks for human settlements developed
Short definition	Development of a policy framework for Community Schemes Ombud Service (CSOS) in line with the Standard Operating Procedure (SOP) for 2019/20
Purpose/importance	To provide a policy framework (foundation) for human settlement
Source/collection of data	Research, Evaluations, Synthesis Report, SEIAS phase 1 and phase 2 Reports
Method of calculation	Achievement of quarterly milestones as defined in the SOP for 2019/20 on the Policy framework for CSOS legislation
Data limitations	Lack Management support
Type of indicator	Output
Calculation type	Non-Cumulative Non-Cumulative
Reporting cycle	Bi-Annual
New indicator	No
Desired performance	A policy framework (foundation) for CSOS
Indicator responsibility	CD: Operational Frameworks
Portfolio of Evidence	Policy framework (foundation) developed :Policy framework for CSOS legislation developed

Indicator title	Human Settlements Code developed
Short definition	The consolidation and review of the existing programmes in the housing code and develop phasing out arrangements for the programmes that must be excluded in the human settlements code
Purpose/importance	To provide a framework that will guide phasing out of programmes applicable to the housing/human settlements sector
Source/collection of data	Research and evaluation reports
Method of calculation	Achievement of quarterly milestones as defined in the SOP for 2019/20 Framework for phasing out the programmes in the Housing Code in preparation for the Human Settlements code Enhanced Extended Discount Benefit Scheme Consolidation Subsidies
Data limitations	Lack of Management support
Type of indicator	Process- Output
Calculation type	Non-Cumulative
Reporting cycle	Bi-annually
New indicator	No No
Desired performance	Human Settlements Code
Indicator responsibility	DDG: Human Settlements Delivery Frameworks
Portfolio of Evidence	Framework for phasing out the programmes (Enhanced Extended Discount Benefit Scheme and Consolidation Subsidies) in the Housing Code in preparation for the Human Settlements Code

Indicator title:	% of HSDG and USDG budget allocated to women owned entities monitored
Short definition	The indicator track progress on transformation targets aimed at empowering women owned entities (51% owned and controlled by women) through the allocation of HSDG and USDG construction budget as per the grant framework
Purpose/importance	To monitor the total HSDG and USDG budget allocated to women entities (contractors) by Provinces and metros
Source/collection of data	Data will be collected from Provinces and Metros
Method of calculation	Budget allocated × 30% as per DORA regulation ÷ 100
Data limitations	Relying on data from Provincial and Metros reports
Type of indicator	Output
Calculation type	non-cumulative
Reporting cycle	Quarterly
New indicator	No No
Desired performance	From the allocated funds – 30% must be allocated as per the prescribed in DORA
Indicator responsibility	CD: Governance Frameworks
Portfolio of Evidence	Quarterly report indicating the HSDG and USDG budget allocated to women entities (contractors)

Indicator title:	% of HSDG and USDG budget allocated to youth owned entities monitored
Short definition	The indicator track progress on transformation targets aimed at empowering youth owned entities (51 % owned and controlled by youth) through the allocation of HSDG and USDG construction budget as per the grant framework
Purpose/importance	To monitor the total HSDG and USDG budget allocated to youth entities (contractors) by Provinces and metros
Source/collection of data	Data will be collected from Provinces and Metros
Method of calculation	Budget allocated × 10% as per DORA regulation ÷ 100
Data limitations	Relying on data from Provincial and Metros reports
Type of indicator	Output
Calculation type	non-cumulative
Reporting cycle	Quarterly
New indicator	No No
Desired performance	From the allocated funds – 10% must be allocated as per the prescribed in DORA
Indicator responsibility	CD: Governance Frameworks
Portfolio of Evidence	Quarterly report indicating the HSDG and USDG budget allocated to women entities (contractors)

PROGRAMME 3: PROGRAMME MONITORING AND DELIVERY SUPPORT

STRATEGIC OBJECTIVE INDICATORS

Objective Indicator Title	Implementation Support provided to the sector for the delivery of a number of sustainable Human Settlement opportunities
Short definition	The indicator measures the support the department provides to provinces in the implementation of the human settlements programmes that includes:
	Transfer of grants upon confirming the alignment of business plans with priorities Monitoring implementation progress
Purpose/importance	The support provided will contribute to the delivery of sustainable human settlements (housing opportunities as highlighted in the MTSF)
Source/collection of data	Reports from Provinces and Municipalities
Method of calculation	Simple count
Data limitations	Inaccurate and incomplete information
Type of indicator	Output
Calculation type	Non-Cumulative
Reporting cycle	Annual
New indicator	No No
Desired performance	Human settlements programme supported and housing opportunities delivered
Indicator responsibility	DDG: Programme Project Management Unit
Portfolio of Evidence	Progress report on the number of provinces supported on the implementation of human Settlements programmes

Objective indicator title	Capacity Building Programme Implemented
Short definition	Implement Capacity development Programmes to support the implementation of Human Settlements programmmes to improve capacity for human settlements sector i e Informal settlements grading, Rental housing, Catalytic projects, through: The NUSP programme; Community of practices (CoPs) for Project managers and Human Settlements Planners; Workshops Implementation of the Human Settlements Capacity Development Strategy and Plans
Purpose/importance	To ensure the availability of requisite competencies (skills, knowledge and attitudes) to implement the Departmental Apex projects
Source/collection of data	Attendance registers, minutes and reports
Method of calculation	Number of provincial departments supported
Data limitations	Lack of cooperation by stakeholders in the sector
Type of indicator	Process
Calculation type	Non-Cumulative
Reporting cycle	Annual
New indicator	Yes

Objective indicator title	Capacity Building Programme Implemented
Desired performance	Provincial departments and Metros supported with capacity in the implementation of Human Settlements programme
Indicator responsibility	DDG:COO and DDG: PPMU
Portfolio of Evidence	NUSP Programme Reports Human Settlements Sector Capacity Development Strategy

Type of indicator	Process
Calculation type	Non-Cumulative
Reporting cycle	Annual
New indicator	Yes
Desired performance	9 Provincial departments supported in the implementation of Human Settlements Apex Programmes
Indicator responsibility	DDG:COO and DDG: PPMU
Portfolio of Evidence	Progress report on Provincial departments supported in the implementation of Human Settlements Apex Programmes Attendance registers, Minutes and Reports on the support provided

