

human settlements

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REPUBLIC OF SOUTH AFRICA

ANNUAL PERFORMANCE
PLAN 2016-2017

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Foreword

by the Minister



FOREWORD BY THE MINISTER



L N SISULU, MP
MINISTER FOR HUMAN
SETTLEMENTS

The current term marks a significant moment in our commitment to accelerate the provision of integrated and sustainable human settlements.

While we note the remarkable progress made over the past years, we are also conscious of the challenges and the limitations we have to contend with in achieving our goal of service delivery in human settlements context. The 2015 - 2020 Strategic Plan of the department of Human Settlements responds to housing challenges in a different way and fundamentally informs a new paradigm in the provision of integrated and sustainable human settlements. We are therefore committed to the National Development Plan's 2030 vision of transforming human settlements and the spatial economy to create more functionally integrated, balanced and vibrant urban settlements. The 2016/17 Annual Performance Plan articulates our acknowledgment that we cannot discharge our responsibilities towards the citizens of South Africa in the same manner as we have done previously.

As such, strategies and programmes articulated in this plan aim to reinforce the goal to create social and economic livelihoods through decent housing that affords citizens to build family and community life in a holistic and inclusive sense. Evidence suggests that there are widespread conditions of insecure tenure in our towns and cities impacting on the urban poor. We will turn this around because when the urban poor have access to secure

tenure, they will invest in the improvement of their homes and neighbourhoods. Further, the Department is still committed to eradicate informal settlements, formalize hostels through the provision of basic infrastructure for our people. The main objective is to ensure that we provide quality and sustainable housing for our citizens.

To ensure that human settlements are a major driver in developing South African towns and cities, the following key programmes will be implemented:

- Upgrading of informal settlements
- Social and Rental Housing
- Mixed use human settlement projects, designed to have a catalytic effect on spatial, social and economic integration
- Fully subsidised housing units
- Finance Linked Subsidy
- Revitalisation of inner cities and other programmes that will ensure that our citizens have access to adequate housing.

We continue to take lead in the creation of sustainable human settlements and we mobilise the participation of other stakeholders in order to make significant and measurable changes. As we strive to ensure that all South Africans are housed in quality living environments, we commit to implement programmes that will ensure the security of tenure and improved functioning of the residential property market. I am pleased to table an Annual Performance Plan for

2016/2017 to Parliament and the people of the Republic of South Africa, in accordance to the relevant legislation and National Treasury Rules.

A handwritten signature in black ink, appearing to read 'L N Sisulu'.

L N SISULU, MP
MINISTER FOR HUMAN SETTLEMENTS
DATE:

OFFICIAL SIGN-OFF

It is hereby certified that the departmental Annual Performance Plan 2016 / 2017 and for the outer years (2018 / 2019):

- Was reviewed by the Management of the Department for Human Settlements under the guidance of the Honourable Minister L N Sisulu.
- Takes into account all the relevant policies, legislation, the medium term strategic framework as well Treasury Regulation 6.2.1 and other mandates for which the Department of Human Settlements is responsible for.
- Accurately reflect the strategic outcome oriented goals and performance targets which the Department of Human Settlements will endeavour to achieve given the resources made available in the budget for the period of 2016/19.

FUNANI MAHLATSI

Signature:  Chief Financial Officer

NEVILLE CHAINEE

Signature:  Deputy Director-General: Strategy and Planning

MBULELO TSHANGANA

Signature:  Accounting Officer

Approved by:

L N SISULU, MP

MINISTER FOR HUMAN SETTLEMENTS

Signature: 



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ACCRONYMS AND ABBREVIATIONS

BEPP:	Built Environment Performance Plan
BNG:	Breaking New Grounds
CSOS:	Community Schemes Ombud Service
EAAB:	Estate Agency Affairs Board
ECT:	Electronic Communications and Transactions
GCIS:	Government Communication Information Systems
HAD:	Housing Development Agency
HSDG:	Human Settlement Development Grant
ICT:	Information and Communication Technology
IGR:	Inter Governmental Relations
IMF:	International Monetary Fund
MTSF:	Medium Term Strategic Framework
NDP:	National Development Plan
NDoh:	National Department of Human Settlements
NHFC:	National Housing Finance Corporation
NHBRC:	National Home Builders Registration Council (NHBRC)
NURCHA:	National Urban Reconstruction and Housing Agency
PFMA:	Public Finance Management Act
PIE:	Prevention of Illegal Eviction
RHLF:	Rural Housing Loan Fund (RHLF)
RZ:	Restructuring Zones
SHF:	Social Housing Finance
SHIs:	Social Housing Institutions
SHRA:	Social Housing Regulatory Authority
SIPs:	Strategic Integrated Projects
SPLUMA:	Spatial Planning and Land Use Management Act
Stats SA:	Statistics South Africa
PICC:	Presidential Infrastructure Coordinating Commission
USDG:	Urban Settlements Development Grant





PART A: STRATEGIC OVERVIEW

1. Vision

A nation housed in sustainable Human Settlements.

2. Mission

To facilitate the creation of Sustainable Human Settlements and Improved Quality of Household Life.

3. Values

The core values guiding and regulating the department are based on the Constitution of the Republic of South Africa and supporting legislation and includes:

- Accountability
- Fairness and Equity

- Choice, Quality and Affordability
- Sustainability
- Innovation
- Batho Pele Principles

To achieve the vision, the department is implementing the 2014-2019 Medium Term Strategic Framework with the following Sub-Outcomes.

- Providing Adequate housing and improved quality living environments
- Transforming the residential property market
- Enhancing institutional capabilities for effective coordination of spatial investment decisions

The strategic goals of the department have since been revised and are responsive to the long term goals and objectives of the Human Settlements Strategic Agenda. The revised Strategic goals and Objectives of the Department are as follows:

DEPARTMENTAL PROGRAMMES IN LINE WITH THE BUDGET STRUCTURE

	Programme	Purpose	Strategic Goal (High level impact)	Strategic Objectives (High Level Output)
1.	Administration	Provide strategic leadership and administrative support services to the department	Enhanced efficiency and effectiveness of the department	<ul style="list-style-type: none"> • Promote efficient and effective administrative processes • A capacitated and diverse workforce in a conducive working environment • Effective and efficient legal support • Promote internal and external communication on human settlements • The ICT infrastructure is reliable, secure and available
2.	Policy, Strategy and Planning	Manage the development of, and compliance with, human settlements sector delivery and intergovernmental relations frameworks, and oversee integrated human settlements strategic and planning services.	Integrated and responsive human settlements sector planning and policy environment	<ul style="list-style-type: none"> • Development of human settlements policies and programmes for improved alignment • Establishment and strengthening of partnerships for human settlements development

	Programme	Purpose	Strategic Goal (High level impact)	Strategic Objectives (High Level Output)
3.	Programme Monitoring and Delivery Support	<ul style="list-style-type: none"> The purpose of this programme is to support the execution, and monitor and evaluate the implementation, of human settlements programmes and projects. Manage the building of capacity and skills in the sector and provide oversight of public entities. 	Increased delivery of adequate housing in quality living environments	<ul style="list-style-type: none"> Provide implementation support on the delivery of adequate housing
4.	Housing Development Finance	Fund the delivery of housing and human settlements programmes, and manage all matters related to improving access to housing finance and developing partnerships with the financial sector.		<ul style="list-style-type: none"> Enhanced sector monitoring and evaluation Improved support and capacity for the human settlements sector
				Efficient and effective utilization of human settlements grants and monitoring lending patterns by financial institution



PART A: STRATEGIC OVERVIEW

1. Updated Situational Analysis

South Africa reached a tipping point in the early 1990's when just over 50 percent of the total population resided in urban areas. Throughout, there has been a steady pace towards greater urbanization and today 63 percent of South Africans live in urban areas, (20 Year Review: 1994-2014). Even though government provided just about 2, 8 million housing opportunities through subsidy assistance to poor households, inadequate housing remains as one of the main problems facing the country. This is exacerbated by the relentless trends towards urbanisation that in most cases lead to the proliferation of informal settlements, social exclusion and the inability of municipalities to provide basic infrastructure to the urban poor households. The poor still cannot access well-located urban land and the urban management trends continue to reinforce fragmentation and marginalization driven mostly by migration, poor land use management and lack of income.

The main challenge that the country faces is still the spatial exclusion of low-income families from the main socio-economic facilities of cities and regions. Locations close to employment areas, opportunities and services are not accessible to all people because of issues such as high land costs, limited availability of space, limits to planning and financial instruments, and lack of infrastructure in appropriate places.

The 2013 General Household Survey shows that 13.6 per cent of all dwellings are informal. This is lower than the 15.7 per cent of 2005; but at the current rate of delivery, the eradication of informal settlements, originally anticipated for 2014, is likely to be achieved by 2030. Causes of this include the rise in property prices between 2000 and 2007, which made housing unaffordable for many low-income families; and supply-side constraints such as access to suitable land, finance and basic services infrastructure. Government's comprehensive plan for the development of sustainable human settlements has shifted the state's focus from delivering commoditised housing to supporting the entire property market and ensuring that housing is delivered in sustainable and habitable settlements. Underpinned by policy that responds to demand and to the needs of poor households,

sustainable human settlements can be achieved through integrated planning and good governance that supports optimal land use and stimulates private investment.

The development trends in line with the NDP proposals are directed towards achieving integrated settlements where quality environments within settlements at all scales is increasingly recognised as an essential dimension of sustainable development. The adopted approach is also ensuring that citizens have access to opportunities and services which are a keystone to building a strong economy and facilitating equitable access to opportunities and services in a financially sustainable manner, this includes facilitation of an integrated and multi-modal transport system, which is the key element for sustainable settlements.

Given the state's constraints and the recent budget cuts on the Human Settlements Grants, delivering at scale will be a challenge for the state; as a result, the department will be strengthening the existing partnerships with private sector. During the 2016/2017 financial year the department will focus on the following:

- a) Scaling up the informal settlement-upgrading programme
- b) Accelerating housing for Military Veterans
- c) Transferring the title deeds and eradicating the backlog
- d) Revision of the Finance Linked Individual Subsidy Programme
- e) Strengthening partnerships with public and private sector to improve delivery.

1.1 Performance Delivery Environment

Directing development to priority precincts and achieving spatial transformation is at the core of the departmental priorities and has resulted in the development of the Master Spatial Plan for Human Settlements (MSP) and also on the revision of the guidelines, norms and standards for human settlements. The Master Spatial Plan for Human Settlement will thus serve as a basis for co-ordinating, integrating and aligning 'on the ground' delivery of national, provincial and local government programmes. In doing so, it will guide the

location and form of public investment across the country's urban and rural areas. Based on the master spatial plan and its principles, the department will align its spending patterns and programmes to the MSP and therefore a number of catalytic have been identified for implementation over the medium to long term.

With the recent budget cuts and high increase of informal settlements, the department will strive to provide basic services to informal settlements while working with provinces and municipalities on the upgrading of the informal settlements. Though the country is still confronted by unequal and often inadequate access to resources, infrastructure and social services, provision of the basic services and access to adequate housing is still at the core of the Constitutional mandate and also on the Bill of rights.

The characteristics of the dwellings in which households live and their access to various services and facilities provide an important indication of the well-being of household members and the need to take steps by the state. It is widely recognised that shelter satisfies a basic human need for physical security and comfort. According to the 2014: General Household Survey, the percentage of households that fully owned the dwellings they inhabited increased slightly from 52,9% in 2002 to 61,4% in 2008, before declining to 55,3% in 2014. This increase was accompanied by a decrease of about five percentage points for households that partially owned their houses, and a slight increase in the percentage of households that rented accommodation. Households that maintained 'other' tenure arrangements increased from 11, 7% in 2002 to 12, 4% in 2014.

In 2014, more than three-quarters (79, 4%) of South African households lived in formal dwellings, followed by 12, 9% who lived in informal dwellings, and 6, 8% in traditional dwellings. The highest concentration of households in Limpopo (93, 6%) lived in formal dwellings, followed by the households in Mpumalanga (88, 1%). Although the highest concentrations of informal dwellings were found in North West (21%) and Gauteng (19, 2%), it is worth noting though that the majority of households in these two provinces lived in formal dwellings: with 78, 9% of Gauteng households living in formal dwellings and 78%

of North West living in formal households. More than one-fourth of households (27, 7%) in Eastern Cape resided in traditional dwellings compared to 17% of households in KwaZulu-Natal.

The department's delivery environment is in the main influenced by the increase in urbanisation resulting in the increase demand for housing. Even though South Africa has progressively accommodated an additional 4.1 million households in formal dwellings, an upward growth from 74.4% in 2003 to 79.4% in 2014, demand for housing continue to exist (Development Indicators Presidency: 2014).

Households are also experiencing challenges in spending patterns and recurring low savings. The low savings combined with consumers' credit-risk profiles contribute to the inability to access credit particularly for mortgages. This offers evidence of vulnerability of low income earners to access credit particularly mortgage loans which is the main form of funding for housing development. With the increase of the repo rate by 0.25 basis points in November 2015, the prime interest rate by commercial banks increased to 9.75% (SA Reserve Bank, November 2015). The increase in interest rate has had a severe impact on disposable income for individuals with mortgages and other forms of credit exposure. The report by International Monetary Fund (IMF) indicates that in 2014, the South African economy was estimated to have grown by just 1.4%, after expanding by 1.9% in 2013, 2.5% in 2012, 3.6% in 2011, and 3.1% in 2010. Even though South Africa has had the second biggest economy in the continent over the past ten years, the country's GDP growth is still lagging behind. This has resulted to increasing dependency from government as 68,8% households in rural areas and 30,9% of residents in urban areas are living in poverty. Only 30% of South Africans are able to afford a house of more than R500 000 as house prices, inflation, and income have affected the affordability levels. Low-income earners have found it increasingly difficult to enter the property market because of stringent lending regulations and declining levels of disposable income arising from increasing interest rates.

Supply constraints continue to bedevil both the subsidy and affordable housing market

segments. The current offering is neither sustainable (fiscal affordability), nor has the required critical mass been achieved, with the result that housing backlogs within both the subsidy and affordable market segments have almost doubled since 1994 and the number of informal settlements have increased. The Department's approach to housing arises from two perspectives. On the one hand, the department seeks to address the housing crisis directly through the scale delivery of subsidised housing for low income households. On the other hand, government seeks to create an environment conducive for the operations of the subsidised housing market within the larger non-the economy. With the resources at the disposal of government and of the Department, dealing with housing demand could take longer.

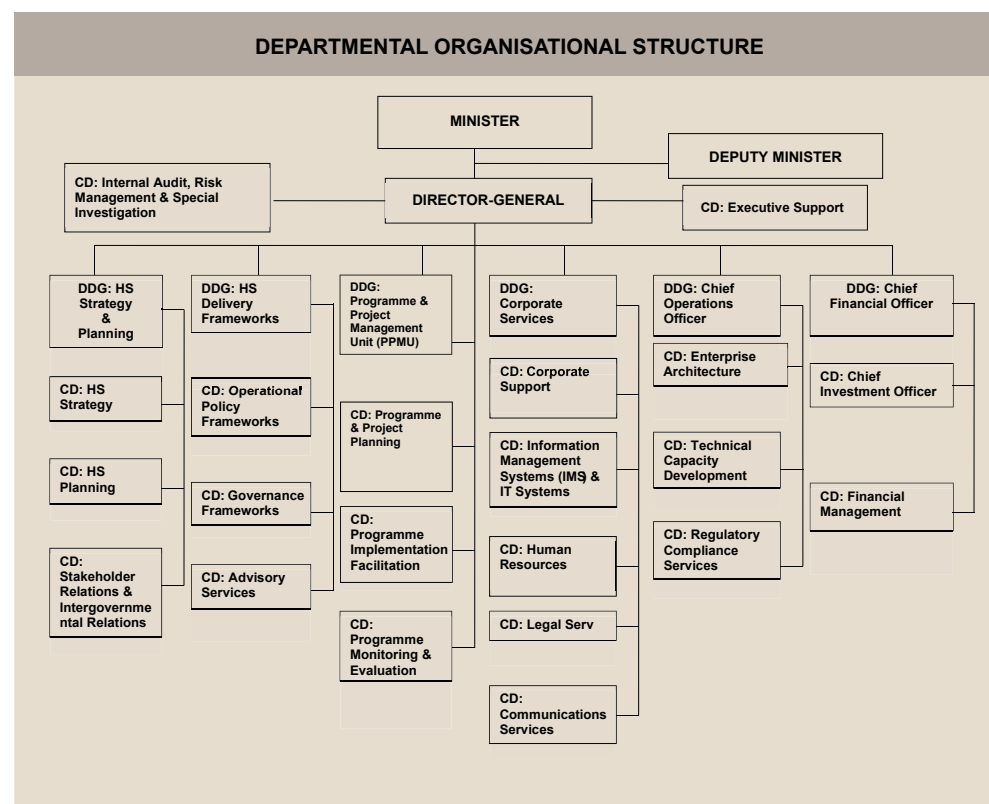
The table below sets out housing delivery through the national housing programme since 2009

Year	Serviced Sites Completed	Houses/Units Completed	Total Housing Opportunities
2009/10	64,362	161,854	226,216
2010/11	63,546	121,879	185,425
2011/12	58,587	120,610	179,197
2012/13	45,698	115,079	160,777
2013/14	48,193	105,936	154,129
TOTAL	280,386	625,358	905,744

1.2 Organisational Environment

The Department provides leadership in the fulfilment of the Constitutional Mandate of providing access to adequate housing to all. The Department has revised its strategic goals and objectives and aligned them to the long term goal of achieving the sustainable human settlements. The strategic goals and objectives have the five year targets.