Objective Indicator Title	Number of Evaluation studies completed
Short definition	Evaluation studies measuring the Impact of human settlements programmes and projects on the quality of the lives of beneficiaries and their living environments
Purpose/importance	Ability to assess whether projects are being conducted effectively, in order to learn from and improve project activities; whether the programme is making a difference to people, groups or communities; and to use that evidence of the impact to advocate for continued implementation/ discontinuation of the programmes
Source/collection of data	Provincial Department of Human Settlements, Municipalities and Beneficiaries
Method of calculation	Qualitative and quantitative
Data limitations	Based on data collected from sampled programmes, projects and beneficiaries
Type of indicator	Impact indicator
Calculation type	Non-Cumulative
Reporting cycle	Annual
New indicator	No No
Desired performance	Evaluation studies reports with formal, evidence-based procedures that assess the economic, social, and environmental effects of human settlements programmes and projects
Indicator responsibility	DDG: Programme Project Management Unit
Portfolio of Evidence	Progress report on Evaluation studies conducted

PROGRAMME PERFORMANCE TECHNICAL INDICATOR DESCRIPTION

Indicator title	Project Readiness Matrix implemented in a number of provinces
Short definition	This is a tool that is aimed at assisting the development of credible Annual Provincial Business plans submitted to the National Department of Human Settlements by all the 9 Provinces in order to test the state of readiness of projects for implementation
Purpose/importance	The Project Readiness Matrix (PRM) will allow the National Department of Human Settlements to assess what is feasible and deliverable within a specific timeline and budget in order to make informed strategic intervention. It is a critical component that is aimed to streamline project planning and packaging nationally, and forms an integral part of the business planning process. Furthermore, it is also an enabler to develop a credible and readily available construction pipeline of projects for implementation in a specific financial year, and assess project implementation readiness measured against a set of criteria.
Source/collection of data	Approved Business Plans by the Director General
Method of calculation	Number of projects assessed for implementation readiness meeting the development planning requirements
Data limitations	Lack of accurate / credible information from Provinces
Type of indicator	Process
Calculation type	Non-Cumulative and on-going
Reporting cycle	Quarterly
New indicator	No No
Desired performance	To develop credible national and provincial project pipelines aligned to business plans
Indicator responsibility	Chief Director: Program and Project Planning
Portfolio of Evidence	Assessment Reports on the state of readiness of projects for implementation in 9 provinces

Indicator Title	Programme implementation support provided to number of government-led catalytic projects
Short Definition	The indicator measures the support the department provides on the implementation of the catalytic programme The support provided includes: Planning Support, assisting provinces in ensuring that the catalytic programme is planned for and implemented Monitoring performance, identifying challenges and working with provinces to mitigate risks associated with implementation Monitoring expenditure patterns
Purpose/Importance	The indicator measures the progress made in realising the objectives of transforming the space and ensuring integration
Source	Reports by the HDA
Calculation Method	Measure support provided on implementation of catalytic projects programme
Data Limitations	Data inaccuracies
Type of Indicator	Process
Calculation type	Cumulative
Reporting cycle	Quarterly and annually
New indicator	No

Indicator Title	Programme implementation support provided to number of government-led catalytic projects
Desired performance	Improved performance of the catalytic projects programme
Indicator responsibility	Chief Director: Program and Project Planning
Portfolio of evidence	Progress report on the support provided by the department on the implementation of catalytic projects programme reflecting • Planning support provided • Performance monitoring • Report on expenditure patterns

Indicator title	Programme imple	mentation support for the revitalization of distressed mining communities in a number of Local Municipalities provided
Short definition	NDHS will provide p	rogramme implementation support to the following 23 Local Municipalities in 6 Provinces:
	Province	Local Municipalities
	Limpopo	LIM 476, Elias Motsoaledi, Lephalale, Thabazimbi, Mogalakwena
	Gauteng	Rand West City, Mogale City, Merafong
	North West	Rustenburg, Moses Kotane, Madibeng, Kgetleng Rivier, City of Matlosana
	Mpumalanga	Emalahleni, Steve Tshwete, Thaba Chweu
	Free State	Matjhabeng
	Northern Cape	Gamagara,Tsatsabane, Kgatelopele, Ga-Segonyana, Joe Morolong, Khai-Ma
	and monitor the in	acilitate bilateral meetings with provinces, municipalities, human settlements entities and relevant sector departments to determine implementation of municipal human settlements priorities; f the financial year, NDHS may also provide the following additional programme implementation support as and when required:
	 Provide human se South Africa; 	ng of Implementation Protocols between the three spheres of government; ttlements inputs to the DMR-led revision of the Housing and Living Conditions Standards for the Minerals and Mining Industry of other intervention reports for presentation to the IMC for the Revitalization of Distressed Mining Communities and other relevant
	internal and extern • Facilitate the deve	

Indicator title	Programme implementation support for the revitalization of distressed mining communities in a number of Local Municipalities provided	
Purpose/importance	Through this indicator NDHS seeks to ensure the delivery of sustainable and integrated human settlements in municipalities with distressed mining communities in South Africa	
Source	The following source documents will be used: Implementation Protocols between the three spheres of Government Approved Provincial Business Plans Assessed and verified PRM reports Provincial DORA reports Programme performance reports prepared by PPMU Programme Development Facilitators HDA programme implementation reports Human Settlements and other multi-sector department diagnostic and policy and programme reports Provincial SDFs and Municipal IDPs Social and Labour Plans and Employer-Assisted Housing Policies and Strategies of mining companies	
Method of calculation	Programme implementation support provided to the specified provinces and municipalities with distressed mining communities	
Data limitations	Level of credibility of information obtained from provinces, municipalities, human settlements entities, relevant sector department and other relevant stakeholders	
Type of indicator	Output	
Calculation type	Non-cumulative	
Reporting cycle	Quarterly	
New indicator	No No	
Desired performance	Functional and integrated human settlements and municipalities with distressed mining communities	
Indicator responsibility	Chief Director: Programmes and Projects Planning	
Portfolio of evidence	 Quarterly Reports on: Forward planning facilitated and identification of credible multi-year projects for inclusion in the Provincial Business Plans; The performance of projects and utilization of the HSDG ring-fenced funding; Project challenges, risks and proposed interventions; Outcomes/Resolutions of bilateral meetings with provinces, municipalities, human settlements entities and relevant sector departments to regarding the implementation of municipal human settlements priorities Additional Programme Progress Reports on a Case-by-Case Basis on: Signed Implementation Protocols between the three spheres of Government; Revised Housing and Living Conditions Standards for the Minerals and Mining Industry of South Africa; Programme Delivery Frameworks and Cooperation Agreements between Government and mining companies; and Human settlements intervention reports presented at the IMC for the Revitalization of Distressed Mining Communities and other relevant internal and external forums Outcomes/Resolutions of bilateral meetings between NDHS and mining companies 	

Indicator title	Number of informal settlements assessed (Feasibility Studies conducted)
Short definition	A Feasibility Study is a Comprehensive study that examines and assesses in detail the potential of the relevant informal settlement to be upgraded in situ or, alternatively, whether the community should be relocated
Purpose/importance	Feasibility Studies on informal settlements are in line with the National Development Directives to determine whether the informal settlement can be upgraded in situ in order to provide suitable services and housing to the inhabitants in terms of Government priorities If in situ is not feasible, it will provide a determination of the future of the informal settlement
Source/collection of data	The primary information used is a Deeds search to determine the land ownership, conduct a geotechnical investigation to check the suitability of soil for development, the impact of the project on the environment Data collected from the municipality with regard to the availability of various services related to the project, such as Bulk Water, Sewer, Electricity and Road connections are also important data required
Method of calculation	Sum of assessed informal settlements
Data limitations	Possible data limitations include socio-economic information regarding the community, availability of services, soil conditions and flood lines
Type of indicator	Process
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	No No
Desired performance	200 Informal Settlements Feasibility Studies conducted (Settlements Assessed) in accordance with category definitions
Indicator responsibility	CD: Programme Implementation Facilitation
Portfolio of Evidence	Feasibility Study (Assessment) Reports for informal settlements provided by Service providers and/or Municipalities Analysis Report

Indicator title	Number of informal settlement upgrading plans developed
Short definition	Settlement level upgrading plans for informal settlements
Purpose/importance	The technical assistance process aimed at providing a pipeline of well-founded project plans to secure capital allocations for Implementation in line with National Development Directives
Source/collection of data	Service Providers
Method of calculation	Sum of Informal Settlements Upgrading Plans developed
Data limitations	None
Type of indicator	Output
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	No No
Desired performance	300 Informal Settlements Upgrading Plans produced through Participatory Planning with Communities and municipalities with a view to establishing a credible pipeline of informal upgrading settlements projects
Indicator responsibility	CD: Programme Implementation Facilitation
Portfolio of Evidence	Reports on the development of informal settlements upgrading plans

Indicator title	Provinces and metros supported for upgrading a number of households in informal settlements
Short definition	The indicator measures the support the department provides to provinces and metros in the delivery of households upgraded in informal settlements The support may include: • Feasibility Studies of informal settlements • Development of Upgrading plans for informal settlements • Implementation support and guidance on project implementation as and when required • Capacity building for municipal and provincial functionaries and communities • Programme implementation support
Purpose/importance	To provide support to provinces and metros on the upgrading of households in informal settlements to provide access to upgraded basic services, Phase 2 (shared) or Phase 3 (individual) for 68 739 households on information received from M&E
Source/collection of data	Reports submitted by and/or service providers, provinces, metros and HSS
Method of calculation	Progress report on provinces and metros supported on the upgrading of households in informal settlements
Data limitations	Inaccurate and incomplete information provided by Provinces and Metropolitan Municipalities
Type of indicator	Output
Calculation type	Non-Cumulative Non-Cumulative
Reporting cycle	Quarterly
New indicator	Yes
Desired performance	Households in informal settlements upgraded Support provided through NUSP
Indicator responsibility	CD: Programme Implementation Facilitation
Portfolio of Evidence	Progress report on the upgrading of households in informal settlements (received from CD: M&E) and reports on support provided

Indicator title	Provinces and municipalities supported to provide a number of individual units for subsidy housing market
Short definition	The indicator measures the support the department provides to provinces and municipalities in the delivery of 74 450 individual units for the subsidy housing market by the provinces and municipalities. The support may include:
	 Requests received for assistance/support from Provincial Departments of Human Settlements, Municipalities, individuals and Contractors and advice accordingly These requests cannot be pre-determined and will be handled on an ad-hoc basis as and when received Initiate support by providing programme implementation guidance (Project Process Guide workshops to provinces and municipalities) Report the number of Individual units for subsidy housing submarket delivered by the provinces and municipalities
Purpose/importance	To provide support to Provinces, Municipalities, individuals and Contractors (where applicable) as and when required in the provision of 74 450 individual units for the subsidy housing market
Source/collection of data	Provincial Business Plans, information from Provinces, Municipalities and DORA report
Method of calculation	Count of the number of individual units delivered (The units are made up of UISP units and New individual units including Rural Housing units)
Data limitations	Inadequate/unreliable information provided by the Provinces and Municipalities
Type of indicator	Output

Indicator title	Provinces and municipalities supported to provide a number of individual units for subsidy housing market
Calculation type	Non-cumulative
Reporting cycle	Quarterly
New indicator	Yes
Desired performance	To provide support and assist Provinces, Municipalities, individuals and Contractors (where applicable) as and when required and provide support on programme implementation guidance (Project Process Guide)
Indicator responsibility	CD: Programme Implementation Facilitation
Portfolio of Evidence	Progress reports on the implementation support provided Report indicating delivery figures received from the DORA report

Indicator title	Support provided to deliver a number of Private Affordable Rental Housing units
Short definition	The indicator measures the support the department provides in the implementation of 4 000 private affordable rental housing
	The support may include:
	 Planning support Monitoring progress and identifying implementation challenges Supporting the NHFC in resolving challenges Delivery of planned affordable rental housing units
Purpose/importance	To provide support to the NHFC towards the implementation of 4 000 private affordable rental housing opportunities programme
Source/collection of data	Reports from NHFC
Method of calculation	Progress report on the support provided on the implementation of Private Affordable Rental Housing Programme
Data limitations	Reliance on the NHFC reports
Type of indicator	Output
Calculation type	Non-cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	To support the provinces/NHFC to achieve the private affordable rental housing opportunities planned
Indicator responsibility	CD: Programme Implementation Facilitation
Portfolio of Evidence	Progress report indicating the support provided towards the implementation of private rental opportunities Report from NHFC indicating the number of private rental opportunities implemented