To accelerate delivery and to address capacity related challenges, the department is currently reviewing its organisational structure. The review of the structure has been informed by the departmental strategy of ensuring that good corporate governance is achieved, responsive policies and programmes are developed and there is increased support for better delivery. The realignment of the structure has also been informed by the identified need to transform the residential property market and strengthening the monitoring systems. Even though stringent measures have been applied in the allocation of compensation budget, the department will base its realignment of the structure with the available resources.



2. REVISION OF LEGISLATIVE AND OTHER MANDATES

The mandate and core business of the Department of Human Settlements is underpinned by the Constitution and all other relevant legislation and policies applicable to the department. In addressing the mandate for integrated sustainable human settlements, the review of policies particularly the development of the White Paper for Human Settlements and the revision of the Housing Act to Human Settlements Act will enhance the departments 'efforts in the provision of adequate housing by:

- Providing a framework for the realisation of sustainable human settlements and improved quality of household life.
- Providing a foundation for the establishment of a viable, socially and economically integrated communities that are located in areas allowing convenient access to economic opportunities as well as health, educational and social amenities

1.2 Legislative Mandate

The mandate of the Department (DoHS) is set out in the Housing Act. Section 2 of the Housing Act compels all three spheres of government to give priority to the needs of the poor in respect of housing development. In addition, all three spheres of government must ensure that housing development:

- a) Provides as wide a choice of housing and tenure options as is reasonably possible;
- b) Is economically, fiscally, socially and financially affordable and sustainable;
- c) Is based on integrated development planning; and
- d) Is administered in a transparent, accountable and equitable manner, and upholds the practice of good governance. Section 2(1) (c)

The legislative mandate of the Department remains the same except for the inclusion of the following:

- Estate Agency Affairs Act, 1976

Following a Presidential Proclamation, the Department took over the oversight function of

the Estate Agency Affairs Act, 1976 from the Department of Trade and Industry during May 2012. The objectives of the Act are to:

- Establish the Estate Agency Affairs Board (EAAB) to regulate the conduct of estate agents;
- Establish the Estate Agents Fidelity Fund;
- Monitor trends within the real estate industry; and
- Render education and training to estate agents and consumers

2.2 Policy Mandates

Other specific constitutional, legislative and policy mandates of the Department including government policy frameworks are:

- Constitution of the Republic of South Africa
- Housing Act, 1997 (Act No 107 of 1997)
- Comprehensive Plan for the Creation of Sustainable Human Settlements (BNG)
- The Housing Consumer Protection Measures Act, 1998 (Act No 19 of 1998)
- The Housing Development Agency Act, 2008 (Act No 23 of 2008)
- Public Finance Management Act, 1999 (Act No 1 of 1999-as amended by Act No 29)
- The Social Housing Act, 2008 (Act No 16 of 2008)
- The Division of Revenue Act, 2011 (Act No 6 of 2011)
- The Rental Housing Act, 1999 (Act No 50 of 1999)
- Home Loan and Mortgage Disclosure Act, 2000 (Act No 63 of 2000)
- Inclusionary Housing Bill
- Sectional Titles Schemes Management Act (Act 8 of 2011)
- Community Schemes Ombud Service Act (Act 9 of 2011)
- Intergovernmental Relations Framework (Act No 13 of 2005)
- National Development Plan (NDP)
- Spatial Planning and Land Use Management Act, 2013 (Act No 16 of 2013)

2.3 Relevant Court Rulings

There are court rulings affecting the operations of the human settlements sector, though

they are not impacting directly to the national department but they affect delivery and the achievement of targets. These cases are but not limited to the following;

- Government of the republic of South Africa v Irene Grootboom & Others: CCT 11/00. (Right of access to adequate housing)
- Thubelitsha Homes, Minister of Housing and Minister of Local Government & Housing, Western Cape v Various Occupants.
- HLA 8/3/2/109 - 2014 CASE NO. 2011/19 The EMM had brought a joinder application in the Constitutional Court seeking to join the Department in the proceedings instituted by Bapsfontein Community
- The City of Cape Town and Firstrand Bank Limited: CCT 22/08 (eviction of 20, 000 residents of Joe Slovo informal settlement) Bio-watch Trust v registrar Genetic resources & others: CCT 80/08 (Promotion of access to information Act) Dingaani Hendrik nyathi v MeC for the Department of Health, Gauteng, and Minister of Justice & Constitutional Development: CCT 19/07.

2.4 Planned policy initiatives over the long term:

- Development of the White Paper on Human Settlements
- The review of the Housing Act into Human Settlements legislation
- The review of the Housing Code into Human Settlements Code

3. OVERVIEW OF THE 2016/17 BUDGET & MTEF ESTIMATES

3.1 Expenditure Estimates

Overview of 2015/16 Budget and Expenditure Estimates (MTEF)

Sub-Programme R'000	Audited outcome			Adjusted Appropriation	Indicative allocation		
	2012/13	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19
Administration	285678	280 399	433 080	420 616	442 274	469 920	467 322
Human Settlements Policy, Strategy and Planning	63200	73 327	78 703	73 538	83 063	88 298	86 951
Human Settlements Delivery Support	128486	98 745	133 712	185 600	224 582	208 339	199 921
Housing Development Finance	23719519	26 990 843	28 712 737	29 863 627	29 940 937	33 799 769	35 865 833
Total	24 196 883	27 443 314	29 358 232	30 543 381	30 690 856	34 566 326	36 620 027

Sub-Programme R'000	Audited outcome			Adjusted Appropriation	Indicative allocation		
	2012/13	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19
Current payments	473 297	454 542	605 798	605 798	758 924	776 371	763 034
Compensation of employees	222 882	240 226	290 856	290 856	383 491	387 519	381 217
Goods and services	250 415	214 280	314 918	314 918	375 433	388 852	381 817
Interest and rent on land	-	36	24	4	-	-	-
Transfers and subsidies	23 566 909	26 879 746	28 507 685	29 692 705	29 826 466	33 634 215	35 692 263
Provinces and municipalities	22 787 238	26 105 232	27 669 053	28 957 020	29 123 459	32 532 590	34 333 980
Departmental agencies and accounts	760 833	764 648	798 100	724 507	692 395	1 090 485	1 346 496
Higher education institutions	3 090	3 949	4 499	-	-	-	-
Foreign governments and international organisations	1 279	1 075	1 269	1 150	1 211	1 272	1 346
Public corporations and private enterprises			4 000		-	-	-
Non-profit institutions	400	-			-	-	-
Households	14 069	4 842	30 764	10 028	9 401	9 868	10 441
Payments for capital assets	4 616	8 870	14 717	14 826	5 466	5 740	6 030
Buildings and other fixed structures	-	-			-	-	-
Machinery and equipment	4 586	8 173	13 310	14 526	5 197	5 458	5 732
Software and other intangible assets	30	697	1 407	55	269	282	298
Payments for financial assets	152 061	100 155	230 123	161 094	100 000	150 000	158 700
Total	24 196 883	27 443 314	29 358 232	30 543 381	30 690 856	34 566 326	36 620 027

3.2 Relating expenditure trends to strategic outcome oriented goals

Over the MTEF period, the Department of Human Settlements will take progressive steps towards achieving the national development plan's vision of transforming human settlements and the spatial economy to create more functionally integrated, balanced and vibrant urban settlements. Even though there has been a budget cut that could impact negatively in the realization of the 2014-2019 medium term strategic framework's objectives, the department will upscale delivery through the use of alternative technologies where possible, partner with private sector and support provinces and municipalities in packaging projects. While the department transfers grants to municipalities and provinces, the department is also ensuring that there is capacity in place to monitor grants performance.

The Consolidation of the DFI's is expected to yield positive results in the realisation of the strategic objectives and the strategic outcome oriented goals. The department's goal is to deliver 1.495 million housing opportunities by 2019 as set out in the 2014-2019 Medium Term Strategic Framework. Over the medium term, the department will work towards the 2019 target largely by developing mixed use human settlement projects, designed to have a catalytic effect on spatial, social and economic integration. The housing opportunities are implemented by provinces, metropolitan municipalities and public entities.

These implementing agents are funded by contributions from the department in the form of transfers. The transfers are in the Housing Development Finance programme, and will increase at an average annual rate of 6.3 per cent to R35.9 billion by 2018/19. Significant private investment is expected for catalytic projects in the form of rental and affordable housing, and economic infrastructure, such as shopping centres and other commercial developments.





PART B: PROGRAMME AND SUBPROGRAMME PLANS

PROGRAMME 1: ADMINISTRATION

Programme Purpose: Provide strategic leadership, management and support services to the department.

4.1 Strategic objective annual targets for 2016/17

and

4.2 Programme performance indicators and annual targets for 2016/17

Sub-Programmes: Executive Support, Internal Audit, Risk Management and Special Investigations, Financial Management and Enterprise Architecture

Strategic Objective (High level output)	Performance Indicators	Strategic Plan Target (5 year target)	Audited/Actual Performance			Estimated Performance	Medium Term Target		
			2012/13 Baseline	2013/14 Baseline	2014/15 Baseline	2015/16 (Target)	2016/17 Target	2017/18 Target	2018/19 Target
To Promote efficient and effective administrative processes	Percentage compliance with statutory tabling and prescripts	100 % compliance with statutory tabling and prescripts	New Target	New Target	New Target	90% compliance with statutory tabling and prescripts	100 % compliance with statutory tabling and prescripts	100 % compliance with statutory tabling and prescripts	100 % compliance with statutory tabling and prescripts
	Unqualified audit report	Unqualified audit report	New Target	New Target	New Target	New Target	Unqualified audit report 2015/16	Unqualified audit report	Unqualified audit report
	Percentage adherence to Cabinet and Cluster schedule as per approved protocol	100 % adherence to Cabinet and Cluster schedule as per approved protocol	New Target	New Target	New Target	New Target	100% adherence to Cabinet and Cluster schedule as per approved protocol	100% adherence to Cabinet and Cluster schedule as per approved protocol	100% adherence to Cabinet and Cluster schedule as per approved protocol
	Percentage implementation of the approved audit plans	100% implementation of the approved audit plans	Approved Internal audit plan	Approved Internal audit plan	Approved Internal audit plan	100% implementation of the approved internal audit plan	100% implementation of the approved internal audit plan	100% implementation of the approved internal audit plan	100% implementation of the approved internal audit plan
	Percentage Implementation of the approved risk management plan	100% implementation of the approved risk management plan	Risk Management plan, approved and implemented	Risk Management plan, approved and implemented	Risk Management plan, approved and implemented	100% implementation of the approved risk management plan	100% implementation of the approved risk management plan	100% implementation of the approved risk management plan	100% implementation of the approved risk management plan

Strategic Objective (High level output)	Performance Indicators	Strategic Plan Target (5 year target)	Audited/Actual Performance			Estimated Performance 2015/16 (Target)	Medium Term Target		
			2012/13 Baseline	2013/14 Baseline	2014/15 Baseline		2016/17 Target	2017/18 Target	2018/19 Target
	Percentage implementation of approved Departmental anti-fraud and corruption	100% implementation of the approved Departmental anti-fraud and corruption	Anti-Fraud and Corruption Strategy not approved	Approved and Implemented Anti-Fraud and Corruption Strategy	Approved Departmental Anti-Fraud and corruption plan implemented	100% implementation of the approved Departmental anti-fraud and corruption	100% implementation of approved Departmental anti-fraud and corruption	100% implementation of approved Departmental anti-fraud and corruption	100% implementation of approved Departmental anti-fraud and corruption

Sub-Programme: Corporate support

Strategic Objective (High level output)	Performance Indicators	Strategic Plan Target (5 year target)	Audited/Actual Performance			Estimated Performance 2015/16 (Target)	Medium Term Target		
			2012/13 Baseline	2013/14 Baseline	2014/15 Baseline		2016/17 Target	2017/18 Target	2018/19 Target
To Promote efficient and effective administrative processes	% of PAIA information requests processed	100% of PAIA information requests processed	New Target	New Target	New Target	New Target	100% PAIA information requests processed	100% PAIA information requests processed	PAIA information requests processed

Sub-Programme: Human Resources

Strategic Objective (High level output)	Performance Indicators	Strategic Plan Target (5 year target)	Audited/Actual Performance			Estimated Performance 2015/16 (Target)	Medium Term Target		
			2012/13 Baseline	2013/14 Baseline	2014/15 Baseline		2016/17 Target	2017/18 Target	2018/19 Target
A capacitated and diverse workforce in a conducive working environment	Percentage compliance to HR Statutory Requirements	100% compliance to HR Statutory Requirements	4 Reports on coordinated Human Resource services	4 Reports on coordinated Human Resource admin services submitted	4 Reports on Human Resource admin submitted	Draft Human Resource Plan	100% compliance to HR Statutory Requirements	100% compliance to HR Statutory Requirements	100% compliance to HR Statutory Requirements
	Percentage implementation of HR Plan	100% Percentage implementation of HR Plan	New Target	New Target	New Target	New Target	100% Implementation of the HR Plan	100% Implementation of the HR Plan	100% Implementation of the HR Plan

Sub-Programme: Legal Services

Strategic Objective (High level output)	Performance Indicators	Strategic Plan Target (5 year target)	Audited/Actual Performance			Estimated Performance 2015/16 (Target)	Medium Term Target		
			2012/13 Baseline	2013/14 Baseline	2014/15 Baseline		2016/17 Target	2017/18 Target	2018/19 Target
Effective and efficient legal support	Percentage of litigation matters received and attended to	100% of litigation matters received and attended to	4 Reports on litigation and legal advisory provided	4 Reports on litigation and legal advisory provided	4 Reports on litigation and legal advisory provided	4 Reports on litigation and legal advisory provided	100 % of litigation matters received and attended to	100 % of litigation matters received and attended to	100 % of litigation matters received and attended to
	Percentage of contracts processed within reasonable time frame	100% of contracts processed within reasonable time frame	New Target	New Target	New Target	New Target	100% of contracts processed within reasonable time frame	100% of contracts processed within reasonable time frame	100% of contracts processed within reasonable time frame
	Number of reports on the development of legislative framework as per approved Legislative programme	Human Settlements legislative framework developed	Developed legislative Framework	4 reports on legislative frameworks developed	4 reports on legislative frameworks developed	4 reports on development of legislative framework as per approved legislative programme	4 reports on the development of legislative framework as per approved Legislative Programme	4 reports on the development of legislative framework as per approved Legislative Programme	4 reports on developed legislative framework as per approved Legislative Programme

Sub-Programme: IMS and IT

Strategic Objective (High level output)	Performance Indicators	Strategic Plan Target (5 year target)	Audited/Actual Performance			Estimated Performance 2015/16 (Target)	Medium Term Target		
			2012/13 Baseline	2013/14 Baseline	2014/15 Baseline		2016/17 Target	2017/18 Target	2018/19 Target
The ICT infrastructure is reliable, secure and available	Percentage availability of the HSS Database Systems to provinces	90% availability of the HSS Database Systems to provinces - MIS	New	New	New	New Target	90 percent availability of HSS Database Systems to provinces	90 percent availability of HSS Database Systems to provinces	90 percent availability of HSS Database Systems to provinces
	Percentage availability of IT Services	90% IT Services availability for DHS - ITIS	New	New	New	New Target	90% availability of IT Services	90% availability of IT Services	90% availability of IT

Sub-Programme: Communications

Strategic Objective (High level output)	Performance Indicators	Strategic Plan Target (5 year target)	Audited/Actual Performance			Estimated Performance	Medium Term Target		
			2012/13 Baseline	2013/14 Baseline	2014/15 Baseline	2015/16 (Target)	2016/17 Target	2017/18 Target	2018/19 Target
Promote internal and external communication on human settlements	Percentage implementation of the approved communication strategy	100 percent implementation of the approved communication strategy	4 reports on the implementation of corporate communication, media services and public information and marketing strategy	Corporate communication, media services and public information and marketing plans approved and implemented	4 reports on the implementation of corporate communication, media services and public information and marketing strategy	Communication strategy for the understanding of MTSF implemented	100 %imple-mentation of the approved communication strategy	100 % implementation of the approved communication strategy	100 % implementation of the approved communication strategy
	Number of consumers reached through various communication platforms	2 million consumers reached through various communication platforms	4 reports on the implementation of corporate communication, media services and public information and marketing strategy	Corporate communication, media services and public information and marketing plans approved and implemented	4 reports on the implementation of corporate communication, media services and public information and marketing strategy	Various communication platforms utilized to communicate the HS Strategic thrust	500 000 consumers reached through various communication platforms	500 000 consumers reached through various communication platforms	800 000 consumers reached through various communication platforms

PROGRAMME 1: ADMINISTRATION**Strategic Objective:** To Promote efficient and effective administrative processes

4.3 Quarterly Performance Targets for 2016/2017

Sub-Programmes: Executive Support, Internal Audit, Risk Management and Special Investigations, Financial Management and Enterprise Architecture

Performance Indicators	Reporting Period	Annual Targets 2016/17	Audited/Actual Performance			
			Q1	Q2	Q3	Q4
Percentage compliance with statutory tabling and prescripts	Quarterly	100% compliance with statutory tabling and prescripts	100% compliance with statutory tabling and prescripts	100% compliance with statutory tabling and prescripts	100% compliance with statutory tabling and prescripts	100% compliance with statutory tabling and prescripts
Unqualified audit report		Unqualified audit report	Submission of financial statements and performance information on predetermined objectives for 2015/16 to AGSA.	Unqualified Audit report Development of audit action plan to address audit recommendations	Implementation of Auditor-General's recommendations	Implementation of Auditor-General's recommendations
% adherence to Cabinet and Cluster schedule as per approved protocol		100% adherence to Cabinet and Cluster schedule as per approved protocol	100% adherence to Cabinet and Cluster schedule as per approved protocol	100% adherence to Cabinet and Cluster schedule as per approved protocol	100% adherence to Cabinet and Cluster schedule as per approved protocol	100% adherence to Cabinet and Cluster schedule as per approved protocol
% implementation of the approved audit plans		100% implementation of the approved internal audit plan	25% implementation of the approved internal audit plan	50% implementation of the approved internal audit plan	75% implementation of the approved internal audit plan	100% implementation of the approved internal audit plan
Implementation of the approved risk management plan	Quarterly	100% Implementation of the approved risk management plan	25% implementation of the approved risk management plan	50% implementation of the approved risk management plan	75% implementation of the approved risk management plan	100% implementation of the approved risk management plan
Percentage implementation of approved Departmental anti-fraud and corruption		100% implementation of the approved Departmental anti-fraud and corruption plan	25% implementation of the approved Departmental anti-fraud and corruption plan	50% implementation of the approved Departmental anti-fraud and corruption plan	75% implementation of the approved Departmental anti-fraud and corruption plan	100% implementation of the approved Departmental anti-fraud and corruption plan

Sub-Programme: Corporate Support

Performance Indicators	Reporting Period	Annual Targets 2016/17	Audited/Actual Performance			
			Q1	Q2	Q3	Q4
% of PAIA information requests processed	Quarterly	100% PAIA information requests processed	100% PAIA information requests processed. S32 report compiled and submitted to SAHRC	100% PAIA information requests processed	100 PAIA information requests processed. S15 list submitted to DOJ&CD for publishing in government gazette	100% public information access requests processed

Strategic Objective: A capacitated and diverse workforce in a conducive working environment**Sub-Programme:** Human Resources

Performance Indicators	Reporting Period	Annual Targets 2016/17	Audited/Actual Performance			
			Q1	Q2	Q3	Q4
% compliance to HR Statutory Requirements	Quarterly	100% compliance to HR Statutory Requirements	100% compliance to HR Statutory Requirements and HR plan	100% compliance to HR Statutory Requirements	100% compliance to HR Statutory Requirements	100% compliance to HR Statutory Requirements
% implementation of the HR Plan	Quarterly	100% implementation of HR Plan	25% implementation of HR Plan	50% implementation of HR Plan	75% implementation of HR Plan	100% implementation of HR Plan

Strategic Objective: Effective and efficient legal support**Sub-Programme:** Legal Services

Performance Indicators	Reporting Period	Annual Targets 2016/17	Audited/Actual Performance			
			Q1	Q2	Q3	Q4
Percentage of litigation matters received and attended to	Quarterly	100% of litigation matters received and attended to	100% of litigation matters received and attended to	100% of litigation matters received and attended to	100% of litigation matters received and attended to	100% of litigation matters received and attended to
Percentage of contracts processed within reasonable time frames	Quarterly	100% of contracts processed within reasonable time frames	100 % of contracts processed within reasonable time frames	100 % of contracts processed within reasonable time frames	100 % of contracts processed within reasonable time frames	100% of contracts processed within reasonable time frames

Sub-Programme: Legal Services

Performance Indicators	Reporting Period	Annual Targets 2016/17	Audited/Actual Performance			
			Q1	Q2	Q3	Q4
Number of reports on the development of legislative framework as per approved Legislative programme	Quarterly	4 Reports on the development of legislative framework as per approved legislative programme	1 report on the development of the legislative framework as per approved Legislative programme	1 report on the development of the legislative framework as per approved Legislative programme	1 report on the development of the legislative framework as per approved Legislative programme	1 report on the development of the legislative framework as per approved Legislative programme

Strategic Objective: The ICT infrastructure is reliable, secure and available

Sub-Programme: MIS &IT

Performance Indicators	Reporting Period	Annual Targets 2016/17	Audited/Actual Performance			
			Q1	Q2	Q3	Q4
Percentage availability of the HSS Database Systems to provinces	Quarterly	90 % availability of HSS Database Systems to provinces	90% availability of HSS Database Systems to provinces	90% availability of HSS Database Systems to provinces	90% availability of HSS Database Systems to provinces	90% availability of HSS Database Systems to provinces
Percentage availability of IT Services	Quarterly	90% availability of IT Services	90% availability of IT Services	90% availability of IT Services	90% availability of IT Services	90% availability of IT Services

Strategic Objective: Promote internal and external communication on human settlements

Sub-Programme: Communications

Performance Indicators	Reporting Period	Annual Targets 2016/17	Audited/Actual Performance			
			Q1	Q2	Q3	Q4
% implementation of the approved communication strategy	Quarterly	100 % implementation of the approved communication strategy	25 % implementation of the approved communication strategy	50 % implementation of the approved communication strategy	75 % implementation of the approved communication strategy	100 % implementation of the approved communication strategy
Number of consumers reached through various communication platforms	Quarterly	500 000 consumers reached through various communication platforms	150 000 consumers reached through various communication platforms	150 000 consumers reached through various communication platforms	100 000 consumers reached through various communication platforms	100 000 consumers reached through various communication platforms

PROGRAMME 2: HUMAN SETTLEMENTS POLICY, STRATEGY AND PLANNING

Programme Purpose: Manage the development of, and compliance with, human settlements sector delivery and intergovernmental relations frameworks, and oversee integrated human settlements strategic and planning services.

5.1 Strategic objective annual targets for 2016/17
and

5.2 Programme performance indicators and annual targets for 2016/17

Sub-Programme: HS Planning

Strategic Objective (High level output)	Performance Indicators	Strategic Plan Target (5 year target)	Audited/Actual Performance			Estimated Performance 2015/16 (Target)	Medium Term Target		
			2012/13 Baseline	2013/14 Baseline	2014/15 Baseline		2016/17 Target	2017/18 Target	2018/19 Target
Development of human settlements policies and programmes for improved alignment	A Multiyear human settlements development plan Developed	An approved Multiyear human settlements development plan	New Target	New Target	New Target	Multiyear human settlements development plans aligned with other sectoral spheres of government to increase coordination and collaboration	A Multiyear human settlements development plan Developed	No Target	No Target
	An approved National Human Settlements Development Plan for Provinces	Approved National Human Settlements Development Plans for Provinces	Approved National integrated Human Settlements Development Plan for Provinces (HSDG)	Approved National Human Settlements Development Plan for Provinces (HSDG)	Approved National Human Settlements Development Plan for Provinces (HSDG)	Approved National Human Settlements Development Plan for Provinces (HSDG)	An approved National Human Settlements Development Plan for Provinces	An approved National Human Settlements Development Plan for Provinces	An approved National Human Settlements Development Plan for Provinces

Strategic Objective (High level output)	Performance Indicators	Strategic Plan Target (5 year target)	Audited/Actual Performance			Estimated Performance 2015/16 (Target)	Medium Term Target		
			2012/13 Baseline	2013/14 Baseline	2014/15 Baseline		2016/17 Target	2017/18 Target	2018/19 Target
	Revised Guidelines for Human Settlements Planning and Design approved (Red Book)	Guidelines for Human Settlements Planning and Design approved (Red Book)	Red book	MOU signed by DHS and DST	SLA between the National Department and SCIR was approved in Feb 2015	Set of overarching principles and norms for human settlements (Red Book) updated	1st draft of the revised Guidelines for Human Settlements Planning and Design (Red Book) approved for consultation	Guidelines for Human Settlements Planning and Design approved (Red Book)	No target
Development of human settlements policies and programmes for improved alignment	An approved Human Settlements Master Spatial Plan	Human Settlements Master Spatial plan approved	New target	New target	New target	A draft Human Settlements Master Spatial plan	An approved Human Settlements Master Spatial Plan	No target	No Target
	Number of title deeds Backlog eradicated	900 000 title deeds backlog eradicated	New target	New target	900 000 title deeds backlog	Scoping report to determine extent and funding methodology to eradicate backlog approved	100 000 title deeds backlog eradicated	400 000 title deeds backlog eradicated	400 000 title deeds backlog eradicated
	Number of Hectares of well-located land acquired, rezoned, and released for new developments	10'000 hectares of well-located land acquired, rezoned, and released for new developments	New target	New target	2 635.1 hectares has been released, and rezoned	10'000 hectares identified through sector departments	2 455 hectares of well-located land acquired, rezoned, and released for new developments	2 455 hectares of well-located land acquired, rezoned, and released for new developments	2 455 hectares of well-located land acquired, rezoned, and released for new developments

Sub-Programme: Operational Frameworks

Strategic Objective (High level output)	Performance Indicators	Strategic Plan Target (5 year target)	Audited/Actual Performance			Estimated Performance	Medium Term Target		
			2012/13 Baseline	2013/14 Baseline	2014/15 Baseline	2015/16 (Target)	2016/17 Target	2017/18 Target	2018/19 Target
Development of human settlements policies and programmes for improved alignment	Approved Human Settlements White Paper	Approved Human Settlements White Paper	1994 White Paper	1994 White Paper	1994 White Paper	Green Paper on Human Settlements approved	Draft White Paper for Human Settlements	Approved White Paper for Human Settlements	No target
	Human settlements Code approved	Human Settlements Code approved	Housing Code	Housing Code	Housing Code	Draft framework for the development of human settlements code	A framework for human settlements code developed	A draft human settlements code developed	Human settlements Code approved
	Revised Accreditation Framework	Revised Accreditation Framework	New Target	New Target	A capacity support programme for assignment and accreditation was developed in the form of the HS capacity grant as per the guideline and the framework	No Target	Revised Accreditation Framework	No Target	No Target
	Number of municipalities assessed for accreditation	21 municipalities assessed for accreditation	28 municipalities assessed	Assessments for the readiness to receive level3 accreditation were completed for the 6 metro municipalities.	A capacity support programme for assignment and accreditation was developed in the form of the HS capacity grant as per the guideline and the framework	6 Municipalities assessed for accreditation	No Target	10 municipalities assessed for accreditation	11 municipalities assessed for accreditation

Sub-Programme: Stakeholder Relations and IGR

Strategic Objective (High level output)	Performance Indicators	Strategic Plan Target (5 year target)	Audited/Actual Performance			Estimated Performance 2015/16 (Target)	Medium Term Target		
			2012/13 Baseline	2013/14 Baseline	2014/15 Baseline		2016/17 Target	2017/18 Target	2018/19 Target
Establishment and strengthening of partnerships for human settlements development	Number of Provinces and Metros supported on the implementation of PHP Policy	Nine Provinces and eight Metros supported on the implementation of PHP Policy	Supported five (5) PHP's to deliver 6 801 PHP units	Six (6) Provinces supported in the delivery of 6 292 PHP units	A report on support provided to Provinces to utilize Cooperatives and informal settlements upgrading through PHP	Consolidation of the institutional capacity for PHP and all Informal Settlement Upgrading support programmes completed	Five Provinces and four Metros supported on the implementation of PHP Policy	Seven Provinces and six Metros supported on the implementation of PHP Policy	Nine Provinces and eight Metros supported on the implementation of PHP Policy
	A Report on stakeholder participation to Human Settlements Development	Mobilisation of private sector investment on human settlements development	Implemented Sector Stakeholder Programmes	Inter-sectorial and intersphere collaboration agreements signed and implemented	4 reports on intersectoral, inter-sphere collaboration agreement signed and implemented	Progress report on the implementation of Intersectoral collaboration agreements	Mobilisation and strengthening of stakeholder participation on Human Settlements Development	Mobilisation and strengthening of stakeholder participation on Human Settlements Development	Mobilisation and strengthening of stakeholder participation on Human Settlements Development

PROGRAMME 2: HUMAN SETTLEMENTS POLICY, STRATEGY AND PLANNING

Strategic Objectives: Development of human settlements policies and programmes for improved alignment

5.3 Quarterly Performance Targets for 2016/2017

Sub-Programme: HS Planning

Performance Indicators	Reporting Period	Annual Targets 2016/17	Audited/Actual Performance			
			Q1	Q2	Q3	Q4
A Multiyear human settlements development plan Developed	Quarterly	A Multiyear human settlements development plan Developed	Engagements with stakeholders on the development of Multiyear human Settlements Development Plan	First draft of the Multiyear human Settlements Development Plan completed.	Second draft of the Multiyear human Settlements Development Plan completed	A Multiyear human settlements development plan Developed
An approved National Human Settlements Development Plan for Provinces	Quarterly	An approved National Human Settlements Development Plan for Provinces	Engagements with stakeholders on development of National Human Settlements Development Plan	First draft of the National Human Settlements Development Plan completed.	Second draft of the National Human Settlements Development Plan	An approved National Human Settlements Development Plan for Provinces
Guidelines for Human Settlements Planning and Design approved (Red Book)	Quarterly	1st draft of the revised Guidelines for Human Settlements Planning and Design (Red Book) approved for consultation	No Target	Consultation on selected themes of the draft revised Guidelines for Human Settlements Planning and Design (Red Book)	Consultation on selected themes of the draft revised Guidelines for Human Settlements Planning and Design (Red Book)	1st draft of the revised Guidelines for Human Settlements Planning and Design (Red Book) approved for consultation
An approved Human Settlements Master Spatial Plan	Quarterly	An approved Human Settlements Master Spatial Plan	No Target	Draft Human Settlements Master Spatial Plan	Second draft of Human Settlements Master Spatial Plan	Approved Human Settlements Master Spatial Plan
Number of title deeds backlog eradicated	Quarterly	100 000 title deeds backlog eradicated	25 000 title deeds backlog eradicated	50 000 title deeds backlog eradicated	75 000 title deeds backlog eradicated	100 000 title deeds backlog eradicated
Number of Hectares of well-located land acquired, rezoned, and released for new developments targeting poor and lower middle income households	Quarterly	2 455 hectares of well-located land acquired, rezoned, and released for new developments targeting poor and lower middle income households	614 hectares of well-located land acquired, rezoned, and released for new developments targeting poor and lower middle income households	1228 hectares of well-located land acquired, rezoned, and released for new developments targeting poor and lower middle income households	1842 hectares of well-located land acquired, rezoned, and released for new developments targeting poor and lower middle income households	2455 hectares of well-located land acquired, rezoned, and released for new developments targeting poor and lower middle income households

Strategic Objective: Responsive policies and programmes

Sub-Programme: Operational Frameworks

Performance Indicators	Reporting Period	Annual Targets 2016/17	Audited/Actual Performance			
			Q1	Q2	Q3	Q4
Approved Human Settlements White Paper	Quarterly	A draft White Paper for Human Settlements	Consultations on the development of a Draft White Paper	Consultations on the development of a Draft White Paper	A draft White Paper submitted to Cabinet	A draft White Paper for Human Settlements
Human settlements Code approved	Quarterly	A framework for the HS code developed	Conduct research on the review of the existing programmes	Existing Programmes in the Housing Code reviewed	Existing Programmes in the Housing Code reviewed	A framework for the code developed
Revised Accreditation Framework	Quarterly	Revised Accreditation Framework	Consultation on Revised Accreditation Framework	Consultation on revised Accreditation Framework	Draft Accreditation Framework	A Revised Accreditation Framework

Strategic Objective: Establishment and strengthening of partnerships for human settlements development

Sub-Programme: Stakeholder and IGR Engagement

Performance Indicators	Reporting Period	Annual Targets 2016/17	Audited/Actual Performance			
			Q1	Q2	Q3	Q4
Number of Provinces and Metros supported on the implementation of PHP Policy	Quarterly	Five Provinces and four Metros supported on the implementation of PHP Policy	Five Provinces and four Metros supported on the implementation of PHP Policy	Five Provinces and four Metros supported on the implementation of PHP Policy	Five Provinces and four Metros supported on the implementation of PHP Policy	Five Provinces and four Metros supported on the implementation of PHP Policy
A Report on stakeholder participation to Human Settlements Development		Mobilisation and strengthening of stakeholder participation on Human Settlements Development	Mobilisation and strengthening of stakeholder participation on Human Settlements Development	Mobilisation and strengthening of stakeholder participation on Human Settlements Development	Mobilisation and strengthening of stakeholder participation on Human Settlements Development	Mobilisation and strengthening of stakeholder participation on Human Settlements Development

PROGRAMME 3: PROGRAMME MONITORING AND DELIVERY SUPPORT

- **Programme Purpose:** The purpose of this programme is to support the execution, and monitor and evaluate the implementation, of human settlements programmes and projects.
- Manage the building of capacity and skills in the sector and provide oversight of public entities.