Indicator title	Support provided on the implementation of Social Housing programme to deliver a number of units
Short definition	The indicator measures the support the department provides for the delivery of 3 000 social housing units The support may include: Business planning support to SHRA (including project pipeline planning) Monitoring progress and identifying implementation challenges Supporting the SHRA in resolving challenges Delivery of planned Social Housing programme
Purpose/importance	To provide support to the Provinces/SHRA towards the implementation of 3 000 social housing opportunities
Source/collection of data	Reports from the SHRA
Method of calculation	Progress report on the support provided on the implementation of Social Housing Units
Data limitations	Reliance on the SHRA reports
Type of indicator	Output
Calculation type	Non-cumulative
Reporting cycle	Quarterly
New indicator	No No
Desired performance	To support the Provinces/SHRA to achieve the implementation of social housing opportunities
Indicator responsibility	CD: Programme Implementation Facilitation
Portfolio of Evidence	Progress report indicating the support provided towards the implementation of social housing opportunities Report from SHRA indicating the number of social housing opportunities implemented

Indicator title	Support provided on the implementation of Community Residential Unit (CRU) Programme to deliver a number of units
Short definition	The indicator measures the support the department provides in the delivery of 400 CRU units to provinces and municipalities. The support may include: • Business planning support to Provinces (including project pipeline planning). • Monitoring progress and identifying implementation challenges. • Supporting the Provinces and municipalities in resolving challenges. • Delivery of planned CRUs
Purpose/importance	To provide support to the Provinces and municipalities towards the implementation of 400 CRU opportunities
Source/collection of data	Reports from provinces
Method of calculation	Progress report on the support provided on the implementation of Community Residential Programme
Data limitations	Inaccurate and unreliable information from provinces
Type of indicator	Output
Calculation type	Non-cumulative

Indicator title	Support provided on the implementation of Community Residential Unit (CRU) Programme to deliver a number of units
Reporting cycle	Quarterly
New indicator	No
Desired performance	To support the provinces to achieve the implementation of CRU opportunities
Indicator responsibility	CD: Programme Implementation Facilitation
Portfolio of Evidence	Progress report indicating the support provided towards the implementation of CRU opportunities Report from Provinces indicating the number of CRU's implemented

Indicator title	Number of Provinces supported to deliver multiyear land assembly targets
Short definition	A database of land parcels acquired and those identified for future acquisition containing metadata, e.g. land ownership (public/private, size, location, development status (land use rights)
Purpose/importance	To develop a coherent and inclusive approach to well-located land for integrated and sustainable human settlements that enable improved quality household life and access to basic services and socio-economic opportunities
Source/collection of data	Provincial and Municipal plans, HSS, data from other public land holders, DoRA reports Sector departments, e g Department of Rural Development and Land Reform
Method of calculation	Sourcing, analysis and consolidation of land information into a database, from various sources to show: 1. Target of identified land to be acquire/released – obtained from annual provincial, metropolitan municipal plans, & HDA 2. determine the development status of acquired / release land parcels, and 3. monitor progress with respect to acquired / released land, as well as the development status of the land parcels for the purpose of identifying and resolving challenges, if any – information obtained quarterly from performance reports and meetings (e.g. DoRA Reports, HDA reports * Development status refers to different town planning approvals and availability of bulk services necessary to get the land ready for housing development (services installations and top structure) This is a lengthy process that is dependent on other sector departments and municipalities and therefore cannot always be achieved in the year that the land is acquired or released
Data limitations	Incomplete and invalid (not conforming to expected format, type and range) data Time lag between land acquisition/ release and development approvals
Type of indicator	Output indicator
Calculation type	Qualitative and Quantitative
Reporting cycle	Quarterly
New indicator	Yes
Desired performance	Well located land acquired / released and developed over the years for human settlements development
Indicator responsibility	CD: HS Planning
Portfolio of Evidence	Report on support provided to provinces to deliver the multiyear land assembly targets

Indicator title	Support provided to Provincial Departments towards the registration of a number of pre and post 1994 title deeds
Short definition	Provide planning and implementation support for the registration of title deeds, oversee the monitoring and evaluation of provincial performance on the project through: Quarter 1 - 4: Quarterly report on project implementation support provided in six provinces- EC, GP, KZN, LP,MP& NW Quarter 3: Title restoration implementation tool kit Quarter 4: 2020/21 TRG Business plan submitted for approval Beneficiary verification analysis
Purpose/importance	To support and monitor the performance of the eradication of the title registration backlog
Source/collection of data	Provincial TRG Business Plans Provincial Departments of Human Settlements – Dora Reports Reports from delivery facilitators deployed in 6 provinces (EC, GP, KZN, LP, MP, NW) Deeds Registry-based records
Method of calculation	Provincial Engagements – NSC, PSC, Interventions (EC & NW) Formal submissions from provinces Verified count of Pre- & Post '94 title deeds registered through deeds platforms
Data limitations	Less than optimal use of the HSS; Inadequate / Inaccurate reporting on the part of Provincial Departments; Inappropriate implementation modalities by provinces Inadequate institutional arrangements in provinces
Type of indicator	Output
Calculation type	Non-cumulative
Reporting cycle	Quarterly
New indicator	No No
Desired performance	Pre- & Post '94 title deeds registered
Indicator responsibility	National Project Office: Title Restoration Project DDG:PPMU

Indicator title	Support provided to Provincial Departments towards the registration of a number of pre and post 1994 title deeds
Portfolio of Evidence	National Title Restoration Grant Business Plan, including the assessment report submitted for approval (in quarter 4 only);
	Quarterly reports on the support provided to provincial departments towards the delivery of business plan outputs Number of pre and post title deeds registered, as independently verified through the Department's Windeed subscription Approved National Business Plan for the TRG Reports from service delivery facilitators assigned to 6 provinces

Indicator title	Provinces supported in the implementation of Title Deeds programme (from April 2014) to deliver a number of Title Deeds
Short definition	The indicator measures the support the department provides to all nine provinces in the implementation of the title deeds programme for new units from 2014 The support provided includes: Planning Support, assisting provinces in ensuring that their business plans targets are aligned to the priorities relating to title deeds Implementation support, monitoring progress with respect to planned targets Assisting provinces by liaising with relevant sector departments to resolve identified obstacles where necessary, e.g. Department of Rural Development and Land Reform, Corporative Governance and Traditional Affairs, Environmental Affairs
Purpose/importance	The indicator indicates how effectively the ownership rights are being fulfilled and the degree to which the National Department supports provinces to deliver on the National goals
Source/collection of data	HSDG Business Plans Quarterly DoRA Reports submitted by provinces, HSS data, Conveyancers certificates / reports
Method of calculation	 Qualitative: Analysis of Business Plan targets against expected / anticipated targets and providing findings and recommendations to provinces in writing and during feedback sessions Analysis of provincial DoRA reports and supporting title deeds performance evidence documents; provision of implementation support based on the findings
Data limitations	Unreliable data generated/captured by provinces
Type of indicator	Output