6.1 Strategic objective annual targets for 2016/17

and

6.2 Programme performance indicators and annual targets for 2016/17

Sub-Programme: Programme and Project Planning

Strategic Objective (High level output)	Performance Indicators	Strategic Plan Target (5 year target)	Audited/Actual Performance			Estimated Performance	Medium Term Target		
			2012/13 Baseline	2013/14 Baseline	2014/15 Baseline	2015/16 (Target)	2016/17 Target	2017/18 Target	2018/19 Target
Provide implementation support on the delivery of adequate housing	Number of catalytic projects implemented	50 catalytic projects implemented	No Target	No Target	15 Planned catalytic projects that addresses spatial, social and economic integration	10 Planned catalytic projects that addresses spatial, social and economic integration	15 catalytic projects implemented	10 catalytic projects implemented	No Target
	Number of human settlements interventions implemented in mining towns	21 human settlement interventions implemented in mining towns	New target	New target	New target	22 Mining Towns interventions	9 human settlements interventions implemented in mining towns	8 human settlements interventions implemented in mining towns	No target

Sub-Programme: Programme Implementation facilitation

Strategic Objective (High level output)	Performance Indicators	Strategic Plan Target (5 year target)	Audited/Actual Performance			Estimated Performance	Medium Term Target		
			2012/13 Baseline	2013/14 Baseline	2014/15 Baseline	2015/16 (Target)	2016/17 Target	2017/18 Target	2018/19 Target
Provide implementation support on the delivery of adequate housing	Number of feasibilities on informal settlements conducted	2 200 feasibilities on informal settlements conducted	No Target	No Target	200 Informal Settlements assessed	460 Informal Settlements assessed	500 feasibilities on informal settlements conducted	500 feasibilities on informal settlements conducted	540 feasibilities on informal settlements conducted
	Number of Informal settlement upgrading plans developed	2 200 of informal settlement upgrading plans developed	New target	Four quarterly progress report on 49 municipalities supported	336 Informal settlement upgrading plans developed	460 Informal settlement upgrading plans developed	444 Informal settlement upgrading plans developed	460 informal settlement upgrading plans developed	500 Informal settlement upgrading plans developed
	Number of house-holds upgraded to phase 2	750 000 households assisted through the Informal Settlements Upgrading Programme by 2019	New target	Four quarterly progress reports on the 49 municipalities supported and assisted with technical support, informal settlements classification and formulation of informal settlements upgrading plans in line with Outcome 8 outputs	74 017 households upgraded to phase 2	150 000 households upgraded to phase 2 of the UISP programme	175 000 households upgraded to phase 2	175 000 households upgraded to phase 2	175 983 households upgraded to phase 2
	Number of subsidy housing opportunities provided	563 000 subsidy housing opportunities provided	-	-	95 210 of housing units for subsidy housing submarket provided	113 600 housing units delivered	115 000 subsidy housing opportunities provided	119 000 subsidy housing opportunities provided	120 190 subsidy housing opportunities provided
Provide implementation support on the delivery of adequate housing	Number of affordable Rental Housing opportunities delivered	35 000 Affordable rental units through the private sector	New target	New target	8 994 affordable rental housing opportunities delivered	14 400 affordable rental opportunities	3 700 affordable rental opportunities delivered	3 800 affordable rental opportunities delivered	4 106 affordable rental opportunities delivered

Strategic Objective (High level output)	Performance Indicators	Strategic Plan Target (5 year target)	Audited/Actual Performance			Estimated Performance 2015/16 (Target)	Medium Term Target		
			2012/13 Baseline	2013/14 Baseline	2014/15 Baseline		2016/17 Target	2017/18 Target	2018/19 Target
	Number of Social Housing units delivered	27 000 Social Housing units delivered	New target	New target	2 053 Social Housing units	5 400 Social Housing units	6 301 Social Housing units delivered	6 301 Social Housing units delivered	6 945 Social Housing units delivered
	Number of Community Residential Units (CRU) delivered	10 000 Community Residential Units (CRU) delivered	New Target	target	2 017 Community Residential Units (CRU)	2 000 Community Residential Units (CRU)	2 000 Community Residential Units (CRU) delivered	2 000 Community Residential Units (CRU) delivered	1983 Community Residential Units (CRU) delivered

Sub-Programme: Monitoring and Evaluation

Strategic Objective (High level output)	Performance Indicators	Strategic Plan Target (5 year target)	Audited/Actual Performance			Estimated Performance 2015/16 (Target)	Medium Term Target		
			2012/13 Baseline	2013/14 Baseline	2014/15 Baseline		2016/17 Target	2017/18 Target	2018/19 Target
Enhanced sector monitoring and evaluation	Percentage of projects under implementation monitored and verified (HSDG and USDG)	100% of projects under implementation monitored and verified (HSDG and USDG)	Four quarterly reports on projects financed through the HSDG and USDG	No Target	57% of running projects monitored through physical site visits and other verification method-logies Revised Monitoring and Evaluation Framework	75% of running projects monitored through physical site visits and other verification methodologies	100% of projects under implementation monitored and verified (HSDG and USDG)	100% of projects under implementation monitored and verified (HSDG and USDG)	100% of projects under implementation monitored and verified (HSDG and USDG)
	Number of Quarterly reports on the implementation of Outcome 8	20 Outcome 8 reports produced	4 Quarterly reports on the implementation of Outcome 8	4 Quarterly reports on the implementation of Outcome 8	4 Quarterly reports on the implementation of Outcome 8	4 Quarterly reports on the progress with the implementation of the Human Settlements Programme of Action Delivery Agreement	4 Quarterly reports on the implementation of Outcome 8	4 Quarterly reports on the implementation of Outcome 8	4 Quarterly reports on the implementation of Outcome 8

Strategic Objective (High level output)	Performance Indicators	Strategic Plan Target (5 year target)	Audited/Actual Performance			Estimated Performance 2015/16 (Target)	Medium Term Target		
			2012/13 Baseline	2013/14 Baseline	2014/15 Baseline		2016/17 Target	2017/18 Target	2018/19 Target
	Number of evaluation studies completed	8 Evaluation studies completed	-	New target	2 Evaluation reports on Human Settlements strategic areas completed	Evaluations for improved human settlements conducted (Access and Social Housing)	2 evaluation studies (Affordable Housing, Access Evaluation)	1 study conducted (UISP 3 Year Impact)	No target
	Number of data analysis reports on delivery trends produced	Data analysis reports on delivery trends produced	New Target	16 data analysis reports on HS projects data	Not Planned	New Target	4 data analysis reports on delivery trends produced	4 data analysis reports on delivery trends produced	4 data analysis reports on delivery trends produced

Subprogramme: : Regulatory Compliance Services

Strategic Objective (High level output)	Performance Indicators	Strategic Plan Target (5 year target)	Audited/Actual Performance			Estimated Performance 2015/16 (Target)	Medium Term Target		
			2012/13 Baseline	2013/14 Baseline	2014/15 Baseline		2016/17 Target	2017/18 Target	2018/19 Target
Provide implementation support on the delivery of adequate housing	Single Development Finance Institution established	A Single DFI established	New target	New target	New target	DFI Consolidation process applied	Single DFI operational integration completed	Single DFI draft Bill	Single DFI established
	Number of Shareholder Compacts of the human settlements entities aligned to the MTSF	Approved Shareholder Compacts of the human settlements entities aligned to the MTSF targets	New target	Target	New target	New target	4 Shareholder Compacts of the human settlements entities aligned to the MTSF targets (2017/2018)	4 Shareholder Compacts of the human settlements entities aligned to the MTSF targets (2018/2019)	4 Shareholder Compacts of the human settlements entities aligned to the MTSF targets (2019/2020)

Sub-Programme: Technical Capacity Development

Strategic Objective (High level output)	Performance Indicators	Strategic Plan Target (5 year target)	Audited/Actual Performance			Estimated Performance 2015/16	Medium Term Target		
			2012/13 Baseline	2013/14 Baseline	2014/15 Baseline		2016/17	2017/18	2018/19
Improved support and capacity for the human settlements sector	Number of youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes	68 580 youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes	4 Reports on Human Settlements training and skills development programmes	New target	7 296 consumers reached	No target	22 860 youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes	22 860 youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes	22 860 youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes
	Number of Provincial departments and municipalities supported in the implementation of capacity development programmes	Nine (9) Provincial departments and eight (8) municipalities supported in the implementation of capacity development programmes	Nine (9) Provincial departments and eight (8) municipalities supported in the implementation of capacity development programmes	Nine (9) Provincial departments and six (6) municipalities supported in the development & implementation of capacity development programmes	Nine (9) Provincial departments and six municipalities supported in the development & implementation of capacity development programmes	Nine (9) Provincial departments and six municipalities supported in the development & implementation of capacity development programmes	Nine (9) Provincial departments and eight (8) municipalities supported in the implementation of capacity development programmes	Nine (9) Provincial departments and eight (8) municipalities supported in the implementation of capacity development programmes	Nine (9) Provincial departments and eight (8) municipalities supported in the implementation of capacity development programmes

PROGRAMME 3: HUMAN SETTLEMENTS DELIVERY SUPPORT

Strategic Objectives: Provide implementation support on the delivery of adequate housing

6.3 Quarterly Performance Targets for 2016/2017

Sub-Programme: Programme and Project Planning

Performance Indicators	Reporting Period	Annual Targets 2016/17	Audited/Actual Performance			
			Q1	Q2	Q3	Q4
Number of catalytic projects implemented	Quarterly	15 catalytic projects implemented	3 catalytic projects implemented	3 catalytic projects implemented	4 catalytic projects implemented	5 catalytic projects implemented
Number of human settlement interventions implemented in mining towns	Quarterly	9 human settlement interventions implemented in mining towns	2 human settlement interventions implemented in mining towns	3 human settlement interventions implemented in mining towns	2 human settlement interventions implemented in mining towns	2 human settlement interventions implemented in mining towns

Strategic Objective: Provide implementation support on the delivery of adequate housing

Sub-Programme: Programme Implementation facilitation

Performance Indicators	Reporting Period	Annual Targets 2016/17	Audited/Actual Performance			
			Q1	Q2	Q3	Q4
Number of feasibilities on informal settlements conducted	Quarterly	500 feasibilities on informal settlements conducted	75 feasibilities on informal settlements conducted	100 feasibilities on informal settlements conducted	150 feasibilities on informal settlements conducted	175 feasibilities on informal settlements conducted
Number of Informal settlement upgrading plans developed	Quarterly	444 Informal settlement upgrading plans developed	100 Informal settlement upgrading plans developed	100 Informal settlement upgrading plans developed	100 Informal settlement upgrading plans developed	144 Informal settlement upgrading plans developed
Number of households upgraded to phase 2	Quarterly	175 000 households upgraded to phase 2	45 000 households upgraded to phase 2	50 000 households upgraded to phase 2	25 000 households upgraded to phase 2	55 000 households upgraded to phase 2
Number of subsidy housing opportunities provided	Quarterly	115 000 subsidy housing opportunities provided	20 000 subsidy housing opportunities provided	30 000 subsidy housing opportunities provided	20 000 subsidy housing opportunities provided	45 000 subsidy housing opportunities provided

Performance Indicators	Reporting Period	Annual Targets 2016/17	Audited/Actual Performance			
			Q1	Q2	Q3	Q4
Number of affordable Rental Housing opportunities delivered	Quarterly	3 700 affordable rental opportunities delivered	1 000 feasibilities on informal settlements conducted	1 000 affordable rental opportunities delivered	700 affordable rental opportunities delivered	1 000 affordable rental opportunities delivered
Number of Social Housing units delivered	Quarterly	6 301 of Social Housing units delivered	1 500 of Social Housing units delivered	1 500 of Social Housing units delivered	1 500 of Social Housing units delivered	1 801 of Social Housing units delivered
Number of Community Residential Units (CRU) delivered	Quarterly	2 000 Community Residential Units (CRU) delivered	500 Community Residential Units (CRU) delivered	500 Community Residential Units (CRU) delivered	500 Community Residential Units (CRU) delivered	500 Community Residential Units (CRU) delivered

Strategic Objective: Enhanced sector monitoring and evaluation

Sub-Programme: Monitoring and Evaluation

Performance Indicators	Reporting Period	Annual Targets 2016/17	Audited/Actual Performance			
			Q1	Q2	Q3	Q4
Percentage of projects under implementation monitored and verified	Quarterly	100% of projects under implementation monitored and verified (HSDG and USDG)	25% of projects monitored and verified (HSDG and USDG)	50% of projects monitored and verified (HSDG and USDG)	75% of projects monitored and verified (HSDG and USDG)	100% of projects monitored and verified (HSDG and USDG)
Number of Quarterly reports on the implementation of Outcome 8	Quarterly	4 Quarterly reports on the implementation of Outcome 8	Quarterly report on the implementation of Outcome 8	Quarterly report on the implementation of Outcome 8	Quarterly report on the implementation of Outcome 8	Quarterly report on the implementation of Outcome 8
Number of Evaluation Studies Completed	Quarterly	2 Evaluation studies conducted (Affordable Housing and Access)	A conceptual Framework developed	A draft report on evaluation studies	An overall analytical report on evaluation studies	2 Evaluation studies conducted (Affordable Housing and Access)
Number of data analysis reports on delivery trends produced	Quarterly	4 data analysis reports on delivery trends produced	1 data analysis reports on delivery trends produced	1 data analysis reports on delivery trends produced	1 data analysis reports on delivery trends produced	1 data analysis reports on delivery trends produced

Strategic Objectives: Provide implementation support on the delivery of adequate housing

Regulatory Compliance Services

Performance Indicators	Reporting Period	Annual Targets 2016/17	Audited/Actual Performance			
			Q1	Q2	Q3	Q4
Single Development Finance Institution established	Quarterly	Single DFI operational integration completed	Interim governance structures appointed by the Executive Authority	Operational integration work streams established	Systems integration completed	Single DFI Operational integration completed
Number of Shareholder Compacts of the human settlements entities aligned to the MTsf targets	Quarterly	4 Shareholder Compacts of the human settlements entities aligned to the MTsf targets (2017/2018)	No target	No target	1st drafts of the Shareholder Compacts of the human settlement entities analysed to ensure alignment with the MTsf targets	4 Shareholder Compacts of the human settlements entities aligned to the MTsf targets (2017/18)

Strategic Objective: Improved support and capacity for the human settlements sector

Technical Capacity Developments

Performance Indicators	Reporting Period	Annual Targets 2016/17	Audited/Actual Performance			
			Q1	Q2	Q3	Q4
Number youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes	Quarterly	22 860 youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes	4 677 youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes	7 727 youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes	6 728 youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes	3 728 youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes
Number of provinces and metros supported in the implementation of capacity development programmes	Quarterly	Nine (9) Provincial departments and eight (8) municipalities supported in the implementation of Capacity development programmes	Three (3) Provincial departments and three (3) municipalities supported in the implementation of Capacity development programmes	Three (3) Provincial departments and three (3) municipalities supported in the implementation of Capacity development programmes	Three (3) Provincial departments and two (2) municipalities supported in the implementation of Capacity development programmes	An evaluation on the implementation of the capacity development programmes conducted.



PROGRAMME 4: HOUSING DEVELOPMENT FINANCE

Strategic Objective: Efficient and effective utilization of human settlements grants, and monitoring lending patterns by financial institution

Programme Purpose: Fund the delivery of housing and human settlements programmes, and manage all matters related to improving access to housing finance and developing partnerships with the financial sector.

7.1 Strategic objective annual targets for 2016/17

and

7.2 Programme performance indicators and annual targets for 2016/17

Strategic Objective (High level output)	Performance Indicators	Strategic Plan Target (5 year target)	Audited/Actual Performance			Estimated Performance	Medium Term Target		
			2012/13 Baseline	2013/14 Baseline	2014/15 Baseline	2015/16	2016/17 Target	2017/18 Target	2018/19 Target
Efficient and effective utilization of human settlements grants, and monitoring of lending patterns by financial institution	Approved Human Settlements Grants Frameworks	Approved Human Settlements Grants Frameworks	Grants frame-works approved	Grants frameworks approved	Grants frameworks approved	Housing and Human Settlements Grants Frameworks restructured by October 2015	Approved Human Settlements Grants Frameworks	Approved Human Settlements Grants Frameworks	Approved Human Settlements Grants Frameworks
	Number of HSDG & USDG quarterly performance reports	20 HSDG & USDG quarterly performance reports	4 HSDG & USDG quarterly performance reports submitted to management	4 HSDG & USDG quarterly performance reports submitted to management	4 HSDG & USDG quarterly performance reports submitted to management	4 HSDG & USDG quarterly performance reports submitted to management	4 HSDG & USDG quarterly performance reports	4 HSDG & USDG quarterly performance reports	4 HSDG & USDG quarterly performance reports
	Approved annual report on the performance of financial institutions and their lending patterns	Annual report on the performance of financial institutions and their lending patterns on home loans	3 reports on analysis of information submitted by financial institutions to detect lending patterns and practises on home loans produced	Annual report on the performance and the ratings of financial institutions prepared for the Minister and submitted. 3 reports on lending practices were produced and submitted	Approved annual report on the performance ratings of financial institutions. 2 reports on home loan lending patterns and practises by financial institutions	Annual report on the performance of financial institutions and their lending patterns	Annual report on the performance of financial institutions and their lending patterns	Annual report on the performance of financial institutions and their lending patterns	Annual report on the performance of financial institutions and their lending patterns
	Revised state finance products for the affordable gap submarket	Approved State Finance Products for the affordable market	New target	New target	New target	4 performance reports on state finance products for the gap market	State Finance Products for the affordable market revised	Approved State Finance Products for the affordable market	No target

Programme 4: Housing Development Finance

Efficient and effective utilization of human settlements grants, and monitoring lending patterns by financial institution **Quarterly Performance Targets for 2016/2017**

7.3 Quarterly Performance Targets for 2016/2017

Sub Programme: Chief Investment Office

Performance Indicators	Reporting Period	Annual Targets 2016/17	Audited/Actual Performance			
			Q1	Q2	Q3	Q4
Approved Human Settlements Grants Frameworks	Quarterly	Approved HS Grants Framework	No target	No target	HS Grant Framework developed	Approved HS Grants Framework
Number of reports on HSDG & USDG quarterly performance reports		4 HSDG & USDG quarterly performance reports	HSDG & USDG quarterly performance reports	HSDG & USDG quarterly performance reports	HSDG & USDG quarterly performance reports	HSDG & USDG quarterly performance reports
Approved annual report on the performance of financial institutions and their lending patterns		Annual report on the performance of financial institutions and their lending patterns	No Target	No Target	No Target	Annual report on the performance of financial institutions and their lending patterns
Revised state finance products for the affordable submarket		State Finance Products for the affordable market revised	Consultation on State Finance Products for the affordable market	Consultation on State Finance Products for the affordable market	Consultation on State Finance Products for the affordable market	State Finance Products for the affordable market revised

7.4 Reconciling Performance Targets with the budget and Mtef 2015/18

PROGRAMME 1: ADMINISTRATION

Sub-Programme R'000	Audited outcome			Adjusted Appropriation	Indicative allocation		
	2012/13	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19
Ministry	30 353	28 739	66 437	61 363	60 222	63 901	64 100
Departmental Management	61 571	53 508	101 857	98 773	102 143	107 153	98 487
Corporate Services	139 629	134 141	190 534	175 316	188 160	201 332	204 830
Property Management	20 403	24 699	32 153	37 677	39 900	41 895	43 990
Financial Management	33 722	39 312	42 099	47 487	51 849	55 639	55 915
Total	285 678	280 399	433 080	420 616	442 274	469 920	467 322

Economic classification R'000	Audited outcome			Adjusted Appropriation	Indicative allocation		
	2012/13	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19
Current payments	282 013	272 908	397 840	407 883	438 900	466 381	463 602
Compensation of employees	134 699	140 578	178 849	197 063	216 458	233 145	228 846
Goods and services	147 314	132 294	218 970	210 816	222 442	233 236	234 756
Interest and rent on land	-	36	21	4	-	-	-
Transfers and subsidies	74	175	22 706	857	46	46	49
Provinces and municipalities	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-
Higher education institutions	-	-	1 170	-	-	-	-
Foreign governments and international organisations	-	-	-	-	-	-	-
Public corporations and private enterprises	-	-	-	-	-	-	-
Non-profit institutions	-	-	-	-	-	-	-
Households	74	175	21 536	857	46	46	49
Payments for capital assets	3 537	7 198	12 426	11 549	3 328	3 493	3 671
Buildings and other fixed structures	-	-	-	-	-	-	-
Machinery and equipment	3 507	6 617	11 019	11 494	3 059	3 211	3 373

Economic classification R'000	Audited outcome			Adjusted Appropriation	Indicative allocation		
	2012/13	2013/14	2014/15		2016/17	2017/18	2018/19
Current payments	282 013	272 908	397 840	407 883	438 900	466 381	463 602
Compensation of employees	134 699	140 578	178 849	197 063	216 458	233 145	228 846
Goods and services	147 314	132 294	218 970	210 816	222 442	233 236	234 756
Interest and rent on land	-	36	21	4	-	-	-
Transfers and subsidies	74	175	22 706	857	46	46	49
Provinces and municipalities	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-
Higher education institutions	-	-	1 170	-	-	-	-
Foreign governments and international organisations	-	-	-	-	-	-	-
Public corporations and private enterprises	-	-	-	-	-	-	-
Non-profit institutions	-	-	-	-	-	-	-
Households	74	175	21 536	857	46	46	49
Payments for capital assets	3 537	7 198	12 426	11 549	3 328	3 493	3 671
Buildings and other fixed structures	-	-	-	-	-	-	-
Machinery and equipment	3 507	6 617	11 019	11 494	3 059	3 211	3 373
Software and other intangible assets	30	581	1 407	55	269	282	298
Payments for financial assets	54	118	108	82	-	-	-
Total	285 678	280 399	433 080	420 371	442 274	469 920	467 322

This programme allocation grows from R420.3 million in 2015/16 to R467.3 million in 2018/19, an average nominal growth of 2.4%. The programme biggest cost drivers apart from compensation of employees, are funds provided for Internal Audit activities and special investigations, computer services and travel and subsistence. The programme has 426 funded positions. The programme mainly provides strategic leadership to the sector and Department as well as support to the Department.

PROGRAMME 2: HUMAN SETTLEMENTS POLICY, STRATEGY AND PLANNING

Sub-Programme R'000	Audited outcome			Adjusted Appropriation 2015/16	Indicative allocation		
	2012/13	2013/14	2014/15		2016/17	2017/18	2018/19
Management for Policy, Strategy and Planning	2 160	3 568	3 902	6 262	7 424	7 844	7 758
Human Settlements Policy Frameworks	27 765	27 756	29 731	28 174	30 852	32 887	32 254
Human Settlements Strategy and Planning	33 275	42 003	45 070	39 102	44 787	47 567	46 939
Total	63 200	73 327	78 703	73 538	83 063	88 298	86 951

Economic classification R'000	Audited outcome			Adjusted Appropriation 2015/16	Indicative allocation		
	2012/13	2013/14	2014/15		2016/17	2017/18	2018/19
Current payments	60 953	71 572	72 128	71 320	80 985	86 115	84 648
Compensation of employees	33 282	38 322	45 311	48 533	55 724	59 591	58 099
Goods and services	27 671	33 250	26 817	22 787	25 261	26 524	26 549
Interest and rent on land	-	-	-	-	-	-	-
Transfers and subsidies	1 807	1 148	5 394	1 150	1 211	1 272	1 346
Provinces and municipalities	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-
Higher education institutions	-	-	-	-	-	-	-

Economic classification R'000	Audited outcome			Adjusted Appropriation 2015/16	Indicative allocation		
	2012/13	2013/14	2014/15		2016/17	2017/18	2018/19
Foreign governments and international organisations	1 279	1 075	1 269	1 150	1 211	1 272	1 346
Public corporations and private enterprises	-	-	4 000	-	-	-	-
Non-profit institutions	400	-	-	-	-	-	-
Households	128	73	125	-	-	-	-
Payments for capital assets	425	575	1 170	1 068	867	911	957
Buildings and other fixed structures	-	-	-	-	-	-	-
Machinery and equipment	425	575	1 170	1 068	867	911	957
Software and other intangible assets	-	-	-	-	-	-	-
Payments for financial assets	15	32	11	-	-	-	-
Total	63 200	73 327	78 703	73 538	83 063	88 298	86 951

This programme allocation grows from R74.3 million in 2015/16 to R86.9 million in 2018/19, an average nominal growth of 5.4%. The programme biggest cost drivers are compensation of employees and travel and subsistence. The programme will undertake the Development of the white and green paper, the red book as well reviewing and aligning various policies and plans with the NDP, over the MTEF.

PROGRAMME 3: PROGRAMME DELIVERY SUPPORT

Sub-Programme R'000	Audited outcome			Adjusted Appropriation 2015/16	Indicative allocation		
	2012/13	2013/14	2014/15		2016/17	2017/18	2018/19
Management for Programme Delivery Support	3 121	4 071	7 019	7 351	9 240	9 806	9 802
Programme Management Unit	39 821	50 480	69 598	124 901	165 021	145 092	137 119
Chief of Operation	85 544	44 194	57 095	53 348	50 321	53 441	53 000
Total	128 486	98 745	133 712	185 600	224 582	208 339	199 921

Economic classification R'000	Audited outcome			Adjusted Appropriation	Indicative allocation		
	2012/13	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19
Current payments	110 938	89 275	119 096	175 331	214 178	197 414	188 372
Compensation of employees	40 080	47 245	53 001	59 877	93 259	75 473	75 368
Goods and services	70 858	42 030	66 092	115 454	120 919	121 941	113 004
Interest and rent on land	-	-	3	-	-	-	-
Transfers and subsidies	16 951	8 543	13 602	9 171	9 355	9 822	10 392
Provinces and municipalities	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-
Higher education institutions	3 090	3 949	4 499	-	-	-	-
Foreign governments and international organisations	-	-	-	-	-	-	-
Public corporations and private enterprises							
Non-profit institutions							
Households	13 861	4 594	9 103	9 171	9 355	9 822	10 392
Payments for capital assets	580	922	1 011	1 086	1 049	1 103	1 157
Buildings and other fixed structures	-	-	-	-	-	-	-
Machinery and equipment	580	806	1 011	1 086	1 049	1 103	1 157
Software and other intangible assets	-	116	-	-	-	-	-
Payments for financial assets	17	5	3	12	-	-	-
Total	128 486	98 745	133 712	185 600	224 582	208 339	199 921

This programme allocation grows from R185.6 million in 2015/16 to R199.9 million in 2018/19, an average nominal growth of 5.6%. The programme biggest cost drivers are compensation of employees Consultants and travel and subsistence. The programme will undertake the National Upgrading Support Programme as well as various direct implementation support activities.

PROGRAMME 4: HOUSING DEVELOPMENT FINANCE

Sub-Programme R'000	Audited outcome			Adjusted Appropriation 2015/16	Indicative allocation		
	2012/13	2013/14	2014/15		2016/17	2017/18	2018/19
Management for Housing Development Finance	1 678	1 940	357	3 017	3 741	3 947	4 003
Chief Investment Officer	17 795	19 023	16 488	18 083	21 342	22 747	22 654
Human Settlements Development Grant	15 395 032	17 028 326	17 084 369	18 302 675	18 283 991	21 060 343	22 281 843
Contributions	912 808	864 648	1 026 839	885 507	792 395	1 240 485	1 505 196
Urban Settlements Development Grant	7 392 206	9 076 906	10 284 684	10 554 345	10 839 468	11 472 247	12 052 137
Municipal Human Settlements Capacity Grant	-	-	300 000	100 000	-	-	-
Total	23 719 519	26 990 843	28 712 737	29 863 627	29 940 937	33 799 769	35 865 833

Economic classification R'000	Audited outcome			Adjusted Appropriation 2015/16	Indicative allocation		
	2012/13	2013/14	2014/15		2016/17	2017/18	2018/19
Current payments	19 393	20 788	16 734	20 222	24 861	26 461	26 412
Compensation of employees	14 821	14 083	13 695	15 287	18 050	19 310	18 904
Goods and services	4 572	6 705	3 039	4 935	6 811	7 151	7 508
Interest and rent on land	-	-	-	-	-	-	-
Transfers and subsidies	23 548 077	26 869 880	28 465 892	29 681 527	29 815 854	33 623 075	35 680 476
Provinces and municipalities	22 787 238	26 105 232	27 669 053	28 957 020	29 123 459	32 532 590	34 333 980
Departmental agencies and accounts	760 833	764 648	796 839	724 507	692 395	1 090 485	1 346 496
Higher education institutions	-	-	-	-	-	-	-
Foreign governments and international organisations	-	-	-	-	-	-	-
Public corporations and private enterprises	-	-	-	-	-	-	-
Non-profit institutions	-	-	-	-	-	-	-
Households	6	-	-	-	-	-	-
Payments for capital assets	74	175	110	878	222	233	245
Buildings and other fixed structures	-	-	-	-	-	-	-
Machinery and equipment	74	175	110	878	222	233	245
Software and other intangible assets	-	-	-	-	-	-	-

Economic classification R'000	Audited outcome			Adjusted Appropriation	Indicative allocation		
	2012/13	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19
Payments for financial assets	151 975	100 000	230 001	161 000	100 000	150 000	158 700
Total	23 719 519	26 990 843	28 712 737	29 863 627	29 940 937	33 799 769	35 865 833

This programme allocation consists mostly of conditional grants and transfer to Departmental Institutions. The allocation grows from R29.8 billion in 2015/16 to R35.8 million in 2018/19, an average nominal growth of 5.8%. The Human Settlements Development Grant, which is a capital transfers grant to provinces allocation grows from R18.2 billion in 2015/16 to R22.2 billion in 2018/19 an average nominal growth of 7%. The Urban Settlements Development Grant, which is a capital transfers grant metropolitan municipalities grows from R10.5 billion in 2015/16 to R12 billion in 2018/19 an average nominal growth of 4.5%. The Municipal Human Settlements Capacity Grant was closed down in 2015/16 financial year.



PART C: LINKS TO OTHER PLANS

8. LINKS TO THE LONG TERM INFRASTRUCTURE AND OTHER CAPITAL PLANS

The Department directly contributes to the infrastructure build programme, across the strategic integrated projects (SIPs) and reports to the progress made to the PICC. A specific intervention on mining towns is the contributor to this, the projects implemented through the Urban Settlements Grants and the partnerships created with sector departments like Water and Sanitation linked to the long term infrastructure plans. The MSP that is currently under development also forms part of the long term infrastructure plan as it seeks to direct human settlements investments along the identified development corridors that will have long term impact on the country's spatial transformation agenda.

The department through its programmes also contributes to the New Growth Path which is the main job driver to ensure effective delivery is to improve both physical infrastructure and human resources. The department's contribution to the SIP's assists in ensuring that the department and the sector plans ahead and move away from the stop-start syndrome around the building of infrastructure. This provides a greater certainty to the construction industry and provides a roadmap for investors and communities.

9. CONDITIONAL GRANTS

Human Settlements Development Grant

The conditional grant enables the Department to provide effective oversight and ensure compliance with the Housing code. The Grant reflects the conditional grant allocation that is transferred to the provinces for Human Settlements Development. Funding is provided on the basis of housing needs, the number of households earning less than R3 500 per month, and the population per province. The grant will continue over the reporting period.

Urban Settlements Development Grant

This is a supplementary capital infrastructure grant with conditions, objects and distribution criteria including infrastructure backlogs aimed at improving outcomes of the application of the equitable share. The Grant reflects the conditional grant to municipalities for infrastructure and human settlements development, to support the upgrading of informal settlements in metropolitan municipalities. The grant will continue over the reporting period.

10. PUBLIC ENTITIES

The Department of Human Settlements has eight public entities reporting to it:

- 1) Estate Agency Affairs Board (EAAB)
- 2) National Housing Finance Corporation (NHFC)
- 3) National Urban Reconstruction and Housing Agency (NURCHA)
- 4) Social Housing Regulatory Authority (SHRA)
- 5) National Home Builders Registration Council (NHBRC)
- 6) Rural Housing Loan Fund (RHLF)
- 7) The Housing Development Agency (HDA)
- 8) Community Schemes Ombud Service (CSOS)

Summarized below are the mandate and key strategic objectives of each of these entities.

HUMAN SETTLEMENT ENTITIES

Summarized below are the mandate and key strategic objectives of each of these entities.