Indicator title	Provinces supported in the implementation of Title Deeds programme (from April 2014) to deliver a number of Title Deeds
Calculation type	Cumulative
Reporting cycle	Quarterly and annually
New indicator	No
Desired performance	Improved performance on the delivery of title deeds for subsidy houses completed from April 2014 to date
Indicator responsibility	DDG: PPMU
Portfolio of Evidence	Progress reports on support provided to provinces in the implementation of Title Deeds programme (from April 2014)

Indicator title	Provinces supported to deliver a number of housing opportunities to Military Veterans
Short definition	Support the delivery of houses to Military Veterans through the assessment of provincial business plans, monitor implementation of MoU between DMV and Provincial DHS, monitor the implementation the military veterans housing delivery strategy (outlining the different delivery options including the construction of 50m² units in both urban and rural areas, allocation of 40m² Breaking New Ground houses to destitute and old Military Veterans and the settlement of bonds of the Military Veterans amounting to R188 000 00 or lesser), facilitate stakeholders collaboration in the implementation of the programme
Purpose/importance	To upscale the provision of housing opportunities to Military Veterans
Source/collection of data	The indicator achievements will be supported by reports on engagements with the various stakeholders, project status reports, minutes of meetings, and reports on project site visits conducted
Method of calculation	Sum of engagements held with Provinces and other stakeholders
Data limitations	Lack of a credible military veteran's database, non-availability of approved beneficiaries Gaps in DMV Policy and Regulations
Type of indicator	Adherence to the Department of Military Veterans Policy, Regulations on Military Veterans Housing and DHS Strategy on up-scaling delivery of Military Veterans Housing
Calculation type	Cumulative
Reporting cycle	Quarterly
New indicator	No No
Desired performance	The successful implementation of the military veterans housing programme by all nine provincial departments of human settlements
Indicator responsibility	Director: People's Housing Programme
Portfolio of Evidence	Quarterly /Annual Reports indicating the support provided to provinces as per the standards set in the definition/purpose of the target above

Indicator title	Number of provinces and metros supported in implementing the PHP policy
Short definition	Support Provinces in the implementation of the PHP through the assessment of the approved Provincial Business Plans, delivery of PHP capacity development workshops, engage with the PHP Sector Support Agencies including communities, NGO's CBO's and the Civil Society, facilitate collaborations between the stakeholders and through monitoring and evaluation of the Programme and establish PHP forums and encourage development of PHP project pipelines in all Provinces
Purpose/importance	To up-scale the implementation of PHP, encourage and advocate for a more participatory approach to the upgrading of informal settlements and delivery of rural housing

Source/collection of data	The indicator achievements will be supported by reports on engagements with the Sector, project status reports, minutes of meetings, training workshop reports and reports on project site visits conducted
Method of calculation	Sum of engagements held with Provinces, Municipalities and PHP Sector Support Agencies to promote and support the upgrading of informal Settlements and the delivery of rural housing through PHP
Data limitations	Non adherence to PHP Policy Framework, lack of implementation of the approved Implementation Guidelines for the enhanced PHP Policy Framework, lack of political buy- in from Province or Metro to budget and implement the Programme, lack of resources(no funding or dedicated PHP officials), non-availability of officials to hold meetings and workshops and the unwillingness of Municipalities or Province to implement the NUSP strategy
Type of indicator	Output
Calculation type	Cumulative
Reporting cycle	Quarterly
New indicator	No No
Desired performance	The successful implementation of the PHP Programme and the contribution towards the upgrading of informal settlements and delivery of rural housing through PHP in the nine (9) Provinces and eight (8) Metros
Indicator responsibility	PHP Director
Portfolio of Evidence	Quarterly /Annual Reports as per the standards set in the definition of the indicator

Indicator title	Human Settlements Sector Capacity Development Strategy developed
Short definition	Provides for the development of the Human Settlements Sector Capacity Development Strategy
Purpose/importance	The Human Settlements Sector Capacity Development Strategy and will serve as a framework and tool to inform the type and scale of Capacity development programmes to be implemented in the sector
Source/collection of data	Progress reports on the development of the Human Settlements Sector Capacity Development Strategy
Method of calculation	The Human Settlements Sector Capacity Development Strategy
Data limitations	None
Type of indicator	Process
Calculation type	Non-cumulative
Reporting cycle	Quarterly
New indicator	Yes
Desired performance	The Human Settlements Sector Capacity Development Strategy developed
Indicator responsibility	Chief Director: Technical Capacity Development
Portfolio of Evidence	 Quarterly Progress reports on the development of the Human Settlements Sector Capacity Development Strategy: Human Settlements Sector Capacity Development Strategy

Indicator title	Percentage of projects under implementation monitored and verified (HSDG and USDG)
Short definition	Percentage of projects under implementation (those that incurred expenditure during the quarter) funded through the HSDG and the USDG monitored and verified using available data sources such as reports, project site visits and data sets, to confirm the accuracy of the reported information
Purpose/importance	Tracking progress and programme compliance of projects implemented by the Provincial Departments of Human Settlements and Metropolitan Municipalities
Source/collection of data	HSS, Provincial Departments of Human Settlements Metropolitan Municipalities reports and Provincial Business Plan
Method of calculation	Based on all projects that spent (under implementation) for that period Number of projects monitored (PLM) plus no of projects verified (other verification/ desk top) divided by total number of projects with expenditure in a quarter multiplied by 100 = % achieved
Data limitations	Relies on data obtained from Provincial Department of Human Settlements and Metropolitan Municipalities
Type of indicator	Process
Calculation type	Non-Cumulative Non-Cumulative
Reporting cycle	Quarterly
New indicator	No No
Desired performance	To monitor and verify reported performance of all projects and programmes compliance under implementation
Indicator responsibility	CD: Programme Monitoring and Evaluation CD: Governance Frameworks
Portfolio of Evidence	Reports on the findings of the monitoring and verification of the reported performance of Provinces and Metropolitan Municipalities during the implementation of human settlements projects

Objective Indicator Title	Number of Evaluation studies Completed
Short definition	Evaluation studies measuring the Impact of human settlements programmes and projects on the quality of the lives of beneficiaries and their living environments
Purpose/importance	Ability to assess whether projects are being conducted effectively, in order to learn from and improve project activities; whether the programme is making a difference to people, groups or communities; and to use that evidence of the impact to advocate for continued implementation/ discontinuation of the programmes
Source/collection of data	Provincial Department of Human Settlements, Municipalities and Beneficiaries
Method of calculation	Qualitative and quantitative
Data limitations	Based on data collected from sampled programmes, projects and beneficiaries
Type of indicator	Impact indicator