Table 13: Human Settlement Entities

Name of Public Entity	Mandate	Output	Current Budget	Date of next Evaluation
National Housing Finance Corporation (NHFC)	Mobilise funding into human settlements space in partnership with broad range of institutions. It also provides wholesale finance and acts as a fund and risk manager.	<ul style="list-style-type: none"> 3,200 housing opportunities facilitated through disbursements. 2,754 rental housing opportunities facilitated through disbursements. 445 affordable housing opportunities facilitated through disbursements. 19,070 housing opportunities facilitated through leveraged funds. R1.38 billion leveraged from the private sector 	R100,000,000 (capital grant)	1st quarter review: August 2016 2nd quarter review: November 2016 3rd quarter review: February 2017 4th quarter review: May 2017
Estate Agency Affairs Board (EAAB)	Regulate, maintain and promote the standard of conduct of estate agents; issue fidelity fund certificates to qualifying applicants; prescribe the standard of education and training of estate agents; investigate complaints lodged against estate agents, manage the Estate Agents Fidelity Fund.	<ul style="list-style-type: none"> 7% reduction of non-compliant agents and agencies inspected 400 000 radio consumers reached through radio awareness campaigns 90% of compliant estate agents operating in affordable housing markets 3 100 youth recruited on the one learner one state agent programme Fidelity Fund operated to achieve aggregate growth of 5% per annum 30% increase in Estate Agencies operating in the affordable housing market 	-	1st quarter review: August 2016 2nd quarter review: November 2016 3rd quarter review: February 2017 4th quarter review: May 2017

Name of Public Entity	Mandate	Output	Current Budget	Date of next Evaluation
Home Builders Registration Council (NHBRC)	Provide housing consumers with warranty protection against defects in new homes, and to provide protection against any failure of builders to comply with their obligations in terms of the Housing Consumer Protection Measures Act, 1998 (Act 95 of 1998).	<ul style="list-style-type: none"> • 53 994 non-subsidy homes by private sector enrolled • 140 196 subsidy homes enrolled • 40 700 late home enrolments approved • 53 994 non-subsidy enrolled homes inspected • 140 196 subsidy homes enrolled inspected • 4 068 new homebuilder registrations approved • 13 034 renewals of homebuilder registrations • 1 200 homebuilders trained • 2 000 youth trained • 440 home inspectors trained • 351 Artisans trained • 900 women trained • 180 Military Veterans trained 	-	1st quarter review: August 2016 2nd quarter review: November 2016 3rd quarter review: February 2017 4th quarter review: May 2017
Housing Development Agency: (HDA)	Facilitate the release of land and landed properties for human settlements development	<ul style="list-style-type: none"> • 3,000 hectares of well-located land released for human settlement development (targeting poor and middle income households). • Provide 274 informal settlements with technical support. • Provide technical support to 22 projects in mining towns. • 50 Catalytic projects supported 	R167,512,000 (R147,512,000 operational grant and R20,000,000 for the National Upgrading Support Programme)	1st quarter review: August 2016 2nd quarter review: November 2016 3rd quarter review: February 2017 4th quarter review: May 2017
		<ul style="list-style-type: none"> • 9 provinces provided with capacity support as per MTOPs and business plans. • Implementation support provided to programmes and projects providing 6,912 housing units. • Implementation support provided to programmes and projects providing 9539 serviced sites. 		

Name of Public Entity	Mandate	Output	Current Budget	Date of next Evaluation
National Urban Reconstruction and Housing Agency: (NURCHA)	NURCHA's mandate is to ensure the availability of bridging finance to small, medium and established contractors building low and moderate-income housing and related communities facilities and infrastructure	<ul style="list-style-type: none"> 2,500 affordable houses built and sites serviced with NURCHA bridging finance. 12,830 subsidy houses built and sites serviced with NURCHA bridging finance. 4 infrastructure and community facility projects completed with NURCHA bridging finance. 60 contractors trained through the Contractor Finance and Development Programme. 	-	1st quarter review: August 2016 2nd quarter review: November 2016 3rd quarter review: February 2017 4th quarter review: May 2017
Rural Housing Loan Fund: (RHLF)	The RHLF is a development finance institution, established in August 1996 as an association not for gain. The company is mandated to empower low income households in rural areas to access housing credit.	<ul style="list-style-type: none"> 43,187 housing loans disbursed 60% of loans provided to people earning R3,500 or less per month R224.9 million disbursed to retail intermediaries. 50 Rural Housing Vouchers issued. 20 Rural Housing Voucher houses completed. 	R167,512,000 (R147,512,000 operational grant and R20,000,000 for the National Upgrading Support Programme)	1st quarter review: August 2016 2nd quarter review: November 2016 3rd quarter review: February 2017 4th quarter review: May
	It operates as a wholesale lender and thus attains its mandate by providing loans through retail intermediaries to its target market to be utilised for incremental housing purposes.			

Name of Public Entity	Mandate	Output	Current Budget	Date of next Evaluation
Social Housing Regulatory Authority: (SHRA)	Regulate and support the social housing sector in order to accelerate the delivery of sustainable and financially viable social housing projects. It is responsible for the disbursement of institutional investment and capital grants to social housing institution	<ul style="list-style-type: none"> • Norms and standards for social housing developed and approved • 6 New social housing institutions accredited • 50 Social housing institutions re-accredited • 10,000 Units approved for RCG award. • 3,500 Social housing units delivered 	R500,963,000 (R36,392,000 operational grant, R40,183,000 institutional investment grant and R424,388,000 restructuring capital grant)	1st quarter review: August 2016 2nd quarter review: November 2016 3rd quarter review: February 2017 4th quarter review: May 2017
Community Schemes Ombud Service (CSOS)	To provide a dispute resolution service for community schemes, monitor and control the quality of all sectional title schemes governance documentation and to take custody of, preserve and provide public access to scheme governance documentation.	<ul style="list-style-type: none"> • 800 Conciliation settlements achieved • 200 Adjudication orders achieved at specified service levels as per the dispute resolution model. • 80% of Conciliation settlement agreements concluded within 40 days 	R23,920,000	1st quarter review: August 2016 2nd quarter review: November 2016 3rd quarter review: February 2017 4th quarter review: May 2017

11. Public private partnerships

The department is partnering with the Banking Association South Africa in intervening in the housing markets through the implementation of the housing programmes catering for various households. The department also has formed strategic partnership with the Development Bank of Southern Africa in the implementation of infrastructure projects that contribute to the achievement of the human settlements mandate.

Name PPP	Purpose	Outputs	Current Value of Agreement	Date when agreement expires
A Social Contract for the Development of Sustainable Human Settlements: Building Partnerships for the accelerated delivery	<p>The Social Contract for Sustainable Human Settlements is a statement of intent to work together for a common goal, whilst appreciating and understanding the relationship between the stakeholders. It provides a framework for partnerships and targeted resource mobilization, and articulates stakeholders' commitment to develop answers collectively for a shared future.</p> <p>As a result of this contract, which is a framework for specific partnerships, individual private sector developers/ financial institutions would enter into direct agreements with the department through the HDA.</p>	Increased property markets for the different beneficiary needs	In principle the private sector, through catalytic projects would contribute about R210 billion towards the Human Settlements Development	The actual Social Contract would be reviewed after 5 years from the date of commencement, 2014, and it would be up to such review whether it should be renewed

Annexure E:

TECHNICAL INDICATOR DESCRIPTION

Programme 1: Administration

Strategic Outcome Oriented Goal 1	Enhanced efficiency and effectiveness of the department
Strategic Objective	To Promote efficient and effective administrative processes
Indicator title	% compliance with statutory tabling and prescripts
Short definition	<p>The indicators measures levels of compliance to the legislated key governance legislation and prescripts that include:</p> <ul style="list-style-type: none"> • Adherence to the Treasury Framework for the development of Strategic and Annual Performance Plans • Framework for managing performance information and reporting • Framework for the developing and submitting Interim and Annual Financial Statements • Estimated National Expenditure (ENE) • Departmental Procurement Plan • MPAT processes and improvement plan
Purpose/importance	To monitor and ensure compliance with relevant key governance prescripts and requirements (PFMA, Treasury Regulations, Framework for Strategic Plans and Annual Performance Plans and Guides) and enhance accountability and stakeholder/public confidence
Source/collection of data	<p>Reports on:</p> <ul style="list-style-type: none"> • The development of Strategic and Annual Performance Plans • Quarterly performance reports • Annual Reports • Reports on Interim and Annual Financial Statements developed and submitted • Estimated National Expenditure (ENE) • Departmental Procurement Plan • Report on the implementation of MPAT processes and improvement plan
Method of calculation	Quantitative and Quantitative verification of compliance to ensure that all the prescribed timeframes are adhered to when submitting the Departmental plans and report specifically Strategic and Annual Performance Plans (1st and 2nd drafts submitted to DPME), Quarterly Performance Reports, Interim Financial Statements, ENE and MPAT processes.
Data limitations	Non-compliance with relevant key governance prescripts and requirements
Type of indicator	Process
Calculation type	Non-cumulative
Reporting cycle	Quarterly
New indicator	Yes
Desired performance	100% Compliance with relevant key governance prescripts and requirements
Indicator responsibility	<p>CD: Enterprise Architecture CD: Financial Management CD: Regulatory Compliance</p>

Portfolio of Evidence	Reports on: <ul style="list-style-type: none"> • The development of Strategic and Annual Performance Plans • Quarterly performance reports • Annual Reports • Reports on Interim and Annual Financial Statements developed and submitted • Estimated National Expenditure (ENE) • Departmental Procurement Plan • Report on the implementation of MPAT processes and improvement plan
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Strategic Outcome Oriented Goal 1	Enhanced efficiency and effectiveness of the department
Strategic Objective	To Promote efficient and effective administrative processes
Indicator title	Unqualified audit report
Short definition	The indicator of Unqualified audit report is a process and output indicator that required the accounting Officer and Management to develop and implement effective and efficient systems of internal control for financial management, organizational performance management and ensure full compliance with relevant laws and regulations to achieve the unqualified audit.
Purpose/importance	To ensure compliance with good corporate governance principles, prescripts and regulations
Source/collection of data	Audited annual financial and performance reports
Method of calculation	Indicator will be calculated based on audits conducted and management letters issued that would result into the targeted performance
Data limitations	Non-availability of performance information
Type of indicator	Compliance (efficiency)
Calculation type	Non-cumulative
Reporting cycle	Quarterly
New indicator	Yes
Desired performance	Unqualified audit report
Indicator responsibility	CFO COO CD: Financial Management CD: Enterprise Architecture CD: Regulatory Compliance
Portfolio of Evidence	Reports on internal controls put in place, Report on the implementation of audit recommendations, An Audit report issued by AGSA

Strategic Outcome Oriented Goal 1	Enhanced efficiency and effectiveness of the department
Strategic Objective	To Promote efficient and effective administrative processes
Indicator title	% adherence to Cabinet and cluster schedule as per approved protocol
Short definition	To ensure compliance to cabinet requirements as prescribed and as per the requirements by Parliamentary Committees and Cabinet
Purpose/importance	Ensure that the Department meets its obligations related to Parliament and Cabinet. (Trias Politica)
Source/collection of data	Reports submitted to Parliament as prescribed
Method of calculation	Number of cabinet and cluster sessions the Department adhering to divided by total cabinet and cluster schedule as per approved protocol
Data limitations	Sourcing replies/information to Parliamentary Questions and presentations to committees of Parliament timely.
Type of indicator	Effectiveness and efficiency.
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Optimal performance/ Achieved Target
Indicator responsibility	Chief Director: Executive Support
Portfolio of Evidence	Reports on Parliamentary and Cabinet engagements

Strategic Outcome Oriented Goal 1	Enhanced efficiency and effectiveness of the department
Strategic Objective	To Promote efficient and effective administrative processes
Indicator title	Percentage implementation of approved audit plans
Short definition	Implementation of the internal audit plan, which is an annual plan with audits to be conducted in a particular financial year.
Purpose/importance	Audits completed will provide assurance in the adequacy and effectiveness of the departmental controls, risk management and governance process, as well as areas of improvement to be considered by management.
Source/collection of data	Risk assessment, management, external audit report and red flags
Method of calculation	Number of audit plans implemented quarterly divided by total number of audit plans to be implemented for the year.
Data limitations	Incomplete, inaccurate information and lack of co-operation from stakeholders
Type of indicator	Efficiency and effectiveness
Calculation type	Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Effectiveness of the internal controls, risk management and governance processes
Indicator responsibility	Chief Director: Internal Audit, Risk Management and Special Investigations
Portfolio of Evidence	Approved three year and one year operational audit plan. Status/progress Report on the implementation Internal Audit Plan. Internal Audit Reports issued

Strategic Outcome Oriented Goal 1	Enhanced efficiency and effectiveness of the department
Strategic Objective	To Promote efficient and effective administrative processes
Indicator title	Percentage Implementation of the approved risk management plan
Short definition	Risk management implementation plan is aligned to risk management strategy and unpacks all risk management activities that needs to be implemented for the particular year including responsible persons and targets dates.
Purpose/importance	The risk management plan is the measure as to how risk management strategy has been implemented
Source/collection of data	Risk management policy, strategy and guidelines, inputs from management, Risk Management Committee, Risk Champions Forums and Audit Committee
Method of calculation	Qualitative
Data limitations	Incomplete, inaccurate, lack of timeous information and lack of co-operation from stakeholders
Type of indicator	Efficiency and effectiveness
Calculation type	Non-cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Risk management embedded in day to day activities of the department and considered during decision making processes
Indicator responsibility	Chief Director: Internal Audit, Risk Management and Special Investigations
Portfolio of Evidence	Top ten risk of the department Branch Risk Registers Operational Risk Registers Mitigation plans

Strategic Outcome Oriented Goal 1	Enhanced efficiency and effectiveness of the department
Strategic Objective	To Promote efficient and effective administrative processes
Indicator title	Percentage Implementation of the Approved anti-fraud and corruption plan
Short definition	Anti-Fraud and Corruption plan is aligned to Anti-Fraud and Corruption Strategy and unpacks all the components of the Anti-Fraud and Corruption Strategy that needs to be implemented for the particular year including responsible persons and targets dates.
Purpose/importance	The anti-fraud and corruption implementation plan is the measure as to how the anti-fraud and corruption strategy has been implemented
Source/collection of data	Anti-Fraud and Corruption Strategy and quarterly status report on the implementation of Anti-Fraud and Corruption Strategy
Method of calculation	Qualitative
Data limitations	Incomplete, inaccurate, lack of timeous information and lack of co-operation from stakeholders
Type of indicator	Efficiency and effectiveness
Calculation type	Non-cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Improve efficiency in the prevention, detection and reduction of corrupt activities within the sector
Indicator responsibility	Chief Director: Internal Audit, Risk Management and Special Investigations
Portfolio of Evidence	Status report on the implementation of the Anti-Fraud and Corruption plan

Strategic Outcome Oriented Goal 1	Enhanced efficiency and effectiveness of the department
Strategic Objective	To Promote efficient and effective administrative processes
Indicator title	% of PAIA information requests processed
Short definition	Processing of PAIA requests for information/ records held by the department
Purpose/importance	To measure compliance to the provisions of PAIA
Source/collection of data	Registers, compliance reports
Method of calculation	Number of PAIA requests processed quarterly divided by number of PAIA request received for the quarter.
Data limitations	None
Type of indicator	Output
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	Yes
Desired performance	Full compliance to Promotion of Access to Information Act
Indicator responsibility	Chief Director: Corporate Support
Portfolio of Evidence	Quarterly reports on request processed on access to information as per the provisions of the act

Strategic Outcome Oriented Goal 1	Enhanced efficiency and effectiveness of the department
Strategic Objective	A capacitated and diverse workforce in a conducive working environment
Indicator title	Percentage compliance to HR Statutory Requirements
Short definition	To implement initiatives contained in the HR Plan and comply with all HR statutory requirements and all relevant DPSA directives and PSCBC resolutions
Purpose/importance	To maximize the productivity of the National Department by optimizing the effectiveness of its employees
Source/collection of data	Analysis of DPSA HR Prescripts/ directives
Method of calculation	Number of all DPSA directives and PSCBC resolutions implemented divide by all applicable DPSA directives and PSCBC resolutions
Data limitations	Data is based on what is updated in PERSAL system depends on what is updated in the system
Type of indicator	Reports & Attendance registers
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	New
Desired performance	100% Implementation of the approved HR Plan
Indicator responsibility	Chief Director: Human Resources Management
Portfolio of Evidence	Approved annual WSP report & quarterly training & development & expenditure PSETA reports & annual HRD Implementation Plan & Monitoring Tool

Strategic Outcome Oriented Goal 1	Enhanced efficiency and effectiveness of the department
Strategic Objective	A capacitated and diverse workforce in a conducive working environment
Indicator title	Percentage implementation of HR Plan
Short definition	To implement initiatives contained in the HR Plan to attainment of the Departmental Strategic Plan and MTSF priorities
Purpose/importance	To maximize the productivity of the National Department by ensuring that the department attains its pre-determined objectives
Source/collection of data	Progress report on the implementation of the HR Plan, Persal and Vulindlela
Method of calculation	Target achieved divided by all the milestones planned in the HR Plan for that period
Data limitations	Data is based on what is updated in PERSAL system depends on what is updated in the system
Type of indicator	Reports & Attendance registers
Calculation type	Cumulative
Reporting cycle	Quarterly
New indicator	New
Desired performance	100% Implementation of the approved HR Plan
Indicator responsibility	Chief Director: Human Resources Management
Portfolio of Evidence	Approved annual WSP report & quarterly training & development & expenditure PSETA reports & annual HRD Implementation Plan & Monitoring Tool

Strategic Outcome Oriented Goal 1	Enhanced efficiency and effectiveness of the department
Strategic Objective	Effective and efficient legal support
Indicator title	Percentage of litigation matters received and attended to
Short definition	Attended to means work performed in terms of the SOP from date of receipt up to the relevant stage or process as determined by the court rules and/or the SOP.
Purpose/importance	To provide effective litigation management and advisory services to the Department
Source/collection of data	<ul style="list-style-type: none"> Legislation Court Rulings Legal opinions Legal Research
Method of calculation	Target achieved (matters received and attended to) divided by all matters received for that period (quarter or annual).
Data limitations	Lack of electronic legal library
Type of indicator	Compliance with court rules, legislative framework
Calculation type	Non-cumulative
Reporting cycle	Quarterly
New indicator	Yes
Desired performance	<ul style="list-style-type: none"> Signing of motions on time Cooperation from relevant parties
Indicator responsibility	Chief Director: Legal Services
Portfolio of Evidence	Yes, provided litigation handled and opinions and advices provided