Objective Indicator Title	Number of Evaluation studies Completed
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Evaluation studies reports with formal, evidence-based procedures that assess the economic, social, and environmental effects of human settlements programmes and projects
Indicator responsibility	DDG: Programme Project Management Unit
Portfolio of Evidence	Progress report on Evaluation studies conducted

Programme 4: Housing Finance

STRATEGIC OBJECTIVE INDICATORS

Indicator title	Approved Human Settlements Grants Framework
Short definition	Grant management reviews the framework from previous financial year and amends conditions or outputs and responsibilities of transferring and receiving officers that will enhance efficiency to ensure correct utilization of the grant
Purpose/importance	Regularise the use of human settlements grants and ensure that provinces and municipalities spend HSDG and USDG as per the defined outputs/outcomes
Source/collection of data	Data will be collected from internal units within the department, human settlements public entities, Metropolitan Municipalities and Provinces
Method of calculation	Qualitative (compliance will be the target)
Data limitations	In-sufficient data from Provinces and Metropolitan Municipalities
Type of indicator	Process
Calculation type	Both cumulative and non-cumulative
Reporting cycle	Annually
New indicator	No
Desired performance	Human Settlement Grants Framework approved
Indicator responsibility	Chief Director: CIO
Portfolio of Evidence	Approved Human Settlements Grant Frameworks

2019 - 2020

PROGRAMME PERFORMANCE TECHNICAL INDICATOR DESCRIPTION

Indicator title	Number of HSDG quarterly performance assessments conducted
Short definition	Collate, analyse and assess quarterly HSDG financial and non-financial performance reports from Provinces on monthly and quarterly basis The submission dates for monthly quarterly reports from Provinces are regulated in terms of Division of Revenue Act
Purpose/importance	To monitor the expenditure performance of Provinces in relation to the human settlements grants – granted by the department
Source/collection of data	Data will be collected from Provinces
Method of calculation	Simple count of assessments conducted
Data limitations	Relying on data from provincial BAS and HSS reports
Type of indicator	Process
Calculation type	Both cumulative and non-cumulative
Reporting cycle	Quarterly and Annually
New indicator	No
Desired performance	Quarterly Human Settlements Development Grants performance assessments Provinces fully spend their allocations in line with business plans and set MTSF targets Under spending is not acceptable
Indicator responsibility	Chief Director: CIO
Portfolio of Evidence	Quarterly reports on the assessment of Human Settlements Development Grant performance

Indicator title	Number of TDRG quarterly performance assessments conducted
Short definition	Collate, analyse and assess quarterly Title Deed Restoration Grant financial and non-financial performance reports from Provinces on monthly and quarterly basis The submission dates for monthly quarterly reports from are regulated in terms of Division of Revenue Act
Purpose/importance	To monitor the expenditure performance of Provinces in relation to the Title Deeds Restoration Grant – granted by the department
Source/collection of data	Data will be collected from Provinces
Method of calculation	Simple count of assessments conducted
Data limitations	Relying on data from provincial BAS and HSS reports
Type of indicator	Process
Calculation type	Both cumulative and non-cumulative
Reporting cycle	Quarterly and Annually
New indicator	No
Desired performance	Quarterly Title Deed Restoration Grant performance assessments Provinces fully spend their allocations in line with business plans Under spending is not acceptable
Indicator responsibility	Chief Director: CIO
Portfolio of Evidence	Quarterly reports on the assessment of Title Deeds Restoration Grant performance

Indicator title	Number of USDG quarterly performance assessments conducted
Short definition	Collate, analyse and assess quarterly USDG financial and non-financial performance reports Metropolitan Municipalities on monthly and quarterly basis The submission dates for monthly quarterly reports from Provinces and Metropolitan Municipalities are regulated in terms of Division of Revenue Act
Purpose/importance	To monitor the expenditure performance of Metropolitan Municipalities in relation to the human settlements grants – granted by the department
Source/collection of data	Data will be collected from Metropolitan Municipalities
Method of calculation	Simple count of assessments conducted
Data limitations	Relying on data from Metropolitan Municipalities
Type of indicator	Process
Calculation type	Both cumulative and non-cumulative
Reporting cycle	Quarterly and Annually
New indicator	No No
Desired performance	Quarterly Urban Settlements Grants performance assessments Municipalities fully spend their allocations in line with business plans and set MTSF targets Under spending is not acceptable
Indicator responsibility	Chief Director: CIO
Portfolio of Evidence	Quarterly reports on the assessment of Urban Settlements Development Grant performance

Indicator title	Number of performance assessments on the Finance Linked Individual Subsidy Programme (FLISP) Conducted
Short definition	Collection and analysis of data on the performance of FLISP per province allocated to approved beneficiaries per year in line with the approved targets in the provincial and NHFC business plans
Purpose/importance	The indicator tracks and access the performance of provinces against the set target, looked at different issues that hamper the performance of FLISP to deliver housing finance for affordable housing market and recommend possible solutions
Source/collection of data	Data will be collected from Provinces, HSS, GEHS and internal stakeholders
Method of calculation	Quantitatively (numbers in terms of target, take up and delivery)
Data limitations	No limitations
Type of indicator	Indicator measures according to target, output and efficiency
Calculation type	Not cumulative as we report delivery quarterly and yearly
Reporting cycle	Quarterly reports
New indicator	Not new
Desired performance	Performance below target is not acceptable
Indicator responsibility	Chief Financial Officer and Chief Director: CIO
Portfolio of Evidence	Number of performance assessments on the Finance Linked Individual Subsidy Programme (FLISP)

Indicator title	Number of assessments on the volume of loans granted by DFIs for the affordable housing market conducted
Short definition	Receive information on home loans from financial institutions quarterly Collate information received from financial institutions Analyses information received from financial institutions Produce quarterly performance of financial institutions and their lending patterns on home in terms of MTSF
Purpose/importance	Monitor the contribution on the volume of home loans granted by financial institutions and DFIs in the affordable housing market
Source/collection of data	DFIs DFIs
Method of calculation	Quarterly Return Form
Data limitations	Quarterly Returns submitted 45 days after the end of each quarter
Type of indicator	Indicator measures according to output and compliance
Calculation type	Spreadsheet, tables, graphs and cumulative and non-cumulative
Reporting cycle	Quarterly
New indicator	No No
Desired performance	Monitor the performance of the volume of home loans granted by the DFIs
Indicator responsibility	Chief Director: CIO
Portfolio of Evidence	Quarterly performance assessments on the volume of home loans granted by DFIs in the affordable housing market

Indicator title	Number of assessments of employers' contribution towards Employer Assisted Housing conducted
Short definition	Collection of data from employers on Employer Assisted Housing to identify their participation in delivering sustainable affordable human settlements to their employees Analyse the performance of employer assisted housing delivered by both the private and public sector employers, where there is a MOU or not
Purpose/importance	The indicator ascertains the prevalence of EAH in the public sector, mines and other private sector
Source/collection of data	Data will be collected from different employers, human settlements entities, Department of Human settlements, Provinces and Municipalities, employers' organizations, Presidency, HDA and Department of Mineral and Energy
Method of calculation	Quantitatively and Qualitatively
Data limitations	Relying on data from employers, Employers' associations, HDA and Department of Mineral and Energy
Type of indicator	Output and efficiency
Calculation type	Not cumulative as we report delivery quarterly and yearly
Reporting cycle	Bi-annual reports
New indicator	Existing indicator
Desired performance	Monitoring and tracking of Employer Assisted Housing both in the public sector and in the private sector Acceptable and improving performance is good as there are no agreements with the stakeholders beside the voluntarily social contract
Indicator responsibility	Chief Financial Officer and Chief Director: CIO
Portfolio of Evidence	Bi-annual performance assessment reports of the Employer Assisted Housing both in the public sector and in the private sector

Indicator title	Finance and funding model to better target the realisation of Human Settlements outcomes revised
Short definition	Conduct literature review, analyse the current funding model and draft a discussion document Engage relevant Stakeholders and incorporate inputs received Draw up the terms of references Draft Finance and Funding model for approval
Purpose/importance	The current funding model is working however there is a need to improve on it with a view to increase the output in line with new and expanded mandate in the delivery of Human settlements With the constricting fiscus and increasing demand, new innovative ways of funding human settlements is essential
Source/collection of data	Data will be collected from different stakeholders and this includes National Treasury, Department of Human settlements, related units within the department, best international practices, Provinces and Municipalities
Method of calculation	Qualitative (non-financial) and quantitate (financial) targets will be developed
Data limitations	No limitations are foreseeable
Type of indicator	Indicator measures outputs and aligned to the MTSF
Calculation type	Non-cumulative
Reporting cycle	Bi-annually
New indicator	The indicator is not new but could not be achieved due to budgetary constraints
Desired performance	Approved Finance and funding model
Indicator responsibility	Chief Investment Officer
Evidence of Performance	Approved Human settlements finance and financing model

Indicator title	Annual performance analysis of financial institutions on their lending patterns on home loans conducted
Short definition	Analysis of annual performance of financial institutions and their lending patterns on home loans for period January 2019 to December 2019
Purpose/importance	Receive information on home loans from financial institutions annually Collate information received from financial institutions Analyses information received from financial institutions Produce an Annual Report on the performance of financial institutions and their lending patterns on home loans
Source/collection of data	Financial Institutions / Independent Audit Assurance reports
Method of calculation	Simple count of assessments conducted
Data limitations	Annual Return Form submitted without "raw data"
Type of indicator	Output
Calculation type	Non-cumulative
Reporting cycle	annual
New indicator	No
Desired performance	Annual Report on the performance of financial institutions on their lending patterns on home loans submitted to the Minister
Indicator responsibility	Chief Director: CIO
Portfolio of Evidence	Annual Report produced on the analysis of performance of financial institutions and their lending patterns on home loans

Indicator title	Number of performance assessments on the Office of Disclosure				
Short definition	Monitoring the lending patterns of the Financial Institutions, provision of secretariat functions for the Members of the Office and ensuring submission of quarterly reports by financial institutions				
Purpose/importance	To eliminate unfair lending patterns and practices by financial institutions				
Source/collection of data	Quarterly returns by financial institutions, minutes of meetings of the Office of Disclosure and engagements with other stakeholders				
Method of calculation	Simple count of assessments conducted				
Data limitations	Nil Returns				
Type of indicator	Indicator measures according to output and compliance				
Calculation type	Cumulative and non-cumulative				
Reporting cycle	Quarterly				
New indicator	Yes				
Desired performance	Quarterly Report on the performance of financial institutions on their lending patterns on home loans and provision of secretariat functions for the Members of the Office				
Indicator responsibility	Chief Director: CIO				
Portfolio of Evidence	Quarterly secretariat function report on the Office of Disclosure Minutes of the meetings				

ANNEXURE F: ANNEXURE TO 2015/2020 DEPARTMENTAL STRATEGIC PLAN

ANNEXURE F:

ANNEXURE TO 2015/2020 DEPARTMENTAL STRATEGIC PLAN

Annexure to the Strategic Plan 2015-2020

1. Background

This addendum serves to amend specific targets and indicators that were phrased differently in the Strategic Plan 2015-2020. The targets and indicators referred to have been amended based on a number of reasons that include reprioritization and rephrasing. There also new targets that were not in in the approved strategic plan. The purpose for these amendments is to ensure proper alignment of the strategic plan to the annual performance plan and the prevailing operational commitments.

2. Purpose of the addendum

This addendum modifies the revised Strategic Plan 2015-2020, therefore it should be read in conjunction with the revised strategic plan dated 13 March 2018 The amendment will therefore ensure alignment between the revised 2015-2020 Strategic Plan and the 2019-2020 Annual Performance Plan

NEW AND AMENDED STRATEGIC OBJECTIVES

No New Strategic Objectives were introduced in this addendum

2019 - 2020

NEW PERFORMANCE INDICATORS AND TARGETS

Programme/ Sub programme	Performance Indicator	Target	Implications
Programme 2 HS Strategy	2019-2024 MTSF Developed	2019-2024 MTSF developed	To set the Human Settlements Sector priorities
Programme 4 Chief Investment Officer	Number of Title Deeds Restoration Grant (TDRG) quarterly performance assessments conducted	4 TDRG quarterly performance assessments conducted	To allow the department to account for the Title deed Restoration Grant
	Finance and funding model to better target the realisation of Human Settlements outcomes revised	Finance and funding model to better target the realisation of Human Settlements outcomes revised by end of 2019/20	To revise the available finance and funding model