Strategic Outcome Oriented Goal 1	Enhanced efficiency and effectiveness of the department
Strategic Objective	Effective and efficient legal support
Indicator title	Percentage of contracts processed within reasonable timeframes
Short definition	Reasonable time frames mean processing within eight working days from having received all supporting documentation from the requesting unit
Purpose/importance	To provide effective legal support to the Department in concluding contract with service providers
Source/collection of data	<ul style="list-style-type: none"> Legislation Court Rulings Legal Research
Method of calculation	number of contracts processed within reasonable time (as per Contract processing SoP) divide by all contracts received for processing
Data limitations	Lack of electronic legal library
Type of indicator	Compliance with court rules, legislative framework
Calculation type	Non-cumulative
Reporting cycle	Quarterly

New indicator	Yes
Desired performance	Legal Sound contracts
Indicator responsibility	Chief Director: Legal Services
Portfolio of Evidence	Report on contracts processed

Strategic Outcome Oriented Goal 1	Enhanced efficiency and effectiveness of the department
Strategic Objective	Effective and efficient legal support
Indicator title	Number of reports on the development of the legislative framework as per approved Legislative programme
Short definition	The indicator envisages that all the targets in the approved Legislative Programme will have been at various stages of drafting
Purpose/importance	To develop legislative framework that will regulate Human Settlements sector
Source/collection of data	<ul style="list-style-type: none"> • Policy • The Constitution • Office of the State Law Advisors • Other relevant pieces of legislation • Entities of the department • NEDLAC • Public hearings/participation
Method of calculation	One report per quarter will record all achieved stages on development of legislative framework as per approved legislative programme. The approved legislative programme will be the basis of performance and performance management will be measured against what is planned in the approved legislative programme.
Data limitations	None
Type of indicator	Output
Calculation type	Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Develop legislative framework to regulate Human Settlement Sector
Indicator responsibility	Chief Directorate: Legal Services
Portfolio of Evidence	Reports on development of the legislative framework as per approved Legislative programme

Strategic Outcome Oriented Goal 1	Enhanced efficiency and effectiveness of the department
Strategic Objective	The ICT infrastructure is , reliable, secure and available
Indicator title	% availability of the HSS Database Systems to province
Short definition	Provision of IT support to the department and to provinces
Purpose/importance	Enable functionality of the department and allow capturing of delivery information on HSS
Source/collection of data	System reports on the availability of systems
Method of calculation	Percentage of time that the HSS database is available during office hours
Data limitations	None
Type of indicator	Output
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	Yes
Desired performance	99.9% HSS information accessible on database systems
Indicator responsibility	Chief Director IMS & IT
Portfolio of Evidence	Quarterly progress/ compliance reports on facilitated public access to HSS information on database Systems. Report on availability of HSS during office hours

Strategic Outcome Oriented Goal 1	Enhanced efficiency and effectiveness of the department
Strategic Objective	The ICT infrastructure is , reliable, secure and available
Indicator title	Percentage availability of IT Services
Short definition	Provide 90% Internal Information Technology Support Systems to internal corporate access
Purpose/importance	To support the department in accessing efficient and effective ITS Systems for performing business operations
Source/collection of data	Footprint (Help Desk System) and User Survey Reports
Method of calculation	Check the percentage availability of the IT systems to officials of DHS
Data limitations	None
Type of indicator	Output
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	Yes
Desired performance	100% access to IT Systems
Indicator responsibility	Chief Director IMS & IT
Portfolio of Evidence	Quarterly progress/ compliance reports on percentage of availability of IRT Systems to DHS officials

Strategic Outcome Oriented Goal 1	Enhanced efficiency and effectiveness of the department
Strategic Objective	Promote internal and external communication on human settlements
Indicator title	% implementation of the approved communication strategy
Short definition	Implementation of communication strategy
Purpose/importance	Communicate the Department's policies and programmes to all stakeholders and clients
Source/collection of data	Quantitative and Qualitative
Method of calculation	Number of communication milestones achieve divide by number of communication milestones planned for that period (quarter/annual).
Data limitations	Work not profiled and not enabling the department to report
Type of indicator	Outcome
Calculation type	Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Improved profiling of the work done by the department
Indicator responsibility	Chief Director Communications
Portfolio of Evidence	

Strategic Outcome Oriented Goal 1	Enhanced efficiency and effectiveness of the department
Strategic Objective	Promote internal and external communication on human settlements
Indicator title	Number of consumers reached through various communication platforms
Short definition	Reports on implemented communication strategy
Purpose/importance	Communicate the Department's policies and programmes to all stakeholders and clients
Source/collection of data	Reports (Media clippings, campaigns, posters)
Method of calculation	Simple count of Consumers reached through various communication platforms
Data limitations	None
Type of indicator	Reports on implemented communication strategy
Calculation type	Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	100 percent performance in implementing the communication strategy
Indicator responsibility	Chief Director Communications
Portfolio of Evidence	Reports on implemented communication strategy

Programme 2: HS Policy, Strategy and Planning

Strategic Outcome Oriented Goal 2	Integrated and responsive housing/human settlements sector planning and policy environment
Strategic Objective	Establishment of partnerships for human settlements
Indicator title	A Multiyear human settlements development plan Developed
Short definition	Establishment of horizontal and vertical consultative mechanisms among spheres of government contributing to human settlements development, Strengthening of partnerships with private sector for human settlements development
Purpose/importance	To measure the alignment of human settlements development plans to other sectorial spheres of government
Source/collection of data	Memorandum of agreements entered into and reports on their implementation, Provincial Business Plans and BEPP's
Method of calculation	Simple count and verification of the existence of Multi-year human settlements development plan
Data limitations	Lack of cooperation by stakeholders
Type of indicator	Output
Calculation type	cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Human settlements development plans aligned to other sectoral delivery initiatives
Indicator responsibility	CD Planning
Portfolio of Evidence	An approved Multiyear human settlements development plan

Strategic Outcome Oriented Goal 2	Integrated and responsive housing/human settlements sector planning and policy environment
Strategic Objective	Development of human settlements policies and programmes for improved alignment
Indicator title	Approved National Human Settlements Development Plan for Provinces
Short definition	An Annual Business Plan for Human Settlements Development aligned with other sectorial spheres of government
Purpose/importance	To ensure that planned projects are in line with Human Settlements Priorities (MTSF) and are coordinated and aligned to other sectorial spheres development initiatives
Source/collection of data	Provincial Business Plans
Method of calculation	Verification of the Provincial Business Plans submitted
Data limitations	Incomplete, inaccurate information from the provincial business plans
Type of indicator	Output indicator
Calculation type	Cumulative
Reporting cycle	Quarterly
New indicator	No

Desired performance	Human Settlements Development aligned with other sectorial spheres of government
Indicator responsibility	CD Planning
Portfolio of Evidence	Approved Multi-Year Plan and Annual National Human Settlements Development Plan for Provinces

Strategic Outcome Oriented Goal 2	Integrated and responsive housing/human settlements sector planning and policy environment
Strategic Objective	Development of human settlements policies and programmes for improved alignment
Indicator title	Guidelines for Human Settlements Planning and Design revised (Red Book)
Short definition	A guideline for human settlements spatial planning and design
Purpose/importance	Ensures that human settlements spatial planning practices are adhered to
Source/collection of data	Provincial business plans and readiness matrix
Method of calculation	Verification of the existence of the revised guidelines for HS Planning and design
Data limitations	Lack of financial resource, and lack of co-operation from stakeholders
Type of indicator	Output Indicator
Calculation type	Cumulative for the year
Reporting cycle	Quarterly
New indicator	Yes
Desired performance	Human Settlements investments directed along the priority precincts
Indicator responsibility	CD Planning
Portfolio of Evidence	A draft revised red book

Strategic Outcome Oriented Goal 2	Integrated and responsive housing/human settlements sector planning and policy environment
Strategic Objective	Development of human settlements policies and programmes for improved alignment
Indicator title	Approved Human Settlements Master Spatial Plan
Short definition	A Master Spatial Framework that will guide Framework to ensure spatial, social and economic integration (spatial targeting) of human settlements developed
Purpose/importance	To direct human settlements investments along the priority precincts / corridors
Source/collection of data	SDF for provinces and municipalities, Provincial Business plans
Method of calculation	Verification of the existence of the approved HS Master Spatial Plan
Data limitations	Unavailability of geo-spatially referenced information on planned projects
Type of indicator	Output
Calculation type	Cumulative
Reporting cycle	Quarterly

New indicator	Yes
Desired performance	Human Settlements investments directed along the priority areas
Indicator responsibility	CD Planning
Portfolio of Evidence	Approved MSP , Reports on consultation with other spheres of government and stakeholders

Strategic Outcome Oriented Goal 2	Integrated and responsive housing/human settlements sector planning and policy environment
Strategic Objective	Development of human settlements policies and programmes for improved alignment
Indicator title	Number of title deeds backlog eradicated
Short definition	To track performance on the eradication of title deeds
Purpose/importance	To manage performance in relation to the title deeds backlog
Source/collection of data	Progress reports (POA)
Method of calculation	Simple count and verification of the presence of reports on the eradication of title deeds backlog
Data limitations	Poor reporting and lack of cooperation by stakeholders
Type of indicator	Output
Calculation type	Cumulative
Reporting cycle	Quarterly
New indicator	Yes
Desired performance	Eradication of the title deeds backlog
Indicator responsibility	CD Planning
Portfolio of Evidence	A status report on the number of title deeds eradicated

Strategic Outcome Oriented Goal 2	Integrated and responsive housing/human settlements sector planning and policy environment
Strategic Objective	Development of human settlements policies and programmes for improved alignment
Indicator title	Number of Hectares of well-located land acquired, rezoned, and released for new developments
Short definition	To track performance on the acquiring, rezoning and release of well-located land for new developments
Purpose/importance	To manage performance in relation to acquiring, rezoning and release of well-located land for new developments
Source/collection of data	Progress reports (POA)
Method of calculation	Simple count and verification of the presence of reports on hectares of land rezoned and released for HS development targeting poor households
Data limitations	Poor reporting and lack of cooperation by stakeholders
Type of indicator	Output
Calculation type	Cumulative
Reporting cycle	Quarterly

New indicator	Yes
Desired performance	Well located Land acquired for human settlements development rezoned and released for human settlements
Indicator responsibility	CD Planning
Portfolio of Evidence	A status report detailing the hectares of land acquired, rezoned and released for new developments

Strategic Outcome Oriented Goal 2	Integrated and responsive housing/human settlements sector planning and policy environment
Strategic Objective	D Development of human settlements policies and programmes for improved alignment
Indicator title	Approved Human Settlements White Paper
Short definition	The development of the Green/ Draft White Paper for Human Settlements
Purpose/importance	To guide human settlements planning and implementation to better realise constitutional housing rights
Source/collection of data	Research reports, recorded proceedings of the Consultative Engagements
Method of calculation	Simple count and verification of the presence of an approved HS White Paper
Data limitations	Lack of inputs and cooperation by stakeholders
Type of indicator	Output
Calculation type	Cumulative
Reporting cycle	Quarterly
New indicator	Yes
Desired performance	Green/ A draft White Paper
Indicator responsibility	CD : Operational Frameworks
Portfolio of Evidence	Research reports, recorded proceedings of the Consultative Engagements and Green / A draft White Paper on Human Settlements

Strategic Outcome Oriented Goal 2	Integrated and responsive housing/human settlements sector planning and policy environment
Strategic Objective	Development of human settlements policies and programmes for improved alignment
Indicator title	Human settlements code approved
Short definition	The review of the housing code to human settlements code to guide programme implementation
Purpose/importance	To guide programme implementation through the application of norms and standards applicable to the housing /human settlements sector
Source/collection of data	Research reports on the review of the existing programmes
Method of calculation	Simple count and verification of approved Human Settlements regulations
Data limitations	Lack of cooperation by stakeholders
Type of indicator	Output

Calculation type	Cumulative
Reporting cycle	Quarterly
New indicator	Yes
Desired performance	A revised Human settlements Code
Indicator responsibility	CD : Operational Frameworks
Portfolio of Evidence	A draft Framework for Human Settlements Code

Strategic Outcome Oriented Goal 2	Integrated and responsive housing/human settlements sector planning and policy environment
Strategic Objective	Development of human settlements policies and programmes for improved alignment
Indicator title	A Revised Accreditation Framework
Short definition	Framework for accreditation of Municipalities revised
Purpose/importance	Measure progress on the accreditation of municipalities to perform housing administrative function
Source/collection of data	Reports on the number of municipalities accredited
Method of calculation	Qualitative
Data limitations	Lack of information on the process and lack of cooperation by Provinces and Municipalities
Type of indicator	Output
Calculation type	cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	A revised accreditation framework, All 21 Municipalities accredited at various levels to perform housing administrative function
Indicator responsibility	CD : Operational Frameworks
Portfolio of Evidence	A revised accreditation framework , A report on the number of municipalities accredited

Strategic Outcome Oriented Goal 2	Integrated and responsive housing/human settlements sector planning and policy environment
Strategic Objective	Establishment and strengthening of partnerships for human settlements
Indicator title	Number of Provinces and Metros supported on the implementation of PHP Policy
Short definition	Provinces and Municipalities including Metros are supported in the implementation of the enhanced PHP Policy Framework by advocating and creating awareness on the Policy, in collaboration with provinces and metros identify communities interested in PHP, conduct PHP capacity development initiatives to the Provinces, Municipalities and Metros, PHP Sector Support Agencies and communities, support Provinces/Municipalities and Metros with PHP project pipelining by facilitating PHP planning and conduct oversight on the roll-out of the Programme in all provinces through monitoring and evaluating the implementation of PHP in line with approved provincial business plans
Purpose/importance	The indicator demonstrates the Department's intervention in the implementation of the PHP Policy as it contributes to the achievement of the human settlements outcomes (housing opportunities, enhances the self-help methodology, builds community and contributes to the achievement of the NDP by capacity building and Empowerment)
Source/collection of data	Reports on engagements with Provinces/ Municipalities and Metros Reports on all PHP capacity development workshops conducted Reports on PHP projects planned Reports on oversight conducted to PHP projects
Method of calculation	Simple count and verification of support provided to provinces and metros on implementation of PHP policy
Data limitations	Lack of planning for PHP by some Provinces (only six (6) Provinces implementing PHP
Type of indicator	Output / Process
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	Yes
Desired performance	All the nine (9) Provinces implementing the PHP
Indicator responsibility	Chief Director: IGR: DDG Human Settlements planning
Portfolio of Evidence	Reports on engagements with Provinces/ Municipalities and Metros Reports on all PHP capacity development workshops conducted Reports on PHP projects planned Reports on oversight conducted to PHP projects

Strategic Outcome Oriented Goal 2	Integrated and responsive housing/human settlements sector planning and policy environment
Strategic Objective	Establishment and strengthening of partnerships for human settlements development
Indicator title	A report on stakeholder participating on Human Settlements Development
Short definition	FMobilisation and strengthening of stakeholder participation on Human Settlements Development through IGR engagements and oversight to achieve the Human Settlements/ MTSF outcomes (Public, private sector, NGO's/ CBO's and international stakeholders)
Purpose/importance	The indicator seeks to promote stakeholder participation (Public and Private sector) on human settlements development, ensure that there is improved intergovernmental coordination within the sector and with other sectoral spheres of government and track performance through quarterly reviews
Source/collection of data	Memorandum of agreements entered into, reports on stakeholder forums held, Service level agreements signed and implemented, Report on quarterly reviews conducted
Method of calculation	Simple count and verification of the existence of report on stakeholder participation to human settlements development
Data limitations	Lack of cooperation by stakeholders, inaccurate reports submitted
Type of indicator	Output
Calculation type	Non-cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Increased stakeholder participation in human settlements development and improved intergovernmental coordination to achieve human settlements outcomes
Indicator responsibility	DDG: Human Settlements Strategy, Chief Director Stakeholder relations and IGR, Chief Director Executive Support
Portfolio of Evidence	<ul style="list-style-type: none"> • Reports detailing stakeholders mobilized and participating in human settlements development. • A report on the number of service level agreements signed and implemented across the sector. • Signed agreements with other sectoral spheres of government • A report detailing the contribution of the financial institutions towards human settlements development • A report detailing the functioning of the IGR Forum (Quarterly reviews) • A report on MINMEC, Implementation Forums and Technical MINMEC. • A report on international engagements to achieve Human Settlements outcomes

Programme 3: Programme Monitoring and Delivery Support

Strategic Outcome Oriented Goal 3	Increased delivery of adequate housing in quality living environments
Strategic Objective	Provide implementation support on the delivery of adequate housing
Indicator title	Number of catalytic projects implemented
Short definition	Catalytic Projects are the National priority projects aimed at addressing spatial, social and economic integration
Purpose/importance	To achieve better spatial targeting
Source/collection of data	Collected from Provincial plans and Built Environment Performance Plans (BEPPs)
Method of calculation	Simple count of catalytic project implemented
Data limitations	Reliability and credibility of information obtained from Provinces and metros
Type of indicator	Output
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Functional settlements that are spatially, socially and economically integrated
Indicator responsibility	Chief Director: Program and Project Planning
Portfolio of Evidence	Quarterly Reports on Catalytic project Implemented.