AMENDED PERFORMANCE INDICATORS & TARGETS

Programme & Sub-Programme	Old Performance Indicator	Revised Performance Indicator	Old Target 2018-19 APP	Amended Target	Implication	
Programme 1						
Legal Services	Human Settlement Legislation developed	Number of reports on the development of on the development of legislative framework as per approved Legislative Programme	Regulations for Human Settlements developed	4 reports on the development of legislative framework as per approved Legislative Programme	Performance Indicator & Target re-phrased	
IMS and ITS	Percentage availability of the HSS Database Systems to Provinces	Percentage availability of the HSS Database Systems	90% availability of the HSS Database Systems to Provinces	90% availability of the HSS Database Systems	Performance Indicator & Target re-phrased	
HS Ombudsman	Number of disputes resolved within the specific time frame	Percentage of lodged disputes investigated within 90 days	100% of lodged disputes investigated	100% of lodged disputes investigated within 90 days	Performance Indicator & Target re-phrased to be time-bound	
Programme 2						
HS Planning	National Human Settlements development plan developed	Approved National Human Settlements Development Plan (HSDG funded)	Approved National Human Settlements Development Plan (HSDG funded)	2020/21National Human Settlements Development Plan developed (HSDG funded) by 31st March 2020	Performance Indicator & Target re-phrased to be specific and time-bound	
			Urban Settlement Development plan for Metropolitan municipalities developed (USDG funded	2020/21 Urban Settlement Development plan for Metropolitan municipalities developed (USDG funded) by 31st March	Performance Indicator & Target re-phrased	

Programme & Sub-Programme	Old Performance Indicator	Revised Performance Indicator	Old Target 2018-19 APP	Amended Target	Implication
Stakeholder and IGR	Number of intergovernmental fora coordinated in line with human settlements priorities	Number of intergovernmental fora coordinated for human settlements development	32 intergovernmental fora coordinated in line with human settlements priorities	32 intergovernmental forums coordinated for human settlements development	Performance Indicator & Target re-phrased
	Number of initiatives on international cooperative aligned to human settlements priorities serviced	Number of international cooperation initiatives aligned to human settlements priorities supported	6 initiatives on international cooperative aligned to human settlements priorities serviced	6 international cooperation initiatives aligned to human settlements priorities supported	Performance Indicator & Target re-phrased
	Number of Partnerships mobilised towards Human Settlements Development	Number of stakeholder Partnerships mobilised towards Human Settlements Development	14 Partnerships mobilised towards Human Settlements Development	14 stakeholder partnerships mobilised towards Human Settlements Development	Performance Indicator & Target re-phrased to be specific
Operational Frameworks	Policy frameworks for human settlements developed	Macro Policy frameworks for human settlements developed	Policy frameworks for human settlements developed	Macro Policy frameworks for human settlements developed	Performance Indicator & Target re-phrased to be specific
	Human Settlements Code revised (2018-19) APP	Human Settlements Code Developed	Human Settlements Code Developed	Programmes for the Human Settlements code developed	Performance Indicator & Target re-phrased to be specific
PROGRAMME 3					
Programme and Project Planning	Support provided on the implementation of catalytic programme	Programme implementation support provided to a number of government-led catalytic projects	Provide support on the implementation of catalytic programme	Programme implementation support provided to 50 government-led catalytic projects	Performance Indicator & Target re-phrased to be specific
	Support provided on the implementation of the Revitalisation of Distressed Mining Communities Programme	Programme implementation support for the revitalization of distressed mining communities in a number of local Municipalities provided	Provide implementation support to Revitalisation of Distressed Mining Communities Programme	Programme implementation support for the revitalization of distressed mining communities in 23 local Municipalities provided	Performance Indicator & Target re-phrased to be specific

Programme & Sub-Programme	Old Performance Indicator	Revised Performance Indicator	Old Target 2018-19 APP	Amended Target	Implication
Programme Implementation Facilitation	Number of provinces and metros supported in implementing the informal settlements upgrading programme	Provinces and metros supported for upgrading a number of households in informal settlements	9 provinces and 8 metros supported in implementing the informal settlements upgrading programme	Support provided to provinces and metros for the upgrading of 68 739 households in informal settlements	Performance Indicator & Target re-phrased to be specific
	Number of provinces supported in implementing the housing subsidy programme	Provinces and municipalities supported to provide a number of individual units for subsidy housing market	9 Provinces supported in implementing the housing subsidy programme	Provinces and municipalities supported to provide 74 450 individual units for subsidy housing market	Performance Indicator & Target re-phrased to be specific
	Support provided on the implementation of private affordable rental housing	Support provided to deliver a number of private affordable rental housing units	Provide support on the implementation of private affordable rental housing	Support provided on the delivery of 4000 private affordable rental housing units	Performance Indicator & Target re-phrased to be specific
	Support provided on the implementation of Social Housing Programme	Support provided on the implementation of Social Housing Programme to deliver a number of units	Provide support on the implementation of Social Housing Programme	Support provided on the implementation of Social Housing Programme to deliver 3000 units	Performance Indicator & Target re-phrased to be specific
	Support provided in the delivery of Community Residential Units	Support provided on the implementation of Community Residential Unit Programme to deliver a number of units	Provide support in the delivery of Community Residential Units	Support provided on the implementation of Community Residential Unit Programme to deliver 400 units	Performance Indicator & Target re-phrased to be specific
	Number of provinces supported in the implementation of Title Deeds restoration programme (Pre and Post 1994)	Support provided to Provincial Departments to register a number of pre- and post-1994 title deeds	9 provinces supported in the implementation of Title Deeds restoration programme (Pre and Post 1994)	Support provided to Provincial Departments to register 50 000 of pre- and post-1994 title deeds	Performance Indicator & Target re-phrased to be specific
	Number of provinces supported in the implementation of Title Deeds restoration programme (from April 2014)	Provinces supported in the implementation of Title Deeds programme (from April 2014) to deliver a number of Title Deeds	9 provinces supported in the implementation of Title Deeds restoration programme (from April 2014)	Provinces supported in the implementation of Title Deeds programme (from April 2014) to deliver 46000 Title Deeds	Performance Indicator & Target re-phrased to be specific
Human Settlements Planning	Multiyear land assembly plan for human settlements development compiled	Number of Provinces supported to deliver multiyear land assembly targets	Multiyear land assembly plan for human settlement development plan compiled	9 Provinces supported to deliver the multiyear land assembly targets	Performance Indicator & Target re-phrased to be specific
Programme 4					
Chief Investment Officer	Number of assessments of employers' contribution towards Employer Assisted Housing	Number of assessments of employers' contribution towards Employer Assisted Housing conducted	Bi-annual assessments of employers' contribution towards Employer Assisted Housing	Bi-annual assessments of employers' contribution towards Employer Assisted Housing conducted	Performance Indicator & Target re-phrased to be specific

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