Strategic Outcome Oriented Goal 3	Increased delivery of adequate housing in quality living environments
Strategic Objective	Provide implementation support on the delivery of adequate housing
Indicator title	Number of human settlement interventions implemented in mining towns
Short definition	Human Settlements interventions in mining towns to address with housing needs
Purpose/importance	Intervention to deal with housing / human settlements challenges in mining towns
Source/collection of data	Reports on interventions implemented
Method of calculation	simple count of HS interventions in mining towns
Data limitations	Reliant on credible information obtained from Provinces
Type of indicator	Output and Outcome
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	Yes
Desired performance	Mining communities benefiting on human settlements interventions
Indicator responsibility	Chief Director: Program and Project Planning
Portfolio of Evidence	Reports on Mining town interventions implemented

Strategic Outcome Oriented Goal 3	Increased delivery of adequate housing in quality living environments
Strategic Objective	Provide implementation support on the delivery of adequate housing
Indicator title	Number of feasibilities on informal settlements conducted
Short definition	Assessment and Categorisation of informal settlements within Municipalities
Purpose/importance	Assessment of informal settlements in line with National Development Directives to determine the possible future development of the settlements. Informal settlements are assessed to determine whether the settlements can be upgraded in situ, whether the settlement needs to be relocated to alternative land or whether part of the settlement can be upgraded in situ and part of the community needs to be relocated.
Source/collection of data	Reports
Method of calculation	Simple count of feasibilities on informal settlements conducted
Data limitations	Lack of cooperation by stakeholders
Type of indicator	Output
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Informal settlements assessed to inform human settlements planning broadly across all spheres
Indicator responsibility	CD: Programme Implementation Facilitation
Portfolio of Evidence	Reports on feasibilities on informal settlements conducted

Strategic Outcome Oriented Goal 3	Increased delivery of adequate housing in quality living environments
Strategic Objective	Provide implementation support on the delivery of adequate housing
Indicator title	Number of informal settlement upgrading plans developed
Short definition	Settlement level plans for informal settlements
Purpose/importance	Development of upgrade plans to enable the upgrade process
Source/collection of data	Reports
Method of calculation	Simple count of informal settlements upgrading plans developed
Data limitations	Incomplete information
Type of indicator	Output
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Informal Settlements Upgrading Plans developed, integrated in the municipalities IDP and prioritised
Indicator responsibility	CD: Programme Implementation Facilitation
Portfolio of Evidence	Reports on the upgrade plans developed

Strategic Outcome Oriented Goal 3	Increased delivery of adequate housing in quality living environments
Strategic Objective	Provide implementation support on the delivery of adequate housing
Indicator title	Number households upgraded to phase 2 of the informal settlements upgrading programme
Short definition	Households upgraded to phase 2 in terms of the Upgrading of Informal Settlements Programme (UISP)
Purpose/importance	To ensure that the households have access to basic (phase 2) services
Source/collection of data	Reports from Provinces and Municipalities
Method of calculation	Non-Cumulative
Data limitations	Inaccurate and incomplete information
Type of indicator	Simple count of households upgraded to phase 2
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Households have access to basic services
Indicator responsibility	CD: Programme Implementation Facilitation
Portfolio of Evidence	Outcome 8 Report (POA)

Strategic Outcome Oriented Goal 3	Increased delivery of adequate housing in quality living environments
Strategic Objective	Provide implementation support on the delivery of adequate housing
Indicator title	Number of subsidy housing opportunities provided
Short definition	Provisioning of housing units for subsidy housing market (This includes units for military veterans).
Purpose/importance	To provide access to adequate housing in quality living environments
Source/collection of data	POA reports
Method of calculation	Simple count of housing units for subsidy housing opportunities
Data limitations	Inaccurate and incomplete information
Type of indicator	Output
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Poor households provided with adequate housing in quality living environments
Indicator responsibility	CD: Programme Implementation Facilitation
Portfolio of Evidence	Outcome 8 Report

Strategic Outcome Oriented Goal 3	Increased delivery of adequate housing in quality living environments
Strategic Objective	Provide implementation support on the delivery of adequate housing
Indicator title	Number of affordable Rental Housing opportunities delivered
Short definition	Provide affordable Rental Housing opportunities across all income bands
Purpose/importance	To deal with housing challenges and market related challenges with respect to housing.
Source/collection of data	Reports from Entities, Provinces and Metros (POA)
Method of calculation	simple count of affordable rental housing opportunities
Data limitations	Reliant on credible information obtained
Type of indicator	Output
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Increase in affordable rental housing
Indicator responsibility	Director: Rental Housing CODHI
Portfolio of Evidence	Number of affordable Rental Housing opportunities delivered

Strategic Outcome Oriented Goal 3	Increased delivery of adequate housing in quality living environments
Strategic Objective	Provide implementation support on the delivery of adequate housing
Indicator title	Number of Social Housing units provided
Short definition	Provide affordable Rental Housing opportunities
Purpose/importance	Increase in rental accommodation
Source/collection of data	POA report
Method of calculation	simple count of social housing units
Data limitations	Reliant on credible information obtained from Provinces
Type of indicator	Output
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Affordable rental housing opportunities created
Indicator responsibility	Director: Rental Housing CODHI
Portfolio of Evidence	Reports on affordable Rental Housing opportunities delivered

Strategic Outcome Oriented Goal 3	Increased delivery of adequate housing in quality living environments
Strategic Objective	Provide implementation support on the delivery of adequate housing
Indicator title	Number of Community Residential Units (CRU) delivered
Short definition	Delivery of the Community Residential Units to ensure that rental stock is available to the low-income households
Purpose/importance	Rental accommodation made available
Source/collection of data	Provinces and municipalities Reports
Method of calculation	simple count of Community Residential Units
Data limitations	Data accuracies
Type of indicator	Output
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Delivery of rental stock
Indicator responsibility	Director: Rental Housing CODHI
Portfolio of Evidence	Reports on Community Residential Units (CRU) delivered

Strategic Outcome Oriented Goal 3	Increased delivery of adequate housing in quality living environments
Strategic Objective	Enhanced sector monitoring and evaluation
Indicator title	Percentage of projects under implementation monitored and verified
Short definition	Percentage of projects financed through the HSDG and USDG monitored and verified
Purpose/importance	Verification of the performance reported by the Provincial Department of Human Settlements and Metropolitan Municipalities
Source/collection of data	HSS, Provincial Department of Human Settlements and Metropolitan Municipalities, POA
Method of calculation	Number of projects monitored and verified divide by number of all projects financed through the HSDG and USDG.
Data limitations	Data inconsistencies
Type of indicator	Output
Calculation type	Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Reliable and Verifiable performance information
Indicator responsibility	CD: Programme Monitoring and Evaluation
Portfolio of Evidence	Reports on the performance of Provinces and Metropolitan Municipalities with the implementation of human settlements and projects

Strategic Outcome Oriented Goal 3	Increased delivery of adequate housing in quality living environments
Strategic Objective	Enhanced sector monitoring and evaluation
Indicator title	Number of Quarterly reports on the implementation of Outcome 8
Short definition	POA report on the performance of the sector
Purpose/importance	Managing performance in line with the grants disbursed
Source/collection of data	Delivery reports from PHSDs and data extracted from the HSS
Method of calculation	Simple count of reports on implementation of Outcome 8
Data limitations	Data Inconsistencies
Type of indicator	Output
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Updated and reliable data analysis reporting
Indicator responsibility	CD: Programme Monitoring and Evaluation
Portfolio of Evidence	Data analysis reports on human settlements projects(delivery performance) funded from the Human Settlements Development

Strategic Outcome Oriented Goal 3	Increased delivery of adequate housing in quality living environments
Strategic Objective	Enhanced sector monitoring and evaluation
Indicator title	Number of evaluation studies completed
Short definition	Evaluation of existing programmes
Purpose/importance	Policy decision making
Source/collection of data	Evaluation reports
Method of calculation	Simple count of evaluation studies completed
Data limitations	Delays in completing the evaluation studies, lack of cooperation by stakeholders
Type of indicator	Output
Calculation type	Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Completed evaluations
Indicator responsibility	CD: Programme Monitoring and Evaluation & CD: HS Strategy
Portfolio of Evidence	Evaluation Reports

Strategic Outcome Oriented Goal 3	Increased delivery of adequate housing in quality living environments
Strategic Objective	Enhanced sector monitoring and evaluation
Indicator title	Number of data analysis reports on delivery trends produced
Short definition	Data analysis reports that provide information on human settlements delivery trends.
Purpose/importance	Managing performance in line with the grants disbursed
Source/collection of data	Delivery reports from PHSDs and data extracted from the HSS
Method of calculation	Simple count of data analysis reports on delivery trends
Data limitations	Data unavailability, unreliability and/or inconsistencies
Type of indicator	Output
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Updated and reliable data analysis reporting
Indicator responsibility	CD: Programme Monitoring and Evaluation
Portfolio of Evidence	Data analysis reports on human settlements projects (delivery performance)

Strategic Outcome Oriented Goal 3	Increased delivery of adequate housing in quality living environments
Strategic Objective	Provide implementation support on the delivery of adequate housing
Indicator title	Single Development Finance Institution established
Short definition	Consolidation of DFI's
Purpose/importance	Single DFI to support delivery and the transformation of the property market
Source/collection of data	Reports on the milestones achieved
Method of calculation	simple count of the reduction of existing DFIs to the envisaged one DFI
Data limitations	Lack of availability of information due to delayed processes
Type of indicator	Output
Calculation type	Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Single DFI established within scheduled time.
Indicator responsibility	Chief Director: Regulatory Compliance Services
Portfolio of Evidence	Progress reports on the establishment of Single DFI

Strategic Outcome Oriented Goal 3	Increased delivery of adequate housing in quality living environments
Strategic Objective	Provide implementation support on the delivery of adequate housing
Indicator title	Number of Shareholder Compacts of the human settlements entities aligned to the MTSF
Short definition	The indicator target 6 Shareholder Compacts that represent an agreement between the Executive Authority and the Accounting Authority of the entity, with respect to performance expectations and parameters. It does not replace the annual performance plan but it rather complements it. It describes the relationship between the signatories and identifies the behaviour that would be required on both sides to support effective management and performance of the entity.
Purpose/importance	The Shareholder Compact documents key performance measures and indicators to be attained by the public entity as approved by the Minister in the Annual Performance Plans for the year of agreement.
Source/collection of data	Entities submit the Shareholder Compacts to the Department
Method of calculation	simple count of HS entities shareholder compacts aligned to MTSF submitted for approval
Data limitations	Delays in submissions by the entities may affect the final approval of the Shareholder Compacts
Type of indicator	Output Indicator
Calculation type	Cumulative
Reporting cycle	Annually
New indicator	Yes
Desired performance	6 Shareholder Compacts of the human settlement entities submitted in time and aligned to the APP targets
Indicator responsibility	Chief Director: Regulatory Compliance Services
Portfolio of Evidence	6 Shareholder Compacts of the human settlement entities (2016/17)

Strategic Outcome Oriented Goal 3	Increased delivery of adequate housing in quality living environments
Strategic Objective	Improved support and capacity for the human settlements sector
Indicator title	Number of youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes
Short definition	<ul style="list-style-type: none"> • 2250 youth & women, 200 military veterans and 410 officials at all spheres of government trained on human settlements skills development programmes. <ul style="list-style-type: none"> • Quarter 1-525 Youth &women • Quarter 2-575 Youth &women • Quarter 3-575 Youth &women • Quarter 4-575 Youth &women • 50 Military veterans per quarter <ul style="list-style-type: none"> • Quarter 1-102 Officials • Quarter 2-102 Officials • Quarter 3-103 Officials • Quarter 4-103 Officials • Educate 20 000 beneficiaries and housing consumers on their roles and responsibilities <ul style="list-style-type: none"> • Quarter 1-3000 beneficiaries • Quarter 2-7000 beneficiaries • Quarter 3-6000 beneficiaries • Quarter 4-4000 beneficiaries
Purpose/importance	<ul style="list-style-type: none"> • To develop the skills of youth, women, military veterans and officials to enable them to perform their duties adequately and to enhance service delivery. • To assist beneficiaries and housing consumers to be informed of their roles and responsibilities to enable them to take informed and responsible decisions on their housing needs.
Source/collection of data	Workshops and formal training programmes, training materials and booklets.
Method of calculation	Simple count of youth, women, military veterans, government officials and consumers who attended the training on available finance options and Human Settlements programmes
Data limitations	The data provided will only be numbers trained and will not include aspects of improvement in quality of life etc.
Type of indicator	Impact
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	22 860 youth, women, military veterans, government officials and consumers in the subsidy and gap market, trained on human settlements skills development programmes
Indicator responsibility	CD : Technical Capacity Development, CD: Governance Frameworks and CD: Military Veterans
Portfolio of Evidence	Report on Training provided Attendance Registers

Strategic Outcome Oriented Goal 3	Increased delivery of adequate housing in quality living environments
Strategic Objective	Improved support and capacity for the human settlements sector
Indicator title	Number of provinces and metros supported in the implementation of capacity development programmes
Short definition	<ul style="list-style-type: none"> • Provision of capacity support to provinces and metros by developing guidelines for capacity development programmes, • Monitor the implementation of capacity development programmes. • Coordinate training / capacity development interventions with institutions of Institutions of Higher Learning, TVET Colleges and Human Settlements entities, targeting youth, women and officials across the three spheres of government. • Manage the implementation of programmes and projects to professionalise the Human Settlements Sector
Purpose/importance	Capacity development interventions critical for delivery in the built environment.
Source/collection of data	Provinces, Metros, Institutions of Higher Learning, TVETS and Human Settlements entities (NHBRC & EAAB) collaborating with the Department.
Method of calculation	Capacity development programmes implemented
Data limitations	Lack of cooperation by stakeholders
Type of indicator	Process
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	Yes
Desired performance	This target is for monitoring the implementation of capacity development programmes
Indicator responsibility	Chief Director: Technical Capacity Development
Portfolio of Evidence	Guidelines for capacity development Implementation reports on capacity development programmes implemented Reports on the implementation of programmes and projects to professionalise the Human Settlements Sector

Programme 4: Housing Finance

Strategic Outcome Oriented Goal 4	Increased delivery of adequate housing in quality living environments
Strategic Objective	Efficient and effective utilization of human settlements grants, and monitoring lending patterns by financial institution
Indicator title	Approved Human Settlements Grants Framework
Short definition	Development of the grant framework for disbursement
Purpose/importance	Guide the disbursement of Human Settlements Grants
Source/collection of data	BAS
Method of calculation	verification of the presence of an Approved Human Settlements Grants Framework
Data limitations	Data inconsistencies
Type of indicator	Output
Calculation type	Cumulative
Reporting cycle	Annually
New indicator	No
Desired performance	Grants disbursed and expenditure trends tracked
Indicator responsibility	Chief Investment Officer
Portfolio of Evidence	Approved Grant Framework

Strategic Outcome Oriented Goal 4	Increased delivery of adequate housing in quality living environments
Strategic Objective	Efficient and effective utilization of human settlements grants, and monitoring lending patterns by financial institution
Indicator title	Number of HSDG and USDG quarterly performance reports
Short definition	Reporting on the HSDG and USDG as per prescripts
Purpose/importance	Accounting on grants disbursed
Source/collection of data	BAS reports
Method of calculation	simple of report for HSDG and USDG
Data limitations	Data inconsistencies
Type of indicator	Output
Calculation type	Non-Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Adherence to prescripts and analysis of expenditure trends in line with performance
Indicator responsibility	Chief Investment Officer
Portfolio of Evidence	Quarterly Reports on HSDG and USDG

Strategic Outcome Oriented Goal 4	Increased delivery of adequate housing in quality living environments
Strategic Objective	Efficient and effective utilization of human settlements grants, and monitoring lending patterns by financial institution
Indicator title	Approved annual report on the performance of financial institutions and their lending patterns
Short definition	Tracking performance of financial institutions on home loans granted
Purpose/importance	Track performance to improve the residential property market
Source/collection of data	Reports
Method of calculation	verification of the presence of an Approved annual report on the performance of financial institutions and their lending patterns
Data limitations	Data inconsistencies
Type of indicator	Output
Calculation type	Cumulative
Reporting cycle	Annually
New indicator	Yes
Desired performance	Information on the performance of the property market informing programmes of the department
Indicator responsibility	Chief Investment Officer
Portfolio of Evidence	Annual Report on the performance of financial institutions and their lending patterns

Strategic Outcome Oriented Goal 4	Increased delivery of adequate housing in quality living environments
Strategic Objective	Efficient and effective utilization of human settlements grants, and monitoring lending patterns by financial institution
Indicator title	Revised state finance products for the affordable gap submarket
Short definition	Revision of the housing subsidy regime
Purpose/importance	Better offering to consumers in the affordable gap market to access housing finance
Source/collection of data	Reports on lending patterns (FLISP)
Method of calculation	Approved annual report on the performance of financial institutions and their lending patterns
Data limitations	Incomplete information
Type of indicator	Input
Calculation type	Cumulative
Reporting cycle	Quarterly
New indicator	No
Desired performance	Better performance of the state products
Indicator responsibility	Chief Investment Officer
Portfolio of Evidence	Reports on state finance products for the affordable gap submarket

NOTES





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human settlements

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